# **Key Information Memorandum & Application Forms**

Continuous Offer of Units at applicable NAV



## L&T Gilt Fund

Pı	roduct label	Type of the scheme	Potential Risk Class					
TI	his product is suitable for investors who are seeking*							
•	Generation of returns over medium to long term	An open-ended debt scheme investing in government securities across maturity. A relatively high interest rate risk and relatively low credit risk.	Credit Risk →	Relatively	Moderate (Class B)	Relatively		
•	Investment in Government Securities		Interest RateRisk ↓	Low (Class A)		High (Class C)		
	Riskometer		Relatively Low (Class I)					
	Moderate Moderate Moderate		Moderate (Class II)					
	TOM ALL MAN TO THE MAN		Relatively High (Class III)	A-III				
	Investors understand that their principal will be from low to moderate risk							
	Risk level of the scheme is evaluated based on the scheme portfolio as on September 30, 2022							

<sup>\*</sup>Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

#### **MUTUAL FUND**

**L&T Mutual Fund** 

**Head Office:** 6th Floor, Brindavan, Plot No. 177, CST Road, Kalina, Santacruz East, Mumbai 400 098

**TRUSTEE** 

**L&T Mutual Fund Trustee Limited** CIN: U65993MH1996PLC211198

Registered Office:

Brindavan, Plot no. 177, CST Road, Kalina, Santacruz (East), Mumbai - 400 098

#### **INVESTMENT MANAGER**

**L&T Investment Management Limited** CIN:U65991MH1996PLC229572

Registered Office:

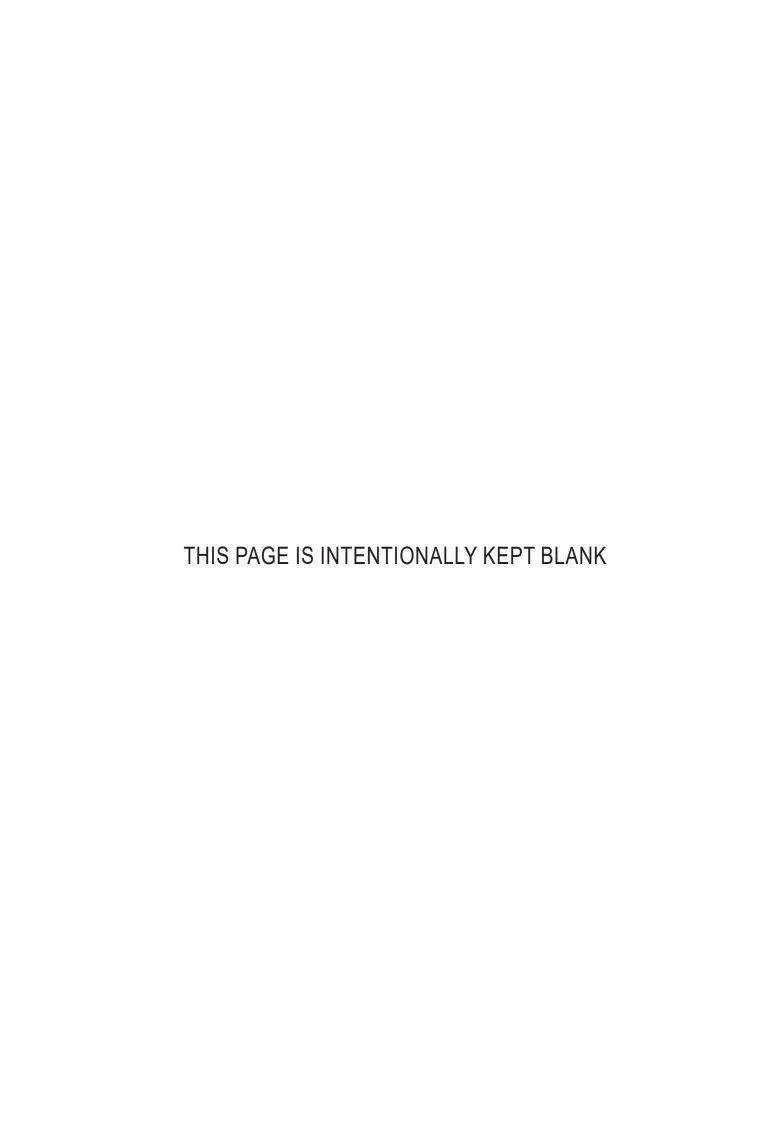
Brindavan, Plot no. 177, CST Road, Kalina, Santacruz (East), Mumbai - 400 098

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, Due Diligence Certificate by the AMC, Key Personnel, Investors' Rights & Services, Risk Factors, Penalties & Pending Litigations, Associate Transactions etc. investors should, before investment, refer to the Statement of Additional Information available free of cost at any of the Investor Service Centre or distributors or from the website www.ltfs.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Investors are advised to consult their Legal, Tax, Finance and other Professional Advisors before making decision to invest in or redeem the units in regard to tax/legal issues relating to their investments in the Scheme(s)/Plan(s).

The Key Information Document is dated October 25, 2022 and the data/information is as on September 30, 2022, unless otherwise mentioned.



Name of the Scheme	L&T Gilt	t Fund (L&TGF)				
Product Labelling	For produ	ct labelling please refer to the cover page	ine			
Type of Scheme		ended debt scheme investing in government		across maturity. A	relatively high interest	rate risk and relativel
7,	low credit			,	, 3	•
nvestment Objective	To generate	e returns from a portfolio from investments i				
Asset Allocation Pattern	Types of Ir	nstruments	In	The state of the s	(% of total Assets)	Risk Profile
	Governme	ent Securities including Treasury bills an	nd TRED**	Maximum 100%	Minimum 80%	Low
		arket Instruments <sup>^</sup>	IG TIVE	20%	0%	Low to Medium
	**Securities	issued (including debt issuances) by domestic gov This may include instruments like central governm				
	^Money mar / promissory instruments Please refer With effect f	ket instruments would include certificate of deposition onces, standby letter of credit (SBLC) backed on as eligible from time to time.  It to the SID for details relating to investments in o from July 1, 2022, for the deviation from the asset in SEBI Circular no. SEBI/HO/IMD/IMD-II DOF3/P/C	its, commercial pa ommercial papers other asset classes et allocation menti	pers, T-bills, repo, revers and government securities. oned above, the portfolio	se repos and TREP, bill redis ies having unexpired maturit of the scheme shall be reb	counting, bills of exchang y of 1 year and such other
Risk Profile of the Schemes	Mutual F	und Units involve investment risks including for details on risk factors before investment	ing the possible			
As per SEBI circular no. SEBI/IMD/CIR No.10/22701/03 dated December 12, 2003, the Scheme/plan (includ thereunder) should have a minimum of 20 Unit Holders and no single Unit Holder should account for more that corpus of the Scheme/plan. The aforesaid conditions should be met in each calendar quarter on an average bas non-fulfilment with the first condition i.e. minimum of 20 investors in the scheme/plan, for each calendar quarte by SEBI, the Scheme/plan shall be wound up by following the guidelines prescribed by SEBI and Unit Holders' such scheme/plan would be redeemed at the Applicable NAV. SEBI has further prescribed that if any investor 25% limit over a quarter, a rebalancing period of one month will be allowed to the investor and thereafter the in in breach of the limit shall be given 15 days notice to redeem his exposure over the 25% limit. In the event of of the said investor to redeem the excess exposure, the excess holding will be automatically redeemed by the F the guidelines prescribed by SEBI.  In addition to the factors that affect the values of securities, the NAV of Units of the Scheme will fluctuate with t in the broader fixed income, money market and derivatives market and may be influenced by factors influencing in general including but not limited to economic conditions, changes in interest rates, price and volume volatilit income markets, changes in taxation, currency exchange rates, foreign investments, political, economic or other and closure of the stock exchanges. Further the investments made by the Scheme will also be affected by interrisk, credit risk or default risk, sovereign risk, liquidity risk, re-investment risk, sit associated wiin derivatives and foreign securities.  Risks Factors associated with transaction in Units through stock exchange(s)  In respect of transaction in Units of the Scheme through BSE and/or NSE and/or ICEX, allotment and redemption of Business Day will depend upon the order processing/settlement by BSE and/or NSE and/or ICEX and their respectorporations on wh						nore than 25% of the age basis. In case of quarter as specified lolders' investment in nestor breaches the er the investor who is rent of failure on part by the Fund following with the movement tencing such markets volatility in the fixed rother developments by interest rate/price atted with investment inption of Units on any
						d Management team economy, as well as
	4. Ma 5. Liq	ality of the security/instrument (including turity profile of the instrument uidity of the security			er)	
Plans	Direct Pla	y other factors considered relevant in the	the opinion of	the Fund		
	(ARN) Ho Investmer Registerer of units an Investors: also indic: "Direct Pla Plan. Furt will be pro Regular F Investors The option Plan and	proposing to purchase units of the Scheme of Ider) can invest under the Direct Plan.  Its under the Direct Plan can be made through dinvestment Advisor (RIA) {except Stock Except Plan will have to ate "Direct" in the ARN column of the applican" is indicated against the Scheme name, the her, where application is received for the Scheme and the Direct Plan.  Plan:  proposing to purchase units of the Scheme as referred below are available under both the Direct Plan have different NAVs.  cation(s) will be processed under Direct / Reserved.	ough various monochange Platform of indicate "Direct cation form. How he distributor con cheme without di through an ARN he above mention	des offered by the Fu (s) and all other platfor Plan" against the Sch vever, in case distribut de will be ignored and stributor code or "Dire I Holder can invest un ned plans. The above	nd for investing directly v rm(s) where investors' application code is mentioned in the application will be proct" mentioned in the ARN der the Regular Plan.	with the Fund or through olications for subscription on form.Investors should the application form, bu processed under the Direct Column, the application
	Scenario	Distributor / broker code	Plan ment	tioned by the investo	or Default pla	an in which the
		mentioned by the investor	N. c			hall be processed
	1	Not mentioned	Not mentioned		Direct Plan	
	3	Not mentioned  Not mentioned	Direct Regular		Direct Plan Direct Plan	
	4	Mentioned	Direct		Direct Plan	
	5	Direct	Not Mentioned	<u> </u>	Direct Plan	
	6	Direct	Regular		Direct Plan	
	7	Mentioned	Regular		Regular Plan	
	8	Mentioned	Not Mentioned		Regular Plan	
	Regular I form fron	of wrong/invalid/incomplete ARN codes Plan. The AMC shall contact and obtain n the investor/ distributor. In case, the c action under Direct Plan from the date	the correct AF correct code is	RN code within 30 c not received within	alendar days of the red 30 calendar days, the	ceipt of the application
Options	Growth		s. application	OAR GITY OAR TO		
<b>о</b> рионо	Income	Distribution cum Capital Withdrawal (ID	DCW) (Reinves	stment and Payout)		

• Quarterly IDCW

#### Applicable NAV The Cut-off time and the Applicable NAV will be as under: Where the application is received upto 3.00 p.m. on a Business Day and funds are available for utilization before the cut-off time - the closing NAV of the Business Day shall be applicable. Where the application is received after 3.00 p.m. on a Business Day and funds are available for utilization on the same day or before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable. iii. Irrespective of the time of receipt of application, where the funds are not available for utilization before the cut-off time - the closing NAV of next Business day on which the funds are available for utilization shall be applicable. iv. In respect of valid applications, the time of receipt of applications or the funds for the entire amount are available for utilization, whichever is later, will be used to determine the applicability of NAV. v. In case of other facilities for systematic transactions like Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), etc., the NAV of the day on which the funds are available for utilization by the Target Scheme shall be considered irrespective of the installment date The aforesaid will be applicable only for cheques/demand drafts/payment instruments payable locally in the city in which the ISC is located. No outstation cheques will be accepted. For Redemption: In respect of valid Redemption applications accepted at an Investor service Centre upto 3 p.m. on a Business Day, the NAV of such day will be applicable. In respect of valid Redemption applications accepted at the Investor service Centres after 3.00 p.m. on a Business Day, the closing NAV of the next Business Day. Minimum Application Size Initial Investment Additional Investment (in multiples of Re. 1 thereafter). (Lumpsum Investment per ₹ 10,000 per application and in multiples of Re. 1 thereafter ₹ 1,000 per application and in multiples of Re. 1 thereafter Application) **Minimum Application Size** Min. Instalment Amount Min. No. of Instalments Min. Aggregate Investment (Systematic Investment per (a) Monthly: 6 (b) Quarterly: 4 ₹ 1.000 ₹ 6.000 Application) Pursuant to SEBI circular no. SEBI/HO/IMD/IMD-I/DOF5/P/CIR/2021/553 dated April 28, 2021 and circular no. SEBI/HO/IMD/ Waiver of minimum subscription amount IMD-I/DOF5/P/CIR/2021/629 dated September 20, 2021 regarding 'Alignment of interest of Key Employees/Designated Employees of Asset Management Companies (AMCs) with the Unitholders of the Mutual Fund Schemes', the minimum subscription amount (i.e. initial application amount and additional application amount) as mentioned in the SID and KIM shall not be applicable for the investment made in the Scheme by the relevant employees of L&T Investment Management Limited, in line with the aforesaid circulars Minimum Redemption Size ₹ 500 or 50 units. In case of Units held in dematerialised mode, the Unit Holder can give a request for Redemption only in number of Units and the provisions pertaining to minimum balance amount/number of Units will not be applicable Dispatch of Repurchase Within 10 Business Days of the receipt of the valid redemption request at the Investor Service Centres/Official Points of Acceptance. (Redemption) Request Benchmark Index NIFTY All Duration G-Sec Index **Dividend Policy** The Trustee may decide to distribute by way of dividend, the surplus by way of realised profit, dividends and interest, net of losses, expenses and taxes, if any, to Unit Holders in the IDCW option of the Schemes if such surplus is available and adequate for distribution in the opinion of the Trustee. The Trustee's decision with regard to availability and adequacy, rate, timing and frequency of distribution shall be final. In case of IDCW payout facility, the AMC shall dispatch to the Unit Holders, the dividend warrants within 15 days from the record date of declaration of dividend. In case of the Schemes under the IDCW payout facility, if the amount of dividend payable to the Unit Holder is less than ₹ 100, then the dividend amount will be compulsorily reinvested in the respective Scheme The dividend will be due to only those Unit Holders whose names appear in the register of Unit Holders in the IDCW option of the Scheme on the record dates which will be fixed by the Trustees and announced in advance. The Unit Holders have the option of receiving the dividend or reinvesting the same. The dividend will be reinvested at the ex-dividend NAV announced immediately after the record date. No Exit Load will be charged on account of Units allotted by way of dividend re-investment. Levy of Stamp duty on Mutual Pursuant to Notification No. S.O. 4419(E) dated December 10, 2019 issued by Department of Revenue, Ministry of Finance, Government of India, **Fund transactions** read with Part I of Chapter IV of Notification dated February 21, 2019 and Notification dated March 30, 2020 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019, a stamp duty @ 0.005% of the transaction value would be levied on mutual fund investment transactions. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchases, switch-ins, SIP/ STP installments, (including IDCW Reinvestment) to the unit holders would be reduced to that extent. Based on the SEBI circular no SEBI/HO/IMD/IMD- II DOF3/P/CIR/2021/604 dated July 26, 2021, to comply with the requirements MFCentral - Official Point of Acceptance of RTA inter-operable Platform for enhancing investors' experience in Mutual Fund transactions / service requests, the QRTA's, Kfin Technologies Private Limited and Computer Age Management Services Limited (CAMS) have jointly developed MFCentral – A digital platform for Mutual Fund investors. MFCentral is created with an intent to be a one stop portal / mobile app for all Mutual fund investments and service related needs that significantly reduces the need for submission of physical documents by enabling various digital /phygital services to Mutual fund investors across fund houses subject to applicable Terms & Conditions of the Platform. MFCentral will be enabling various features and services in a phased manner. MFCentral may be accessed using https://mfcentral.com/ and a Mobile App in future. With a view to comply with all provisions of the aforesaid circular and to increase digital penetration of Mutual funds, L&T Mutual Fund designates MFCentral as its Official point of acceptance (DISC - Designated investor Service Centre) w.e.f. 23rd September 2021. Any registered user of MFCentral, requiring submission of physical document as per the requirements of MFCentral, may do so at any of the designated Investor Service centres or collection centres of Kfintech or CAMS

#### Swing Pricing

Investors are requested to note that SEBI has vide circular no. SEBI/HO/IMD/IMD-II DOF3/P/CIR/2021/631 dated September 29, 2021 introduced swing pricing framework for open-ended debt mutual fund schemes (except Overnight funds, Gilt funds and Gilt with 10-year maturity funds).

#### Swing pricing due to market dislocation:

On declaration of 'market dislocation' by SEBI for specified period, the swing pricing framework shall be mandated for the Eligible Schemes which fulfill both the following conditions:

- Have 'High' or 'Very High Risk' on the risk-o-meter in terms of SEBI circular no. SEBI/HO/IMD/DF3/CIR/P/2020/197 dated October 5, 2020 (as of the most recent period at the time of declaration of market dislocation); and
- Classify themselves in the cells A-III, B-III, B-III, C-I, C-II and C-III of Potential Risk Class (PRC) Matrix in terms of SEBI circular no. SEBI/HO/IMD/IMD-II DOF3/P/CIR/2021/573 dated June 7, 2021.

Investors may note that the following minimum swing factor shall be applicable for the Eligible Schemes:

Minimum swing factor								
Maximum Credit Risk of scheme→	Class A (Credit Risk	Class B (Credit Risk	Class C (Credit					
Maximum Interest Rate Risk of the scheme ↓	Value >=12)	Value >=10)	Risk Value <10)					
Class I: (Macaulay Duration <=1 year)	-	-	1.5%					
Class II: (Macaulay Duration <=3 years)	-	1.25%	1.75%					
Class III: Any Macaulay Duration	1%	1.5%	2%					

Investors may further note the following aspects pertaining to swing pricing:

- a) When swing pricing framework is triggered and swing factor is made applicable, both the incoming and outgoing investors shall get Net Asset Value (NAV) adjusted for swing factor.
- b) Swing pricing shall be made applicable to all unitholders at PAN level with an exemption for redemptions upto Rs. 2 lacs for each Eligible Scheme. Intra-scheme switches in the Eligible Scheme i.e. switches within an Eligible Scheme viz. from Regular Plan to Direct Plan and vice-versa or from Growth option to Income Distribution cum Capital Withdrawal option and vice versa, will be excluded from applicability of swing pricing.
- c) The swing pricing framework will be made applicable only for scenarios related to net outflows from the Eligible Schemes. Investors may refer to the below illustration to understand the impact on the NAV for incoming and outgoing investors due to swing pricing.

#### Illustration:

Effect on the NAV for incoming and outgoing investors in the scheme where swing pricing is made applicable:

- 1) Applicability of swing pricing Market dislocation as announced by SEBI
- 2) Specified period for applicability of swing pricing As notified by SEBI.
- 3) Transactions covered (for applicability of swing factor)
  - a) Subscriptions including switch-ins
  - b) Redemptions including switch-outs
- 4) Transactions excluded/ exempted:
  - a) Redemptions upto Rs. 2 lacs (aggregated at PAN level)
  - b) Intra-scheme switches in the scheme i.e. switches within a scheme viz. from Regular Plan to Direct Plan and viceversa or from Growth option to Income Distribution cum Capital Withdrawal option and vice versa
- 5) Unswung NAV Rs.10/- (This is normal NAV before applying swing factor)
- 6) Mandatory swing factor 2% (Assuming the scheme has a high risk-o-meter and a PRC matrix of C-III)
- 7) NAV adjustment for subscriptions and redemptions in the scheme during the specified period:

Unswung viz. Normal NAV		Swing factor	Swung viz. Adjusted NAV
(Rs.)		(%)	(Rs.)
	10.0000	2	9.8000
	The swing pricing framework is subject to	the operational guidelines issued by SEB	/ AMFI from time to time.
Dividend Frequency		Record Date	Facilities available

Dividend Frequency and	
Record Dates	

Quarterly Would be announced in advance Re-investment and Payout

Mr. Jalpan Shah (since May 30, 2016) and Mr. Shriram Ramanathan (since April 3, 2017)

## Name of Fund Manager(s) Name of Trustee Company

Pe

L&T Mutual Fund Trustee Limited

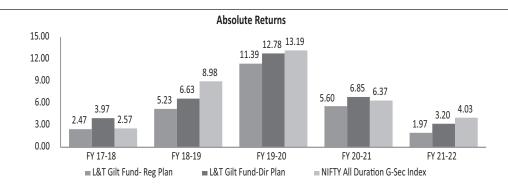
Performance of Scheme		CAGR Returns (%) (Period)			Date of	Since Inception	
		1 year	3 year	5 year	Inception of the Scheme	CAGR Returns (%)	PTP Returns* (in ₹)
	L&T Gilt Fund - Regular Plan (G)	0.63%	4.59%	5.09%		7.88%	55,148.30
	NIFTY All Duration G-Sec Index	0.61%	5.28%	6.31%	29/Mar/2000	NA	NA
	CRISIL 10 Yr Gilt Index <sup>^</sup>	-1.90%	3.33%	4.38%		NA	NA
	L&T Gilt Fund - Direct Plan (G)	1.84%	5.85%	6.42%		8.91%	22,981.23
	NIFTY All Duration G-Sec Index	0.61%	5.28%	6.31%	01/Jan/2013	7.11%	19,529.60
	CRISIL 10 Yr Gilt Index <sup>^</sup>	-1.90%	3.33%	4.38%	1	6.06%	17,754.85

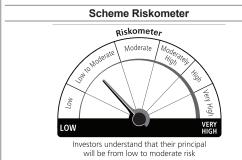
Past performance may or may not be sustained in the future. \* Point to Point (PTP) Returns in INR show the value of ₹10,000/- invested ^Standard Benchmark.

Note: As per the SEBI standards for performance reporting, the since inception return is calculated on NAV of ₹10/- invested at inception. CAGR is compounded annualised. Date of inception is deemed to be date of allotment.

- a. Performance data is as on September 30, 2022.
- b. Different plans shall have a different expense structure.
- c. The performance details have been provided for Regular and Direct Plan separately.

Performance of the schemes (wherever provided) are calculated basis CAGR for the past 1 year, 3 years, 5 years and since inception. In case, the start/end date of the concerned period is a non - business day (NBD), the NAV of the previous date is considered for computation of returns.





John to Moderate LOW

**Benchmark Riskometer** 

Riskometer

Moderate

Risk level of the scheme is evaluated based on the scheme portfolio as on September 30, 2022

## Expenses

#### (i) Load Structure For Ongoing Offer

(ii) Actual Recurring Expenses (% p.a. of daily net assets) for the Financial Year 2021-22

Entry Load: NA Exit Load: Nil

L&T Gilt Fund					
Direct	Regular				
0.50%	1.70%				

#### Transaction Charge(s)

AMC shall deduct Transaction Charge(s) from the subscription amount and pay it to the distributor who has opted to receive the same for a particular product category. The details of the same are mentioned below:-

- ₹ 150 if the application is received from a First Time Mutual Fund Investor.
- ₹ 100 if the application is received from an investor other than a First Time Mutual Fund Investor i.e. Existing Investor in Mutual Funds.

In case of investments through SIP, Transaction Charge(s) shall be deducted only if the total commitment (i.e. amount per SIP instalment x Number of instalments) amounts to ₹ 10,000 or more. The Transaction Charge(s) will be deducted in four equal instalments.

However, Transaction Charge(s) will not be deducted for the following:-

Purchase/Subscription submitted by investor at the designated collection centres or through AMC's website viz. www.ltfs.com and which are not routed through any distributor.

- Purchase/Subscription through a distributor for an amount less than ₹ 10,000.
- Transactions such as Switches, STP i.e. all such transactions wherein there is no additional cash flow at a Mutual Fund level similar to Purchase/Subscription.
- Purchase/Subscriptions through any stock exchange.

#### Tax Treatment for the Investors (Unit holders)

Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to their tax

#### Daily Net Asset Value (NAV) Publication

The NAVs of the Scheme will be calculated by the Mutual Fund on all Business Days. The details may be obtained by calling the investor line of the AMC at 1800 2000 400 or 1800 4190 200 (toll-free). The NAVs of the Scheme will also be updated by 11.00 p.m. on all Business Days on the website of the Mutual Fund i.e. www.ltfs.com and on the AMFI website i.e. www.amfiindia.com. The AMC shall extend the facility of sending the latest available NAVs to unitholders through SMS, upon receiving a special request in this regard. Send SMS as LNTMF NAV <Scheme Code\*> to 56767

\*Scheme Code: List of Scheme codes is available on our website i.e. www.ltfs.com

#### For Investor Grievances please contact

### Computer Age Management Services Private Limited

New No. 10, Old No. 178, M. G. R. Salai, Nungambakkam, Chennai - 600 034.

For any grievances with respect to transactions through BSE and/or NSE and/or ICEX, the investors/Unit Holders should approach either the stock broker or the investor grievance cell of the respective stock exchange

#### Mr. Ankur Banthiya

6th floor, KGN Towers, No.62 Ethiraj Salai, (Commander-In-Chief Road), Egmore, Chennai - 600 105. Tel: 1800 2000 400 or 1800 419 0200,

Fax: 044-4902 2818

E-mail: investor.line@Intmf.co.in

#### Unit Holders' Information

#### Applicable to investors who opt to hold units in non-demat form

Account statements to be issued in lieu of Unit Certificates under the Scheme shall be non-transferable. The account statement shall not be construed as a proof of title.

All Units of the Scheme will rank pari passu, among Units within the same option in the Scheme, as to assets and earnings.

For normal transactions during ongoing sales and repurchase:

- A consolidated account statement for each calendar month to the Unit Holder(s) in whose folio(s) transaction(s) has/ have taken place during the month on or before 15th of the succeeding month shall be sent physically or by e-mail.
- In the event the account has more than one registered holder, the first named Unit Holder shall receive the CAS/ account statement.
- The transactions viz. purchase, redemption, switch, IDCW payout, etc., carried out by the Unit Holders shall be reflected in the CAS on the basis of Permanent Account Number (PAN).
- The CAS shall not be received by the Unit Holders for the folio(s) not updated with PAN details. The Unit Holders are therefore requested to ensure that the folio(s) are updated with their PAN.
- For folios not included in the CAS (due to non-availability of PAN), theAMC/ Mutual Fund shall issue monthly account statement to such Unit Holder(s), for any financial transaction undertaken during the month on or before 10th of succeeding month by physical mode.
- In case of a specific request received from the Unit Holders, the AMC/ Mutual Fund will provide the account statement in
  physical to the investors within 5 Business Days from the receipt of such request.
- The Unit Holder may request for a physical account statement by calling the investor line of the AMC at 1800 2000 400 or 1800 4190 200.

#### Half Yearly Consolidated Account Statement:

- The AMC/Mutual Fund will provide to unit Holders a CAS detailing holding across all schemes of the Mutual Funds at the end of every six months (i.e. September/ March), on or before 21st day of succeeding month, in whose folios no transaction has taken place during that period. The half yearly consolidated account statement will be sent by e-mail to the unit holders whose e-mail address is available, unless a specific request is made to receive in physical. CAS will not be sent to a Unit Holder in respect of folios whose PAN details are not updated.
- Further, CAS issued for the half-year (September/ March) shall provide the following, in the prescribed format provided by SEBI:
- a) Total purchase value / cost of investment in each scheme invested by the investor.
- b) The amount of actual commission paid by the AMC/Mutual Fund (MF) to distributors (in absolute terms) during the half-year period against the investor's total investments in each scheme.
  - The term 'commission' here refers to all direct monetary payments and other payments made in the form of gifts / rewards, trips, event sponsorships etc. by the AMC/MF to distributors.
- c) The scheme's average Total Expense Ratio (in percentage terms) along with the break up between Investment and Advisory fees, Commission paid to the distributor and other expenses for the period for each scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in.
  - Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

Applicable to investors who have a demat account and opt to hold units in non-demat form

#### Monthly SCAS:

- A single Securities Consolidated Account Statement ("SCAS")<sup>^</sup> for each calendar month to the Unit Holder(s) who are holding a demat account ('Beneficial Owner(s)') in whose folio(s) transaction(s) has/have taken place during the month on or before 15th of the succeeding month shall be sent physically or by e-mail.
  - ^SCAS shall contain details relating to all the transaction(s)\*\* carried out by the Beneficial Owner(s) (including transaction charges paid to the distributor) across all schemes of the Mutual Funds and transactions in securities held in dematerialized form across demat accounts, during the month and holdings at the end of the month.
  - \*\*transaction(s) shall include purchase, redemption, switch, IDCW payout, IDCW reinvestment, systematic investment plan, systematic withdrawal advantage plan, systematic transfer plan, etc.
- Consolidation of account statement shall be done on the basis of PAN.
- · In case of multiple holding, identification shall be based on the PAN of the first holder and the pattern of holding.
- The SCAS will be sent by e-mail to the investor(s) whose e-mail address is registered with the Depositories. In case an
  investor does not wish to receive SCAS through e-mail, an option shall be given by the Depository to receive SCAS in
  physical.
- · The SCAS shall not be received by the Unit Holder(s) for the folio(s) not updated with PAN and/or KYC details.
- · Where PAN is not available, the account statement shall be sent to the Unit holder by the AMC/ Mutual Fund.
- In case of a specific request received from the Unit Holder(s), the AMC/ Mutual Fund will provide an account statement (reflecting transactions of the Mutual Fund) to the Unit Holder(s) within 5 Business Days from the receipt of such request.
- · In case an investor does not wish to receive SCAS, an option shall be given by the Depository to indicate negative consent.
- Investor(s) having multiple demat accounts across the Depositories shall have an option to choose the Depository through which the SCAS will be received.

#### Periodic SCAS:

- In case there is no transaction in the folio, a half yearly SCAS detailing holding across all schemes of mutual funds and securities held in dematerialized form across demat accounts shall be sent by Depositories to investors at the end of every six months (i.e. September/March), on or before 21st day of succeeding month.
- The half yearly SCAS will be sent physically or by e-mail as per the mode of receipt opted by the investors to receive monthly SCAS.
- In case of demat accounts with NIL balance and no transactions in mutual fund folios and in securities, the depository shall send physical statement to investor(s) in terms of regulations applicable to Depositories.
  - Unit holders/ Investors opted for physical dispatch and who are not eligible for receiving SCAS/ CAS shall continue to receive a monthly account statement from the AMC/ Mutual Fund.

#### Applicable to investors who opt to hold units in demat form

Where the investor has opted for units held in dematerialised mode, unit holder/ investor will receive the holding statement directly from their respective Depository Participant at such a frequency as may be defined in the Depository Act or regulations or on specific request.

#### For SIP / STP / SWP transactions:

- Account Statements for transactions under SIP/SWP/STP will be despatched once every quarter ending March, June, September and December within 10 working days of the end of the respective quarter.
- A soft copy of the account statement shall be mailed to the Unit Holders under SIP/SWP/STP to the e-mail address provided by the Unit Holder on a monthly basis, if so mandated.
- The first account statement under SIP/SWP/STP shall be issued within 10 working days of the initial investment/ withdrawal/ transfer.
- In case of specific request received from investors, the AMC will provide the account statement to the investors within 5
  working days from the receipt of such request without any charges.

#### **Annual Account Statement:**

The Mutual Fund will provide the account statement to the Unit Holders who have not transacted during the last six months prior to the date of generation of account statements. The account statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.

Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

#### Annual Report

An annual report of the Scheme will be prepared as at the end of each financial year (i.e. March 31) and copies of the report or an abridged summary thereof shall be provided to all Unit Holders as soon as possible but not later than 4 months from the closure of the relevant financial year. In case of an Unit Holder whose email address is available with the AMC/Mutual Fund, the annual report or abridged summary thereof shall be provided by way of an e-mail at the email address provided by the Unit Holder and such Unit Holder will not receive physical copies of the annual report or abridged summary thereof unless a specific request is received by the AMC/Mutual Fund in this behalf from the Unit holder. The Unit Holder may request for physical copies of the annual report or abridged summary thereof by calling the toll-free investor line of the AMC at 1800 2000 400 or 1800 4190 200 (toll-free).

A Unit holder who has expressly opted-in to receive physical copy of the same, AMC/Mutual Fund shall continue to dispatch the annual report or an abridged summary thereof in physical form.

The full report or the abridged summary thereof will be displayed on the website of the Mutual Fund i.e. www.ltfs.com and will also be available for inspection at the registered office of the AMC and a copy thereof will be provided without charging any cost on request to the Unit Holder. The Mutual Fund shall publish an advertisement disclosing uploading of scheme(s) annual report thereof on its website, in all India editions of one English and one Hindi daily newspaper.

#### Half vearly disclosures: Portfolio

This is a list of securities where the corpus of the Scheme is invested. The market value of these investments is also stated in the portfolio disclosures. The Mutual Fund shall within 10 days from the close of each half year that is on 31st March and on 30th September disclose the portfolio statement of the scheme on its website (www.ltfs.com) and on the website of AMFI (www. amfiindia.com). The Mutual Fund shall publish an advertisement disclosing the hosting of half yearly portfolio statement of its schemes in one national English daily newspaper and one national Hindi daily newspaper.

#### Financial Results

The Mutual Fund shall before the expiry of one month from the close of each half year (i.e. March 31 and September 30) display its unaudited financial results on the website of the Mutual Fund.

Further, an advertisement disclosing the hosting of the aforesaid results on the website shall be published in one national English daily newspaper circulating in the whole of India and in a Marathi daily newspaper.

#### Monthly Portfolio Disclosures:

The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme as on the last day of the month on its website www.ltfs.com on or before the tenth day of the succeeding month in a user-friendly and downloadable format.

#### Fortnightly Portfolio Disclosures:

The Mutual Fund/ AMC will disclose portfolio (along with ISIN) of the Scheme as on a fortnightly basis on its website www.ltfs. com within 5 days of every fortnight of the succeeding month in a user-friendly and downloadable format.

#### Maximum Recurring Expenses:

Daily net assets	Maximum as a % of daily net assets
First 500 Crores	2.00%
Next 250 Crores	1.75%
Next 1,250 Crores	1.50%
Next 3,000 Crores	1.35%
Next 5,000 Crores	1.25%

Total expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or part thereof on the next ₹ 40,000 crores of the daily net assets.

Balance Assets

0.80% Direct Plan under the Scheme shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid/charged under Direct Plan.

The AMC shall charge the Scheme with investment management and advisory fees in accordance with Regulation 52 (2) of SEBI Regulations.

The Mutual Fund/AMC shall annually set apart at least 2 basis points of the daily net assets of a Scheme within the maximum limit of total recurring expenses as per Regulation 52 for investor education and awareness initiatives

In accordance with Regulation 52 (6A), the following expenses can be charged in addition to the existing total recurring expenses charged under Regulation 52 (6):

brokerage and transaction costs(other than Securities Transaction Tax as applicable) which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 % in case of cash market transactions and 0.05 % in case of derivatives transactions.

Please note that any payment towards brokerage and transaction costs (other than Securities Transaction Tax as applicable), over and above the said 12 bps and 5 bps for cash market and derivatives transactions respectively, shall be charged to the Scheme within the total recurring expenses limit specified under Regulation 52. Any expenditure in excess of the said limit will be borne by the AMC/Trustees/Sponsors.

additional recurring expenses up to 30 basis points on daily net assets of the Scheme, if the new inflows from cities and type of investors as specified by SEBI are at least (a) 30% of gross new inflows in the scheme; or (b) 15% of the average assets under management (year to date) of the Scheme, whichever is higher.

In case inflows from such cities are less than the higher of (a) or (b) stated above, additional expenses on daily net assets of the Scheme can be charged on a proportionate basis

The expenses so charged can be utilised for distribution expenses incurred for bringing inflows from such cities. The amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment. The additional TER shall be charged based on inflows from retail investors from beyond top 30 cities (B-30 cities), the term 'retail investor' has been defined. Accordingly, inflows of amount upto Rs 2,00,000/- per transaction, by individual investors shall be considered

as inflows from "retail investor" additional expenses, incurred towards different heads mentioned under sub-Regulations 52 (2) and 52 (4), not exceeding 0.05 % of the daily net assets of the scheme or as specified by the SEBI. However, in terms of SEBI Circular No SEBI/ HO/ IMD/ DF2/ CIR/P/ 2018/15 dated February 02, 2018, in case exit load is not levied / not applicable, the AMC shall not charge the said additional expenses.

#### Goods and Services Tax (GST)

- a) GST on the investment management and advisory fees will be charged to the Scheme in addition to the total recurring expenses limit specified under Regulation 52.
- GST, if any, on any other fees/expenses (including brokerage and transaction costs on asset purchases) shall be charged to the Scheme within the total recurring expenses limit specified under Regulation 52.

  The Mutual Fund would update the current expense ratios on the website ( HYPERLINK "http://www.ltfs.com" www.ltfs.com). However, any change in the base TER (i.e. TER excluding additional expenses provided in Regulation 52(6A)(b) and 52(6A)(c) of SEBI (Mutual Funds) Regulations, 1996) in comparison to previous base TER charged to the Scheme shall be updated at least three working days prior to the effective date of the change and on the link https://www.ltfs.com/companies/lnt-investment-management/ statutory-disclosures

Note on Employee Unique Identity Number ("EUIN")

Please note that disclosing Employee Unique Identity Number is important, especially in case of advisory transactions, as it will help us in resolving your query and assist in tackling the problem of misselling of Mutual Fund schemes

#### Risk Mitigation Factors

The overall portfolio structuring would aim at controlling risk at moderate level. Security specific risk will be minimized by investing only on those companies that have been thoroughly researched inhouse. Risk will also be managed through broad diversification of the portfolio within the framework of the Scheme's investment objective and policies.

#### Other Disclosures

#### (i) Portfolio details

#### a. Top 10 holdings as of September 30, 2022

Name of the Issuer	Rating	% to Net Assets
DEBT INSTRUMENTS		
Central Government	SOVEREIGN	36.29%
Total of Top 10 Holdings		36.29%
Total Debt Instruments		99.81%
Cash, Cash Equivalents and Net Current Assets		0.19%
Grand Total		100.00%

#### b. Fund allocation as on September 30, 2022

Sector		% of NAV
	NII	

Investors are advised to refer to the website of Mutual Fund (https://www.ltfs.com/companies/Int-investment-management/statutorydisclosures.html) for the latest monthly portfolio of the Scheme.

#### Aggregate investments as on September 30, 2022

Directors of AMC: Nil

Fund Manager(s): ₹ 1,671,615.12 Key personnel: 41,924.92

#### (ii) The portfolio turnover ratio: N.A.

#### (iii) Illustration:

#### Impact of expense ratio on the Scheme's returns:

Expense ratio, normally expressed as a percentage of Average Assets under Management, is calculated by dividing the permissible expenses under the Regulations by the average net assets.

To further illustrate the above, for the Scheme under reference, suppose an investor invested ₹ 10,000/- under the Growth Option, the impact of expenses charged will be as under:

Particulars	Regular Plan			Direct Plan		
	Amount (₹)	Units	NAV	NAV (₹)	Units	NAV (₹)
Invested in the NFO (A)	10,000	1000	10.0000	10,000	1000	10.0000
Value of above investment after 1 year from the date of allotment (post all applicable expenses) (B)	10,700	1000	10.7000	10,750	1000	10.7500
Expenses charged during the year (other than Distribution Expenses) (C)	50			50		
Distribution Expenses charged during the year (D)	50			0		
Value of above investment after 1 year from the date of allotment (after adding back all expenses charged) (E) [E= B+C+D]	10,800	1000	10.8000	10,800	1000	10.8000
Returns (%) (post all applicable expenses) (F) [F= (B-A)/A]		7.00%			7.50%	
Returns (%) (without considering any expenses) (G) [G=(E-A)/A]		8.00%			8.00%	

#### Kindly note the following:

- The purpose of the above illustration is to purely explain the impact of expense ratio charged to the Scheme and should not be construed as indicative returns of the Scheme.
- Calculations are based on assumed NAVs, and actual returns on your investment may be more or less.
- It is assumed that the expenses charged are evenly distributed throughout the year.
- The expenses of the Direct Plan under the Scheme will be lower to the extent of the above mentioned distribution expenses/
- Any tax impact has not been considered in the above example, in view of the individual nature of the tax implications. Each investor is advised to consult his or her own financial advisor.

#### (iv) Restrictions on redemption of Mutual Funds

The following requirement shall be observed before imposing restriction on redemptions:

Restriction may be imposed when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets such as:

- Liquidity issues when market at large becomes illiquid affecting almost all securities rather than any issuer specific security
- Market failures, exchange closures when markets are affected by unexpected events which impact the functioning of exchanges or the regular course of transactions. Such unexpected events could also be related to political, economic, military, monetary or other emergencies.
- Operational issues when exceptional circumstances are caused by force majeure, unpredictable operational problems and technical failures (e.g. a black out). Such cases can only be considered if they are reasonably unpredictable and occur in spite of appropriate diligence of third parties, adequate and effective disaster recovery procedures and systems.

Restriction on redemption may be imposed for a specified period of time not exceeding 10 working days in any 90 days period. Any imposition of restriction would require specific approval of Board of AMC and Trustees

When restriction on redemption is imposed, the following procedure shall be applied:

- No redemption requests upto INR 2 lakh shall be subject to such restriction.
- Where redemption requests are above INR 2 lakh, AMC shall redeem the first INR 2 lakh without such restriction and remaining part over and above INR 2 lakh shall be subject to such restriction.

#### Provision of advisory services

The AMC will offer non-binding, non-discretionary advisory services to pooled assets, as permitted under Regulation 24(b) of the SEBI (Mutual Funds) Regulations, 1996, as amended from time to time ("the Regulations").

Further, while providing these services, the AMC shall ensure that:

- There is no conflict of interest with the activities of the Fund;
- There exists a system to prohibit access to insider information as envisaged under the Regulations; and
- Interest of the Unit holder(s) of the Scheme(s) of the Fund are protected at all times.

The Securities and Exchange Board of India vide its letter date March 18, 2016 has communicated it's no objection to provide the aforesaid services.

Portfolio Manager The AMC has renewed its registration obtained from SEBI vide Registration No. - INP000003682 dated April 01, 2019 to act as a Portfolio Manager under the SEBI (Portfolio Managers) Regulations, 1993. The said certificate of registration is valid unless it is suspended or cancelled by SEBI.

#### **Product Differentiation**

The investment themes of the existing debt-oriented schemes of the Mutual Fund (along with the asset under management and number of folios) are as stated below:

Sr. No.	Name and type of the Scheme		Asset Allocation Pattern	Primary Investment Pattern/ Strategy	Differentiation	AUM as on September 30, 2022 (₹ in crores)	Number of Folios as on September 30, 2022
1.	L&T Triple Ace Bond Fund - An open ended debt scheme predominantly investing in AA+ and above rated corporate bonds	a) b)	rated corporate debt instruments including TREP: 80%-100% of total assets.	The scheme would invest predominantly in AA+ and above rated corporate bond instruments with an aim to generate returns matching the investment objective.  The fund's portfolio would carry relatively low credit risk by virtue of its focus on investing predominantly in AA+ and above rated instruments.	The scheme would invest predominantly in AA+ and above rated corporate bond instruments, with a view to generate regular and stable income over medium to long term	6,778.00	15134
2.	L&T Liquid Fund - An open ended liquid scheme	,	Debt securities: 0-100% of total assets. Money m a r k e t instruments (including cash/call money): 0%- 100% of total assets.	The Fund Management team endeavors to meet the investment objective whilst maintaining a balance between safety, liquidity and the return aspect of various investments.	The Scheme is categorized as a liquid Scheme and the Scheme cannot buy debt or money market instruments with maturity greater than 91 days.	8,110.42	18887
3.	L&T Ultra Short Term Fund - An open ended ultra-short term debt scheme investing in instruments such that the Macaulay duration of the portfolio is between 3 months to 6 months	a) b)	Debt securities: 0-100% of total assets. Money market instruments (including cash/call money): 0- 100% of total assets	In line with the investment objective, the investments would be made in fixed income securities including money market instruments with low to moderate risk.  The Fund Management team would apply multiple, objective criteria for selection of securities in the portfolio. These criteria would include yield, credit rating, tenure, liquidity and value-added features of the instrument.	An open ended ultra-short term debt scheme investing in instruments such that the Macaulay duration of the portfolio is between 3 months to 6 months	1,616.62	10328
4.	L&T Conservative Hybrid Fund - An open ended hybrid scheme investing predominantly in debt instruments	,	Debt, Money Markets and Government securities (including cash/ call money): 75%-90% of total assets. Equity and Equity Related instruments: 10-25% of total assets.	The Fund Manager shall formulate a view of the interest rate movement based on various parameters of the Indian economy, as well as developments in global markets.  For Equity portion of the Portfolio, the Fund Manager shall follow a structured investment process. The Scheme endeavours by investing only in those companies that have been thoroughly researched in house.	An open-ended hybrid scheme investing predominantly in debt instruments. The scheme has marginal allocation towards equities upto 25% of the total assets.  The Scheme carries risks associated with equities; as marginal portion is invested in equity and equity related instruments.	35.26	1925
5.	L&T Money Market Fund - An open ended debt scheme investing in money market instruments	a)	Money Market Instruments: 0%-100% of total assets.	In line with the investment objective of the Scheme, the investments would be made predominately in a portfolio comprising of money market instruments.  The scheme will invest in short term instruments, which may comprise of certificates of deposit, commercial papers, TREP, repos, reverse repos, treasury bills and government securities having unexpired maturity upto 1 year and all other eligible money market instruments as specified by SEBI and RBI from time to time.  The scheme will evaluate securities based on parameters such as liquidity, yield, credit profile, etc. before including in the portfolio.	The scheme aims to generate regular income through investment in a portfolio comprising substantially of money market instruments.	692.72	9738
6.	L&T Flexi Bond Fund - An open ended dynamic debt scheme investing across duration	a) b)	0-100% of total assets.  Money Market instruments: 0-100% of total assets.	The portfolio will be constructed and actively managed to generate returns to match the investment objective and to maintain adequate liquidity to accommodate funds movement. Capital appreciation opportunities could be explored by extending credit and duration exposure. The fund management team will take an active view of the interest rate movement supported by quantitative research, to include various parameters of the Indian economy, as well as developments in global markets.	The Scheme is an open- ended dynamic debt scheme investing across duration.  The portfolio is constructed and actively managed to generate returns to match the investment objective and to maintain adequate liquidity to accommodate funds movement.	40.30	1378

Sr. No.	Name and type of the Scheme		Asset Allocation Pattern	Primary Investment Pattern/ Strategy	Differentiation	AUM as on September 30, 2022 (₹ in crores)	Number of Folios as on September 30, 2022
7.	L&T Overnight Fund - An open ended debt scheme investing in overnight securities	a)	Overnight securities having maturity of 1 day: 0% to 100% of total assets.	The portfolio will be constructed and managed to generate returns to match the investment objective and to maintain adequate liquidity to accommodate funds movement. Since the investments of the scheme would be predominantly in overnight securities, the interest rate risk of the portfolio is likely to be extremely low which is in line with the investment objective and as a result a significant proportion of the total returns is likely to be in the form of income yield or accrual.	The Scheme is an open- ended debt scheme investing in overnight securities.  The scheme aims to generate reasonable returns with lower volatility and higher liquidity over short term, by investing in debt and money market instruments with overnight maturity.	2,356.96	4563
8.	L&T Banking and PSU Debt Fund - An open ended debt scheme predominantly investing in debt instruments of banks, public sector undertakings, public financial institutions and municipal bonds.	a)	Debt and money market instruments/ securities issued by Banks, Public Sector Undertakings (PSUs) and Public Financial Institutions (PFIs) and Municipal Bonds including TREP: 80% to 100% of total assets.  Debt and money market instruments/ securities issued by other entities: 0% to 20% of total assets.	The portfolio will be constructed and actively managed to generate returns to match the investment objective and to maintain adequate liquidity to accommodate funds movement. The portfolio will primarily be invested in debt and money market instruments consisting predominantly of securities issued by entities such as Banks, Public Sector undertakings (PSUs), Public Financial Institutions (PFIs) and Municipal Bonds.  The Fund will typically invest in short to medium term securities and as a result significant proportion of the total returns is likely to be in the form of income yield or accrual. Selective capital appreciation opportunities could be explored by extending credit and duration exposure after a careful analysis by the fund manager and considering the risk reward situation prevailing in the fixed income market at that point of time.	The portfolio will primarily be invested in debt and money market instruments consisting predominantly of securities issued by entities such as Banks, Public Sector undertakings, Public Financial Institutions (PFIs) and Municipal Bonds.	4,526.48	4367
9.	L&T Credit Risk Fund An open ended debt scheme predominantly investing in AA and below rated corporate bonds	b)	Debt instruments: 0-100% of total assets.  Money market instruments: 0-100% of total assets.  Units issued by REITs and InvITs: 0-10% of total assets (Fund will invest predominantly in AA and below rated corporate bonds).	The percentage of investment in various fixed income securities will be decided after considering the economic environment, the performance of the corporate sector and general liquidity, prevailing political conditions and other considerations in the economy and markets.	An open ended debt scheme predominantly investing in AA and below rated corporate bonds. The scheme may also invest in various debt securities, government securities and money market instruments, REITs and InvITs	160.29	2127
10.	L&T Low Duration Fund - An open ended low duration debt scheme investing in instruments such that the Macaulay duration of the portfolio is between 6 months to 12 months	b)	Debt Instruments: 0-100% of total assets.  Money market instruments: 0-100% of total assets.  Units issued by REITs and InvITs: 0-10% of total assets  The Macaulay duration of the portfolio will be maintained between 6 to 12 months.	The portfolio will be constructed and actively managed to generate returns to match the investment objective and to maintain adequate liquidity to accommodate funds movement.  The fund management team will take an active view of the interest rate movement supported by quantitative research, to include various parameters of the Indian economy, as well as developments in global markets. Investment views/ decisions will be a combination of credit analysis of individual exposures and analysis of macro-economic factors to estimate the direction of interest rates and level of liquidity.	An open ended low duration debt scheme investing in instruments such that the Macaulay duration of the portfolio is between 6 months to 12 months	664.77	7142
11.	L&T Short Term Bond Fund - An open ended short term debt scheme investing in instruments such that the Macaulay duration of the portfolio is between 1 year to 3 years	'	Debt instruments: 0%-100% of total assets.  Money market instruments: 0-100% of total assets.	The Scheme shall follow an active duration management strategy. The Macaulay duration of the Portfolio of the Scheme will be between 1 to 3 years. The fund manager shall manage the fund based on the outlook on interest rates and liquidity etc. Efficient portfolio construction shall be used to manage interest rate risk and credit risk across different asset class and duration buckets, and optimize risk-adjusted returns.	The scheme seeks to generate returns with moderate level of risk by investing primarily in Debt Securities and money market instruments. The Macaulay duration of the scheme will be between 1 to 3 years	3,514.03	12713

Sr. No.	Name and type of the Scheme		Asset Allocation Pattern	Primary Investment Pattern/ Strategy	Differentiation	AUM as on September 30, 2022 (₹ in crores)	Number of Folios as on September 30, 2022
12.	L&T Resurgent India Bond Fund An open ended medium term debt scheme investing in instruments such that the Macaulay duration of the portfolio is between 3 years to 4 years	b)	Debt instruments: 0-100% of total assets.  Money market instruments: 0-100% of total assets.  Units issued by REITs and InvITs: 0-10% of total assets	The Scheme would primarily focus on investing in debt securities of fundamentally strong companies in growth sectors which are closely associated with the resurgence of domestic economy, with a flexibility to follow more conservative investment approach during economic downturns.	by investing primarily in debt and money market securities of fundamentally strong corporates/companies in growth sectors which	686.51	3709

For the exact asset allocation pattern and investment pattern/investment strategy, investors are requested to refer to the Scheme Information Documents of the respective schemes.

Ready Reckoner For Schemes				
Scheme	Available Options	Available Dividend Mode	Available Dividend Frequency	Minimum Investment Amount (₹)
L&T Flexicap Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Tax Advantage Fund	Growth & IDCW	Pay Out	N/A	500
L&T Large and Midcap Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T India Large Cap Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Hybrid Equity Fund	Growth & IDCW	Pay Out & Reinvestment	Annual	5,000
L&T Balanced Advantage Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Midcap Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Infrastructure Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Focused Equity Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Arbitrage Opportunities Fund	Growth & IDCW	Pay Out & Reinvestment	Monthly & Quarterly	5,000
L&T Business Cycles Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Equity Savings Fund	Growth & IDCW	Pay Out & Reinvestment	Monthly & Quarterly	5,000
L&T Emerging Businesses Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T India Value Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5,000
L&T Flexi Bond Fund	Growth & IDCW	Pay Out & Reinvestment	Annual	10,000
L&T Overnight Fund	Growth & IDCW	Pay Out & Reinvestment	Daily, Weekly & <u>Monthly</u>	10,000 for all options except Daily IDCW Reinvestment. For Daily IDCW Reinvestment- 1,00,000
L&T Banking and PSU Debt Fund	Growth & IDCW	Pay Out & Reinvestment	Daily, Weekly & Monthly	10,000
L&T Low Duration Fund	Growth & IDCW	Pay Out & Reinvestment	Annual	10,000
L&T Triple Ace Bond Fund	Growth & IDCW	Pay Out & Reinvestment	Quarterly ,Semi Annual & Annual	10,000
L&T Gilt Fund	Growth & IDCW	Pay Out & Reinvestment	Quarterly	10,000
L&T Conservative Hybrid Fund	Growth & IDCW	Pay Out & Reinvestment	Monthly & Quarterly	10,000
L&T Liquid Fund	Growth & IDCW	Pay Out & Reinvestment	Daily & Weekly	10,000 for all options except Daily IDCW Reinvestment. For Daily IDCW Reinvestment- 1,00,000
L&T Ultra Short Term Fund	Growth & IDCW	Pay Out & Reinvestment	Daily,Weekly, <u>Monthly</u> & Semi Annual	10,000 for all options except Daily IDCW Reinvestment. For Daily IDCW Reinvestment- 1,00,000
L&T Money Market Fund	Growth & IDCW	Pay Out & Reinvestment	Daily, Weekly, <u>Monthly</u>	10,000
L&T Credit Risk Fund	Growth & IDCW	Pay Out & Reinvestment	Annual	10,000
L&T Short Term Bond Fund	Growth & IDCW	Pay Out & Reinvestment	Monthly, Quarterly & Annual	10,000
L&T Resurgent India Bond Fund	Growth & IDCW	Pay Out & Reinvestment	Annual	5,000
L&T Nifty 50 Index Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5000
L&T Nifty Next 50 Index Fund	Growth & IDCW	Pay Out & Reinvestment	N/A	5000

If the Option, Dividend Mode or Dividend frequency is not indicated, the underlined Option, Dividend Mode and dividend frequency will be taken as default and processed accordingly.

IDCW: Income Distribution cum Capital Withdrawal

## **Common Application Form**

Drawn on Bank



1

App. No.				Time Stamp
Please refer to the general instruction	ns for assistance and complete	all sections in English For legibility	nlesse use BLOCK I ETTER	,
Distributor/RIA Code	Sub-Distributor ARN	Sub-Distributor Code	EUIN	Branch Code
harges for investments sourced by him. Th e deducted over 3-4 instalments. No transa	e transaction charges deductible are action charges would be levied if you a	tion charges of Rs. 100/- from your investm Rs. 150/- if you are investing in Mutual Fundare not investing through a Distributor or y	is for the first time. If you are mak	r if your distributor has opted to receive transacti ing a SIP Investment, the transaction charges wo an Rs.10,000/
this is the first time, you are investing in any		ov has been intentionally left blank by me/	ue as this is an "ovecution only"	transaction without any interaction or advice by
	n of the above distributor and/or noty			ee/relationship manager/sales person of distribu
,				
≤ Sole/1st Applicant	∠ 2nd Ap	pplicant		nt
. EXISTING UNIT HOLDER'S I	NFORMATION (If you hold a Fo	olio with L&T Mutual Fund, please furnish	the below information and move	to Investment & Payment Information section
lame of Sole/1st Unit Holder ☐ Mr. ☐	□ Ms. □ M/sFirst Nam	ne Middle Name	Last Name	Folio No.
AN/PEKRN#	KIN <sup>1</sup>		Date of Bir	th^   D   D   M   M   Y   Y   Y   Y
lobile No. +91-	E-mail I	d		
pplicable for Non-Indivuduals				
egal Entity Identifier (LEI) Number ^				EI) Expiry Date D D M M Y Y Y
egal Entity Identifier (LEI) is applicab	ale for both Inward (Subscriptions	s) & Outward (Redemptions /IDCWs)	remittance of Rs 50 Crores ar	d above from Non Individuals
. NEW APPLICANT(S) PERSO	NAL INFORMATION			
ame of 1st/Sole Applicant □ Mr. □		t Name	Middle Name	Last Name
AN/PEKRN#	KIN <sup>^</sup>		Date of Birth	Mandatory if first applicant is a minor)
lobile No.* +91- SE -SELF  SP -Spouse  DC	:-Dependent Children	ependent Siblings   DP- Dependent	Parents GD-Guardian	PM- PMS  CD-Custodian PO-POA
-mail Id*				
•	·		Parents GD-Guardian	PM- PMS CD-Custodian PO-POA
Mobile No and Email ID registration	is are subject to further validat	tions.		
Applicable for Non-Indivuduals egal Entity Identifier (LEI) Number ^			Legal Entity Identifier (LE	EI) Expiry Date   D   D   M   M   Y   Y   Y
Legal Entity Identifier (LEI) is applicate	ole for both Inward (Subscriptions	s) & Outward (Redemptions /IDCWs)	remittance of Rs 50 Crores ar	d above from Non Individuals
Guardian (For Minor Investments	s) / Contact Person (For Non First Name	n-Individuals) Middle Na		Last Name
ame  Mr.  Ms.  M/s	KIN^			
AN/PEKRN#	KIN		Date of Birth	(Mandatory if first applicant is a minor)
lobile No.* +91- □ SE -SELF □ SP -Spouse □ D0	 C -Dependent Children ☐ DS- Γ	Dependent Siblings DP- Dependent	t Parents 🗌 GD-Guardian 🗌	PM- PMS  CD-Custodian  PO-POA
-mail Id*	· 			
☐ SE -SELF ☐ SP -Spouse ☐ DO	C -Dependent Children   DS- D	Dependent Siblings	t Parents  GD-Guardian	PM- PMS   CD-Custodian   PO-POA
Mobile No and Email ID registration				
Relationship with Minor Applicant  Natural Guardian	Proof of Date of Birth  Birth Certificate Copy	_	Proof of the Relationship with  Birth Certificate Copy	Passport Copy Court Appointment Ord
Court Appointment Guardian			Others	(please specify)
. DETAILS OF OTHER APPLIC	CANT(S) (Please note that w	here the sole/1st applicant is a m	inor, no joint holders are a	illowed)
ame of 2nd Applicant   Mr.   Ms.	. □ M/s First Na	ame I	vliddle Name	Last Name
AN/PEKRN#	KIN <sup>^</sup>		Date of Birth	
lobile No. +91-	E-mail ld			(Mandatory if first applicant is a minor)
ame of 3rd Applicant	.   M/s First Na	ame M	/liddle Name	Last Name
AN/PEKRN#	KIN <sup>^</sup>		Date of Birth	
ostal address, please tick here CYC is mandatory. Please enclose cop	ies of KYC acknowledgement let	Report & other communication over e ters for all applicants. **PEKRN require for for Individual(s) who has registere	ed for Micro investments upto	=
		,, ,		
ACKNOWLEDGEMENT SLIP (To be	tilled in by the Applicant)			L&T Financial Service  Mutual Fu
eceived from		• •	an application for	App. No.
vestment in Scheme L&T		Option	○ M. JE O. J	
vestment Type (✓)			Multi-Scheme Lumpsum	For Office Use Only
vestment Cheque Details : Instrumen	ıt number	Rs Dated	D D M M Y Y Y Y	Acknowledgement
awn on Bank	Branch _	City		Stamp & Date

\_\_\_ City \_\_\_

Branch \_\_\_\_

4. Address (Address as per KRA recor	rds will overwrite this address if you are	e KYC compliant)		
Complete Correspondence Address				Pin
Overseas Address (Mandatory for NRI	s/PIOs)			
City/Town	Pin	State		Country
Tel (R) (ISD) (STD)	Tel (O) (ISD) (STD)		Fax (ISD) (S	STD)
5. Tax status of Sole/First Applicant (	Please ✓)			
Resident Indian Individual Non Resident Indian Individual (NRI) – Non Resident Indian Individual (NRI) – Minor (Resident Indian) Minor (NRI - Repatriable) Minor (NRI - Non Repatriable) Hindu Undivided Family (HUF) – Indian Hindu Undivided Family (HUF) – NRI – Repatriable Person of Indian Origin (PIO)	Non Repatriable Public Ltd. Co. Private Ltd. Co. Body Corporate Unlisted Company Government Body NPS Trust	Financia Foreign I Foreign I FPI - Cat FPI - Cat FPI - Cat Insuranc FF Trust Defence Society	tegory II tegory III	<ul><li>Overseas Corporate Body</li><li>Non Govt. Organization (NGO)</li></ul>
6. BANK ACCOUNT INFORMATION	(Mandatory for receiving Redemption/	IDCW payments)		
Account Number			nt Type: ○ Saving ✓any one ○ FCNR	S Current ONRE ONRO Others
Bank Name		Branch		
	IFSC IFSC IFSC IFSC IFSC IFSC IFSC IFSC	lease attach an origin	al cancelled cheque	leaf of the above account with the name
7. MODE OF HOLDING  Please ✓ ○ Sole/1st Holder only  (If the mode of operation is not specified, 1	O Any one or Survivor* for folios opened with more than one applica	○ Joint ant, the mode of operat	ion would be taken as	"Any one or Survivor")
A)    I / We wish to Nominate  I/We do hereby nominate the person(s) nayments and settlements made to Nominate the person of the payments and settlements made to Nominate the person of the pers	the Unitholder irrespective of the mode of amed below to receive the units allotted to nee(s), and signature(s) of the Nominee(s) Is submitted by the investor will be consider	my/our credit in my/ou acknowledging receipt	thereof, will be noted	I as the valid discharge by the AMC/Mutua
Particulars	1st Nominee	2nd No	minee	3rd Nominee
Name				
Relationship with Sole / First Unitholder				
Allocation %				
PAN				
Date of Birth (in case nominee is a minor)	D D M M Y Y Y Y	D D M M	YYYY	D D M M Y Y Y
Guardian Name (in case nominee is a minor)				
Relationship with Minor	○ Father ○ Mother ○ Court appointed guardian	○ Father ○ Mother ○ Co	urt appointed guardian	○ Father ○ Mother ○ Court appointed guardian
Complete Address				
Pincode				
Signature of Guardian (if nominee is minor)				
Signature of Nominee				
our mutual fund folio and understand the	mination OPT-OUT): I / We hereby confirm ne issues involved in non appointment of no e requisite documents issued by Court or other.	minee(s) and further ar	e aware that in case o	of death of all the account holder(s), my / ou
Sole/First Applicant/Gu	uardian & Se	econd Applicant		

This is only acknowledgment of receipt of application and will be processed as per the contents filled in the application, subject to realisation of cheque and furnishing of mandatory information/ documents.

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021. Please retain this slip till you receive your account statement.

**call** 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.ltfs.com

9. INVESTMENT 8	& PAYMENT INFORMATION	N (Please ensure th	nat the cheque co	mplies to	the CTS 2010 standa	rds)		
1. Investment Type	<b>1. Investment Type (✓)</b> ○ Lumpsum ○ SIP ○ Multi-Scheme Lum ○ Micro SIP (For SIP/Micro SIP, please fill SIP Investment Form)				O Multi-Scheme Sl	P (Please fill Multi-Scheme	SIP Investment Form)	
For Lumpsum & SI	P Investment (Please issue	cheque favouring so	cheme name)					
Investment Amount	t (₹)	DD Charges	(if applicable ₹) _			Net Amount (₹)		
Scheme Name L&T				Option (✓)	○ Growth* ○ IDCV	V <sup>@</sup> Payout ○ IDCW <sup>@</sup> Reinve	estment O Bonus^	
IDCW <sup>®</sup> Frequency	(✓wherever applicable)	Daily O Wee	kly O Montl	hly*	O Quarterly	Annual^ Semi-A	nnual^	
	P/Multi-Scheme Lumpsum (Ple	•	•	-	SIP and L&T MF Multi	Scheme Lumpsum respecti	vely)	
		•	_					
			,oo (ii appiioabio (			(,)		
Scheme 1: L&T				Option	(✓) ○ Growth* ○ ID0	CW <sup>@</sup> Payout ○ IDCW <sup>@</sup> Reir	nvestment O Bonus^	
Amount (₹)				IDCW <sup>®</sup> Frequency				
					,	CW <sup>@</sup> Payout ○ IDCW <sup>@</sup> Reir		
Amount (₹)				IDCW@	Frequency			
Scheme 3 : L&T				Option	(✓) ○ Growth* ○ ID0	CW <sup>@</sup> Payout ○ IDCW <sup>@</sup> Reir	nvestment O Bonus^	
Amount (₹)				IDCW@	Frequency			
@Note: IDCW stands	s for 'Income Distribution cum ( sents realized gains, as may l	Capital Withdrawal op	tion'. The amounts	can be dis	tributed out of investors	s' capital (Equalization Reser	ve), which is part of the	
	: For Lumpsum and SIP/Mul	ti-Scheme SIP/Multi	-Scheme Lumnsu	m				
○ Cheque / DD / Pa	•		•		Lumpsum and SIP Ir	nvestment)		
If cheque / DD / Pay	Order, please fill Instrument	No.	Instr	ument Da	te D D M M Y	Y   Y   Y   Y		
Instrument Amount _		DD Charges (if a	pplicable ₹)		Ne	et Amount (₹)		
Drawn on	Bank Name					Bank City		
			O NRO	O FCN	IR Others			
If electronic transfe	er, please fill UTR No.							
Amount	Debit Bar	nk Name			Account No			
If One Time Mandat	te, Please fill, Unique Mandate	Reference Number						
Amount	Debit Bar	nk Name			Account No			
Note: Effective Febru	selected ^Available in sel to avoid Third Party Payment I lary 1, 2021, units will be allotte alue (NAV) will be applied base	rejection, wherever ap ed only upon receipt o	oplicable:  Bank	ker's Certifi <b>unt by the</b>	cate for DD   Third P  Fund House for utilisat	ion irrespective of any schen	ne category/investment	
10. KYC DETAILS CATEGORIES	(Mandatory. If left blank the First Applicant/ (			cond Ap	plicant	Thind A	licant	
CATEGURIES	Below 1 lac	O 1-5 Lacs	O Below 1 lac	ευσια Αρ	O 1-5 Lacs	Third App  O Below 1 lac	○ 1-5 Lacs	
Gross Annual	○ 5-10 Lacs	○ 10-25 Lacs	○ 5-10 Lacs		○ 10-25 Lacs	○ 5-10 Lacs	○ 10-25 Lacs	
Income	O 25 Lacs - 1 crore	○ > 1 Crore	O 25 Lacs - 1 cro	ore	○ > 1 Crore	O 25 Lacs - 1 crore	○ > 1 Crore	
(For Individuals and Non	Net-worth in (Mandatory for N	Non-Individuals)	Net-worth			Net-worth		
Individuals)	(₹)	as on	(₹)		as on	(₹)	as on	
		Not older than 1 year)	DD/MM/	YYYY	(Not older than 1 year)		(Not older than 1 year)	
	<ul><li>Private Sector Service</li><li>Public Sector Service</li></ul>	<ul><li>○ Retired</li><li>○ Student</li></ul>	<ul><li>Private Sector</li><li>Public Sector</li></ul>		<ul><li>○ Retired</li><li>○ Student</li></ul>	<ul><li>Private Sector Service</li><li>Public Sector Service</li></ul>	<ul><li>○ Retired</li><li>○ Student</li></ul>	
Occupation Details	O Government Service	O Forex Dealer	O Government S		Forex Dealer	O Government Service	O Forex Dealer	
(For Individuals	Business     Professional	Agriculturist     Housewife	Business     Professional		Agriculturist     Housewife	Business     Professional	Agriculturist     Housewife	
only)		e specify	Others		se specify		ase specify	
Others	I am politically Exposed F		I am politically			I am politically Exposed		
(For Individuals	I am Related to Politically			•	y Exposed Person	I am Related to Politica		
only)	O Not Applicable		O Not Applicable	•		Not Applicable		
Additional KYC Det	tails for Non-Individuals  Is the company a Listed Com	nany or Subsidion:	f Listed Company	or Controll	ad by a Listed Company	ny O YES	O NO	
Others (For Non-	(If No, please attach Ultimate				su by a Listeu Compar	∪ 1ES	O NO	
(For Non- Individuals only)	If the Entity involved/providin	• , •			S (Please ✓ from belo	•	/Di	
	○ Gaming/Gambling/Lottery	/Casino Services	∪ Foreign	⊨xchange	e/ Money Changer Sen	vices O Money Lending	g/Pawning	

11. INFORMATION REQUIRED FO	OR TAX REPORTING (Mandatory. If lef	t blank the application is liable to be re	jected)
Category	Sole/First Applicant/Guardian	Second Applicant	Third Applicant
Gender			
Father's Name			
Type of address given at the KRA	Residential or Business	Residential or Business	Residential or Business
Type of address given at the NOV	Residential	Residential	Residential     Residential
	O Business	O Business	O Business
	Registered Office	Registered Office	O Registered Office
Permissible documents are O Passpo	ort		
Country/Place/City of Birth			
Country of citizenship/nationality	○ Indian ○ U.S. ○ Others	○ Indian ○ U.S. ○ Others	○ Indian ○ U.S. ○ Others
	(Please, specify)	(Please, specify)	(Please, specify)
If No, please mandatorily enclose the	resident of any other country O Yes O FATCA & CRS Declaration for Individual I Indatorily enclose the FATCA, CRS & UBO	nvestors.	ne sections filled.
12. POWER OF ATTORNEY (PoA	) HOLDER DETAILS		
If your investment is being made by a registering the same:	Constituted Attorney on your behalf, please fu	ırnish the below details and enclose a origina	al <u>notarised copy</u> of the Power of Attorney for
POA Holder's Name   Mr.   Ms.	First Name	Middle Name	Last Name
POA for O Sole / First Applicant O	Second Applicant O Third Applicant	E-mail ld	
PAN of POA Holder (POA Holder needs to comply with a has registered under Central KYC R			ate of Birth <sup>^</sup> DDDMMMYYYYYY of Birth is mandatory for Individual(s) who
13. DEMAT ACCOUNT INFORMAT	TION (Mandatory for crediting units in den	nat account)	
		v details and enclose a copy of the Client	Master that you may have received from your
Depository Participant. O NSDL	O CDSL		
NSDL/CDSL: Depository Participant Na	ame		
Depository Participant ID	Beneficiary	/ A/c No	
Enclosed: Cli	ent Master O Transac	ction / Statement Copy / DIS Copy	
14. DECLARATION, CONSENT & S	SIGNATURES		
including the sections on "Who cannot invest", Know-Your-Customer and Investor Protection" I/We am/are authorised to make this investmer or evasion of any Act, Rules, Regulations, No to disclose details of my investment to my ba commissions (in the form of trail commission or us. I/We have neither received nor been induc truly stated. I/We accept and agree to abide by Manager through various channels. In case the to LTIM/Fund within 30 days of the change. I/N Transfer Agent "RTA") from other SEBI Regist submission /updation. I / We authorize LTIM/F my/our account(s) under intimation me/us. I/We hereby accord my/our consent to disclose	"Foreign Account Tax Compliance Act (FATCA) / Cor. I/We hereby apply for allotment/purchase of Units in tt and that the amount invested in the Scheme(s) is th tifications or Directions issued by any authority in In Ink(s) / Fund's bank(s) and/or Distributor/Broker/Inver any other mode), payable to him for the different coned by any rebate or gifts, directly or indirectly, in make the terms and conditions and privacy policy (as menter is any change in the information (especially pertawally each of the records (including pertawally enter information). If the records (including pertawally enter information to upstream of the records), share, remit in any form, mode or manner, all/any of	nmon Reporting Standard (CRS)" ("Reporting Guide the Scheme(s) and agree to abide by the terms and rough legitimate sources only and does not involve a dia. I/We hereby authorise L&T Mutual Fund ("the I stment Adviser/any governmental or regulatory authorise sources of various Mutual Funds from amoring this investment. I/We declare that the informatio tioned on HYPERLINK "www.lffs.com/) with respectining to Reporting Guidelines) laready provided to Luining to the Reporting Guidelines) basis the information to share the information provided by me / us with a payors to enable withholding to occur and pay out the information provided by me/us, including all char	andum of the aforesaid Scheme(s) of L&T Mutual Funcilines" and "Important Note on Anti Money Laundering I conditions applicable thereto. I/We hereby declare tha and is not designed for the purpose of any contravention Fund"), its Investment Manager ("LTIM") and its agents thority. The ARN holder has disclosed to me/us all the 19st which the Scheme(s) is being recommended to me in given in this application form is correct, complete and to my/our dealings with L&T Mutual Fund/its Investmen LTIM / Fund, I/We agree that I/We shall inform the same attoin / documents received by LTIM/Fund/Registrar and other SEBI Registered Intermediaries to facilitate single any sums from the my/our account or close or suspendinges, updates to such information as and when provided ory or judicial authorities, without any prior intimation to
dated 22 August 2011. This investment is being	g made notwithstanding the advice of the appropriate	ness/inappropriateness of the same. On such transa	s explained vide SEBI Circular No. CIR/IMD/DF/13/201: action(s), I am not being charged any kind of transaction ompany concerned in lines with the commission rate(s
	from funds in my/our NRE/FCNR Account. I/We unde	. ,	ationality/Origin and that I/We have remitted funds fron olio will also be from funds received from abroad through
	H SEBI REGISTERED INVESTMENT ADVISER / PC r investments under Direct Plan to the above mention		our consent to share/provide the transactions data feed Manager.
			Date:

Second Applicant

Third Applicant

Sole/First Applicant/Guardian

#### GENERAL INSTRUCTIONS FOR COMMON APPLICATION FORM

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while fi lling the form all applicants must sign against the corrections.

 Existing Unit Holders: If you hold a folio with us, please furnish the Folio Number, Name and PAN of Sole/First Applicant in Section 1 of the Form. Your investment would be processed in the specified folio.

Your personal information and bank account details would apply to this investment as well.

New Applicants: New Applicants need to provide their personal information in Section 2 of the Form.

If there are more than one applicants and the mode of operation of the account is not indicated, the same would be treated as "Any one or Survivor".

#### In case of Non-Individual Applicants:

 SEBI has mandated identification of Ultimate Beneficial Owners for non-individual investors other than listed companies (and majority owned subsidiary of such companies).

If the applicant investing is not the ultimate beneficiary owner, please also fill up the Declaration For 'Ultimate Beneficiary Ownership' form to furnish details of such person(s).

- b. The Foreign Account Tax Compliance Act (FATCA) of the USA, stipulates identification of US account holders and reporting of details related to US Account Holders to the Inland Revenue Service (IRS) in the U.S. India has agreed to sign the Inter-Governmental Agreement with USA which inter alia includes reporting of holdings and transactions in specified US Accounts. Accordingly, certain additional information are being sought from investors. Non-Individual investors also need to indicate if they qualify under any of the heads of classification stipulated under FATCA.
- Investments by Minor Applicants: If the Sole/First applicant is a minor i.e. below 18 years of age:
  - a. Payment for investment by means of Cheque, Demand Draft or any other mode shall only be accepted from the bank account of the minor or from a joint account where the minor is one of the holders with the quardian.
  - b. details of Guardian for the minor applicant needs to be provided
  - the relationship of the Guardian with the Applicant needs to be indicated in the form
  - d. proof of date of birth of the applicant and the relationship of the guardian with the applicant needs to be provided
  - e. the Guardian's PAN needs to be provided
  - f. the Guardian needs to comply with applicable KYC requirements.

Please further note that joint applicants are not permitted where the Sole / First applicant is minor. Please also note that a minor cannot be a joint applicant in a folio.

- Investments by Attorneys: Where the investment is being made by a Constituted Attorney on behalf of the applicant,
  - a. the name and PAN of the POA Holder needs to be provided in the form
  - a notarized copy of the Power of Attorney duly signed by the Applicant & the Constituted Attorney needs to be attached with the application.
     Alternatively, the original POA can be submitted which will be returned after verification.
  - c. nomination on behalf of an applicant signed by an attorney would not be registered.
  - The Fund House reserves the right to hold redemption proceeds in case the requisite documents are not submitted.
- 5. Micro Investments: As per AMFI notification and guidelines issued on July 4, 2009, SIPs or lumpsum purchases by eligible investors in a rolling 12 month period or in a financial year not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
  - a. The exemption of PAN requirement is only available to individuals.
  - In case of investments held jointly, the first holder must not possess a PAN.
  - Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above
  - Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.

#### 6. KYC (Know Your Customer):

- a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.
- b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill in new KYC form viz., "CKYC Form" to comply with the KYC requirements. For more information on KYC requirements, please visit our web site (www.lntmf.com) or speak to your investment adviser.

For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, nature of entity, nature of business, address and certain details of Promoters/Partners/Trustees/Whole Time Directors/Kartas of HUF. SEBI has appointed KYC Registration Agencies (KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirements, please visit our web site or speak to your investment adviser.

- c. If the investment is being made on behalf of a minor applicant, the Guardian needs to comply with KYC requirements.
- d. If the investment is being made by a Constituted Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

#### Address & Contact Information:

- Please furnish your full address with postal code in the Application Form. PO Box Number is not sufficient
- b. Please note that your address on our records would be automatically updated with your address appearing in the records of the KRA. If your address in the application form is different from what appears in the records of the KRA, please ensure that your latest address is updated in the records of the KRA.
- c. Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in case of of Individual Applicant and Key Contact Person in case of Non-Individual Applicants in the form. This would help us seamlessly communicate with you on your investments.
- d. Please tick the appropriate boxes of the family code (mentioned below the Mobile Number and E-Mail Address) to ascertain the Actual / End user of the given Mobile Number and E-Mail Address.
- 8. Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.
- 9. Demat Account: If you wish to hold your investments in the Dematerialised mode, please provide the name and DP ID of your Depository Participant and your Beneficiary Account Number. Please also attach a copy of the Client Master that you may have received from your Depository Participant.

Please ensure that the sequence of names in the application form matches the sequence in which the Demat account is held. If the sequence of names does not match with the Demat account details provided therein, the Units will not be transferred to the Demat Account and the Units will be held in the physical mode.

Please note that this is an additional facility offered to unit holders who wish to hold units in the dematerialised mode. This is not a mandatory requirement and unit holders can continue holding units physically with the mutual fund. If you do not wish to hold your mutual fund units in the dematerialised form, please strike off this section.

If the demat account details in the application form are incomplete/incorrect or does not match with the records of the Depository, units would be allotted and held physically in the Fund's books and an Account Statement confirming the unit allotment would be sent to you.

#### 10. Bank Account:

- Please furnish your complete Bank Account details to be registered against your folio for redemption / IDCW payments.
- b. If your investment cheque is not being issued from the Bank Account indicated in the Section tiltled "Bank Account Information" please attach an original cancelled cheque leaf of this account for verification. If the cheque leaf does not have your name pre-printed, please additionally provide an extract of your bank statement or passbook duly attested evidencing the correctness of your bank details or a letter from your bank confirming your bank details.
- c. Electronic Payments: We offer electronic payment of redemptions and IDCWs. To avail this facility please furnish:
  - IFSC This is a 11 character code. If you don't see this printed on your cheque leaf, please ask your Bank for the same;
  - MICR Code This is a 9 digit number appearing next to your cheque number ;
  - CBS Bank Account Number Most banks have now implemented core-banking solutions leading to a change in Account Number of customers. Please provide your latest core banking Account Number.
- d. We offer the facility to register multiple bank accounts against your investments. If you wish to avail this facility, please ask for a Multiple Bank Details Registration Form.
- e. If your units are held in the Dematerialised Form, please note that your Bank Account Details appearing in the Depository's records would be considered for redemption / IDCW payments.
- Nomination: You can appoint upto a maximum of 3 nominees for your investments. Please note the following with respect to nomination.
  - The nomination can be made only by individuals / sole proprietorship firm applying for/holding units on their own behalf singly or jointly.

Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form.

- b. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- c. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- d. In view of the SEBI circular dated July 29, 2022, in the event of non-submission of nomination details/opt out status, with effect from April 1, 2023, the folio will be liable to be frozen for debits.

For more details on Nomination, please refer the Statement of Additional Information (SAI).

#### 12. Investment & Payment Details:

- a. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document.
- If there is a difference in the scheme name between application form and investment cheque issued, scheme on the application form will be considered for processing.
- If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
- d. Your investment cheque should be crossed "Account Payee only" and drawn favouring the scheme name where the investment is in a specific scheme.
- e. Please ensure that the investment cheque issued by you complies with CTS 2010 requirements stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- f. Payments made by Cash/Money Order/Postal Order, Non-MICR cheques Outstation cheques are not accepted. Post dated cheques will not be accepted except for investment made under Systematic investment Plan.

- g. Third Party Payments for investments are not accepted except in the below cases :
  - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
  - Custodian on behalf of an FII or Client made by Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- h. Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- 13. Signatures: All signatures should be in English or any other Indian Language. Thumb impressions should be from the left hand in case of males and right hand in case of females. All such thumb impressions need to be attested by a Magistrate, Notary Public or Special Executive Magistrate under his/her official seal.

Investors are requested to sign both under the nomination section (8) and also on the declaration portion (14).

14. Employees Unique Identification Number (EUIN): If you are making this investment based on an investment advise received from your distributor, please quote the Employees Unique Identification Number (EUIN) of your relationship manager in the Application Form.

If your distributor has, however, not given you any advice pertaining to the investment or your investment decision is not withstanding the advice provided by your distributor regarding inappropriateness of the scheme visà-vis your investment needs, the EUIN box may be left blank. In this case, please sign in the space provided in the respective section to confirm your acceptance to the declaration stated therein.

#### List of documents to be submitted along with the application form:

Serial	Documents	Individuals	NRIs	Investments through Constituted Attorney	Companies	Trusts	Societies	Partnership Firms
1	Signed A/c Payee cheque/draft favouring the scheme	✓	✓	✓	✓	✓	✓	✓
2	KYC acknowledgment	✓	✓	✓	✓	✓	✓	✓
3	Copy of cancelled cheque ( Required where pay out bank details are different from the instrument bank)	✓	<b>✓</b>	✓	✓	<b>✓</b>	✓	✓
4	Proof of Date of Birth (For Minor investments)	✓	✓					
5	Third Party declaration where applicable	✓	<b>√</b>					
6	FIRC where payment is made through a DD from NRE/FCNR A/c where applicable		✓					
7	Client Master List (Required for crediting units in Demat Account)	✓	<b>√</b>	<b>√</b>	✓	<b>√</b>	✓	✓
8	Notarised Power of Attorney			✓				
9	Resolution/Authorisation to invest				✓	✓	✓	✓
10	List of authorized Signatories with Specimen Signatures				✓	✓	✓	✓
11	MOA & AOA( Not required if the KYC status is VERIFIED)				✓			
12	Trust Deed					✓		
13	Bye Laws						✓	
14	Partnership Deed							✓
15	FATCA Declaration	✓	✓	✓	✓	✓	✓	✓

All documents numbered 8-14 should be originals or true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public/Partner as applicable.

**Note:** For product labelling please refer to the cover page.

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.Intmf.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

## Systematic Investment Plan (SIP) / Micro SIP Form



lease refer to the general instructions for	assistance and complete all section	s in English. For legibility, ple	ase use BLOCK LETTERS	in black or dark ink.	Time Stamp
Distributor/RIA Code	Sub-Distributor ARI	N Sub-Dis	stributor Code	EUIN	Branch Code
vestments sourced by him. The transaction transaction charges would be levied if y vestor's Declaration where EUIN is not furn	on charges deductible are Rs. 150/- if y you are not investing through a Distril ished: I/We confirm that the EUIN box ha	you are investing in Mutual Fun butor or your investment amou as been intentionally left blank by	ds for the first time. If you int is less than Rs.10,000/- me/us as this is an "execut	are making a SIP Investment, the tr . If this is the first time, you are inve ion only" transaction without any int	ir distributor has opted to receive transaction char ansaction charges would be deducted over 3.4 instasting in any mutual fund, please tick here eleraction or advice by the employee/relationship managstributor has not charged any advisory fees on this trans
⊴ Sole/1st Applicant	K	2nd Applicant			cant
OTM DEBIT MANDATE FO	ORM FOR NACH/ECS/	AUTO DEBIT			
L&T Financial Services Mutual Fund  UMF	RN	Office use only			Date D D M M Y Y
ility Code				○ Create	○ Modify ○ Cancel
oonsor Bank Code		I/We hereby auth	norize	L&T	Mutual Fund
debit (✓) SB CA C	C O SB-NRE O SB-NRO	Other Bank	A/c No.		
ith Bank	Banl	k Name		IFSC/ MICR	
amount of Rupees		Amount in words	S		₹
L'A Tour		F	NZ	N	
bit Type Fixed Amount	✓ Maximum Amount	Frequency M	onthly 🗵 Quarte	erly Half Yearly	Yearly As & when presen
ference 1		F	Reference 2		
om	1. Name	re of First Account Holder as in Bank Records		re of Second Account Holde ne as in Bank Records	Signature of Third Account H  3. Name as in Bank Record
PPLICANT INFORMATION					
lame of Sole/1st Unit Holder _	First Name	. I I	e Name	Last Name	Folio No.
AN/PEKRN**	First Unit Holder		Second Unit Holder		Third Unit Holder
IN* First U ate of Birth* (1st Unit Holder)	Jhit Holder	ate of Birth* (2nd Unit Hole	Se¢ond Unit Holder der) DDDMMM	/   V   V   V   Date of Right	
flobile No. +91-		E-ma	,	Date of Bill	(ord office Holder)
OTM Debit Mandate is alread	y registered in the folio. Plead in the folio.	ase fill, Unique Mandate Re  W <sup>®</sup> Reinvestment wal option'. The amounts of discretion from time to time.	ference Number (UMRI Account can be distributed out the (subject to the availa	N) No.  of investors' capital (Equaliz ability of distributable surplus	ered in the folio. (If selected, Section 4 to be filled in mand
Orawn On	Bank Name			Branch	Bank City
SIP Amount ₹  SIP Debit Date ○ 1st ○ 5th ○ 7th  Note: Minimum gap of 30 days required be digity schemes & 1000 for Non Equity so  Reason for your SIP (✓) ○ Child	between first cheque and subsequent hemes)	instalment. In case of discrepa	SIP Period From Nancy in the SIP Period,the		M   M   Y   Y   Y   Y   OR O Until Cane date will be considered.) (For SIP amount Minimum ^Available in select scheme
SIP Top Up (Optional) - Availab	le only for investments effected	through Auto Debit.		Top Up Amount	t ₹Amount in multiples of ₹ 500 only
mount. Net Asset Value (NAV) v DECLARATION, CONSENT & le have read and understood the respective Sch olication will result in aggregate investments ext commission or any other), payable to him for ti ments referred above through participation in E nited, or any of their appointed service providers le hereby accord mylour consent to disclose, sh any valid business purposes including marketir	entioned amount is reached.  units will be allotted only upvill be applied based on realize.  SIGNATURES (Mandator) eneme Information Document, Statement of Aceding Rs. 50,000 in a year. I/We have neit be different competing schemes of Mutual FiccS/ACH/Alva Debit. If the transaction is do or representatives responsible. I/We will a are, remit in any form, mode or manner, all/Ig or sales promotion or with any statulory.	on receipt of subscriptic zation of funds for all pu y. If left blank, the app diditional Information and Key Infor ther received nor been induced by a unds from amongs which the Sche elayed or not effected at all for reas also inform L&T Investment Manage any of the information provided by n or judicial authorities, without any pr	n SIP Top-Up amount on amount by the Fuurchases including s	will cease. *Default option nd House for utilisation irr systematic transactions re	ncy (Please ✓ any one)  Half Yearly Yearly Yearly in if not selected respective of any scheme category/invest gistered prior or post February 1, 2021.  We do not have any existing Micro SIPs which together with timent. The ARN holder has disclosed to melus all the commitculars given here are correct and express mylour willingnes tutual Fund, their Investment Manager - L&T investment Ma read and agreed to the terms and conditions mentioned over provided by me/ us to the group companies of L&T Financia.
GIGNATURE/S AS PER L&T MUT	FUAL FUND (To be signed as	s per Mode of Holding)			
Sole/First Applicant/Guardian	Z.	Second Applicant			pplicant

#### **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

Applicant Information: Please furnish the Folio Number, Name and PAN
of Sole/First Applicant Section 1 of the Form. Your investment would be
processed in the specified folio.

Your personal information and bank account details would apply to this investment as well.

Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments.

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.

\* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

**Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

#### 2. SIP & Investment Details:

- a. New Investors who wish to enroll for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (included in the Key Information Memorandum). New Investors can apply for SIP into respective Scheme / Plans / Option without any existing investment /folio. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
- b. Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) is not required to fill the OTM debit mandate again. However, please mention the UMRM, debit bank name & account number.
- c. Where the OTM mandate is getting submitted for the first time, please fill the OTM debit mandate form for NACH/ ECS/ Auto debit mandatorily. Enclose cancelled cheque leaf of the bank where OTM is getting registered if the initial purchase cheque is not from the same bank account.
- d. Micro SIP: As per AMFI notification and guidelines issued on July 4, 2009, SIPs or Lumpsum purchases by eligible investors in a rolling 12 month period not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
- The exemption of PAN requirement is only available to individuals.
- Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
- Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.
- e. Third Party Payments: Third Party Payments for investments are not accepted except in the below cases

- Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements
- Custodian on behalf of an FPI or Client made by Custodian.
- Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.

In the above cases, necessary declaration / banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.

- SIP Top-Up Facility: Investors can opt for SIP Top Up facility wherein the amount of SIP can be increased at fixed intervals.
- The minimum amount of increase under SIP Fixed Amount Top-Up facility should be Rs. 500/- and in multiples of Rs.500/- thereof.
- SIP Top-Up frequency in case of investors availing Monthly SIP facility will be half yearly and yearly and for availing Quarterly SIP facility, the frequency will be yearly. In case the SIP Top-Up frequency is not indicated under Monthly SIP, it will be considered as yearly.
- g. Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
- h. Please ensure that the investment cheque issued by you complies with CTS 2010 requirement stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- Payments made by Cash/Money Order/Postal Order, Non- MICR cheque, outstation cheques are not accepted.
- For detailed terms and conditions on SIP, SIP Top-up, OTM facility, please visit our website www.ltfs.com and also refer to scheme related documents.
- k. Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

#### 3. Declarations & Signatures:

Unit holders need to sign here in accordance to the Mode of Holding provided to us and as per the mode of holding in the bank account in the same sequence and manner in the relevant boxes provided in the form.

#### 4. Debit Mandate:

- Please fill all the required details in the OTM Debit Mandate Form for NACH/ ECS/Auto Debit. The sole/first holder must be one of the holders in the bank account.
- b. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
- c. The 9 digit MICR code and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

CHECK LIST FOR SIP INVESTMENT FORM				
Application No./Folio No. quoted on the Form	0			
Name of the Sole/First Holder furnished in the Form	0			
SIP details listed below are furnished  SIP installment amount No. of SIP installments SIP date SIP frequency SIP period SIP Scheme	0			
Complete Bank details are furnished in the form  Name of the Sole/1st Holder of the Bank Account  Bank A/c No  MICR  IFSC	0			
All holders have signed in accordance with the Mode of Holding as provided to us at the relevant place				
All Bank Account Holders have signed in the same manner and sequence as their Bank Account in the relevant place				

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.ltfs.com

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

# Nomination Form (For Nominee Registration)



Please refer to the General Instructions for assistance.		
PERSONAL DETAILS		
Name of Sole/1st Unit Holder First Name Middle Na	me Last Name Folio No.	
PAN/PEKRN**       First Unit Holder         \$		
Date of Birth* (1st Unit Holder) D D M M Y Y Y Y Y Date of Birth* (2nd Unit Holde		
Mobile No. +91- E-ma		4- D- 50 000 in a
KYC is mandatory. Please enclose copies of KYC acknowledgement letters for al Date of Birth is mandatory for Individual(s) who has registered under Central KY		to Rs. 50,000 in a year.
REGISTRATION OF NOMINATION		
I/We do hereby nominate the person(s) named below to receive the units allotted to payments and settlements made to Nominee(s), and signature(s) of the Nominee(s) a Fund/Trustee. The latest nomination details submitted by the investor will be considered the AMC.  Name and Address of 1st Nominee  Name	acknowledging receipt thereof, will be noted as be a valid di	scharge by the AMC/Mutua or opt out status submitted to
Relationship with Sole / First Unit Holder	Name	
Allocation %	Relationship with Minor O Father O Mother O Court appe	ointed guardian
PAN	PAN	
Complete Address	Complete Address	
Country Pin Code	Country Pin Code	
Date of Birth D M M Y Y Y Y (in case Nominee is a minor)		
Signature of Nominee	Signature of Guardian ∠	
Name and Address of 2 <sup>nd</sup> Nominee	Guardian detail to be furnished in case Nominee is a minor	(Strike out if not applicable)
Name	Name	,
Relationship with Sole / First Unit Holder	Name	
Allocation %	Relationship with Minor O Father O Mother O Court apport	ointed guardian
PAN	PAN	
Complete Address	Complete Address	
Country Pin Code	Country Pin Code	
Date of Birth D M M Y Y Y Y (in case Nominee is a minor)		
Signature of Nominee	Signature of Guardian 🗷	
Name and Address of 3 <sup>rd</sup> Nominee	Guardian detail to be furnished in case Nominee is a minor	(Strike out if not applicable)
Name	Name	
Relationship with Sole / First Unit Holder	Relationship with Minor	ointed quardian
Allocation %		
PAN	PAN	
Complete Address	Complete Address	
Country Pin Code	Country Pin Code	
Date of Birth D D M M Y Y Y Y Y (in case Nominee is a minor)		
Signature of Nominee	Signature of Guardian 🗷	
YOUR SIGNATURES (To be signed by all Unitholders even if the Mod	e of Holding is Anyone or Survivor)	
💋 (Sole/First Unit Holder) 💋 (Second	d Unit Holder) 🗷 (Third U	nit Holder)
ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)		L&T Financial Service  Mutual Fun
Received fromFolio	no/ Application no:	For Office Use Only
Mobile No:		Acknowledgement
Request submitted		Stamp & Date

#### **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time.

- You can appoint upto a maximum of 3 nominees for your investments.
- In view of the SEBI circular dated July 29, 2022, in the event of nonsubmission of nomination details/opt out status, with effect from April 1, 2023, the folio will be liable to be frozen for debits
- The nomination can be made only by individuals / sole proprietorship firm applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu undivided family, holder of Power of Attorney cannot nominate. Even if the Mode of Holding is "Anyone or Survivor, all joint holders should sign the nomination form.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unitholder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable
- The Nominee shall not be a trust, (other than a religious or charitable trust) society, body corporate, partnership firm, karta of Hindu undivided family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- f) Nomination will not be allowed in a folio held by a minor.
- In the event of the unit holders not indicating the percentage of allocation/share g) for each of the nominees, the AMC, by invoking the default option will settle the claim equally amongst all the nominees.
- h) Nominee details will be recorded at a folio level and will apply for all investments in that folio.

For more details on Nomination, please refer the Statement of Additional Information.

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.Intmf.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

### Declaration form for opting out of nomination



To,

g, T	M.	ıtua	I E.	ınd
- Cv I	IVII	IIIIA	. – .	ına

6th Floor, Brindavan, Plot No. 177, CST Road, Kalina, Santacruz East, Mumbai 400 098

Folio Number / Application Number	
Sole / First Holder Name	
Second Holder Name	
Third Holder Name	

I / We do hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holders, my / our legal heirs would need to submit all the requisite documents issued by court or such other competent authority, based on the value of the assets held in the mutual fund folio.

First Unitholders Signature	Second Unitholders Signature	Third Unitholders Signature
-----------------------------	------------------------------	-----------------------------

This Form should be signed by all the unitholder irrespective of mode of holding under the folio / application and can not be signed by the power of attorney holder.

The latest nomination details submitted by the investor will be considered by the AMC, thus overwriting all earlier nomination details or opt out status submitted to the AMC.

In view of the SEBI circular dated July 29, 2022, in the event of non-submission of nomination details/opt out status, with effect from April 1, 2023, the folio will be liable to be frozen for debits.

call 1800 4190 200 or 1800 2000 400

whatsapp @ 9326929294

email investor.line@Intmf.co.in

www.lntmf.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday

THIS PAGE IS INTENTIONALLY KEPT BLANK

# FATCA – CRS DECLARATION & SUPPLEMENTARY INFORMATION (For Individual Investors including Sole Proprietor & POA Holder)

Date: | D | D | / | M | M | / | Y | Y | Y | Y |



Please refer to the Instructions for assistance and complete all sections in English. For legibility, please use BLOCK letters in black or dark ink. Please seek appropriate advice from a tax professional on FATCA related information applicable to you. **APPLICANT(S) INFORMATION** Folio No./Application No. Name of Sole/1st Unit Holder Name of 2nd Applicant Name of 3rd Applicant PAN/PEKRN\*\* First Unit Holder Second Unit Holder | | | | First Uhit Holder | Date of Birth^ (3rd Unit Holder) Date of Birth^ (1st Unit Holder) Date of Birth^ (2nd Unit Holder) \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year. ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR). Mobile No. +91-E-mail ID **FATCA & CRS DETAILS** Sole/First Applicant/Guardian **Second Applicant Third Applicant** Category Gender Father's Name Type of address given at the KRA O Residential or Business Residential or Business O Residential or Business Residential Residential Residential Business O Business O Business Registered Office Registered Office Registered Office Permissible documents are O Passport O Election ID Card O PAN Card ○ Govt. ID Card ○ Driving License ○ NRE/GA Card ○ Others Country/Place/City of Birth Country of citizenship/nationality ○ Indian ○ U.S. ○ Others ○ Indian ○ U.S. ○ Others ○ Indian ○ U.S. ○ Others (Please, specify (Please, specify (Please, specify I am a tax resident of India and not a resident of any other country O Yes O No If 'Yes', please proceed for signature of declaration. If 'No', please fill below, for all countries (other than India) in which you are resident for tax purposes, i.e. where you are a Citizen/Resident/Green Card Holder/Tax Resident in respective countries. Country of Tax Residency Tax Identification No or Functional Equivalent Identification Type (TIN or other, please specify) If TIN is not available, please Reason A  $\bigcirc$ , B  $\bigcirc$  or C  $\bigcirc$ Reason A  $\bigcirc$ , B  $\bigcirc$  or C  $\bigcirc$ Reason A  $\bigcirc$ , B  $\bigcirc$  or C  $\bigcirc$ tick ( $\checkmark$ ) the reason A  $\bigcirc$ , B  $\bigcirc$  or C  $\bigcirc$ Reason A ○, B ○ or C ○ Reason A  $\bigcirc$ , B  $\bigcirc$  or C  $\bigcirc$ Reason A  $\bigcirc$ , B  $\bigcirc$  or C  $\bigcirc$ [As defined below] Reason A: The country where the Account holder is liable to pay tax does not issue Tax Identification Numbers to its residents. Reason B: No TIN required (Select this reason only, if the authorities of the respective country of tax residence do not require the TIN to be collected) Reason C: Others please state the reason thereof. **DECLARATION** I hereby confirm that the information provided hereinabove is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA and CRS Terms and condition below and hereby accept the same. I also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required any intermediary or by domestic or overseas regulators /tax authorities. **Signatures** 

Place:

#### **FATCA & CRS TERMS & CONDITIONS**

#### (Note: The quidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

Details under FATCA & CRS. The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962 which Rules require Indian financial institutions such as the Bank to seek additional personal tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. ,within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

#### **FATCA & CRS INSTRUCTIONS**

If you have any questions about you tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder ,please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country ,customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/CRS Indicia		
U.S place of birth	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor its resident for tax purposes:</li> </ol>		
	<ol> <li>Non –US passport or any non - US government issued document evidencing nationality or citizenship (refer list below ); AND</li> </ol>		
	3. Any one of the following documents:		
	Certified copy of certificate of Loss of Nationality		
	or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;		
	or Reason the customer did not obtain U.S. citizenship at birth		
Residence /mailing address in a country other than India	<ol> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> </ol>		
	2. Documentary evidence (refer list below)		
Telephone number in a country other than	If no Indian telephone number is provided		
India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; <b>and</b>		
	2. Documentary evidence (refer list below)		
	If Indian telephone number is provided along with a foreign country telephone number		
	Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR		
	2. Documentary evidence (refer list below)		

List of acceptable documentary evidence needed to establish the residence (S) for tax purposes:

- 1. Certificate of residence issued by an authorized government body\*
- 2. Valid identification issued by an authorized government body\*(e.g. Passport, National Identity card, etc.)
  - \* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident

**call** 1800 4190 200 or 1800 2000 400 **email** investor.line@Intmf.co.in **www.**ltfs.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

CL04056

# FATCA, CRS & UBO DECLARATION (For Non Individual Investors)



Please refer to the Instructions for assistance and complete all sections in English. For legibility, please use BLOCK letters in black or dark ink. Please seek appropriate advice from a tax professional on FATCA related information applicable to you. **APPLICANT(S) INFORMATION** Folio No./Application No. Name of the entity Type of address given at KRA: O Residential or Business O Residential O Business O Registered Office "Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes" Country of Incorporation City of Incorporation Date of Incorporation | D | D | / M | M | / | Y | Y | Y | Y PAN Entity Constitution O Partnership Firm O Private Limited Company O Public Limited Company O AOP/BOI Society Type Limited Liability Partnership O Artificial Juridical Person Trust Liquidator Others Please (√) Please tick the applicable tax resident declaration -1. Is "Entity" a tax resident of any country other than India: O Yes (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.) Country Tax Identification Number% Identification Type (TIN or Other, please specify) %In case Tax Identification Number is not available, kindly provide its functional equivalent\$. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc. In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here Please refer to para 3(vii) exemption code for U.S. persons under part D of FATCA Instructions & Definitions **FATCA & CRS Declaration** PART A (to be Filled by Financial Institutions or Direct Reporting NFEs) We are a. GIIN Financial institution3 Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Direct reporting NFE4 Name of sponsoring entity (please tick as appropriate) GIIN not available (please tick as applicable) Applied for If the entity is a Financial institution, O Not required to apply for - please specify 2 digits sub-category<sup>10</sup> O Not obtained - Non-participating FI PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs") Is the Entity a publicly traded company (that is, a Yes ○ (If yes, please specify any one stock exchange on which the stock is regularly traded) No ○ company whose shares are regularly traded on an Name of stock exchange established securities market) 2 Is the Entity a related entity of a publicly traded Yes O (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly company (a company whose shares are regularly traded) No O traded on an established securities market) Name of listed company ○ Subsidiary of the Listed Company or ○ Controlled by a Listed Company Nature of relation: Name of stock exchange 3 Is the Entity an active1 Non Financial Entity (NFE) Yes O (If yes, please fill UBO declaration in the next section.) No O Nature of Business Please specify the sub-category of Active NFE (Mention code – Refer 2C of Part D) Is the Entity a passive² Non Financial Entity (NFE) Yes O (If yes, please fill UBO declaration in the next section.) No O Nature of Business

<sup>1</sup> Refer 2 of Part D | <sup>2</sup> Refer 3(ii) of Part D | <sup>3</sup> Refer 1(i) of Part D | <sup>4</sup> Refer 3(vi) of Part D | <sup>10</sup> Refer 1A of Part D

<sup>15</sup> 

UDO De elevetico						
UBO Declaration		0.5	O 11 11			
Category (Please tick applicable category): ○ Unliste ○ Unincorporated association / body of individuals	d Company	○ Partnership Fir ○ Public Charital		d Liability Partnership Company ous Trust		
Others (please specify		O i ubile Chantai	) religio	ous must Trivate must		
Please list below the details of controlling person(s), controlling person(s).	J	• •	·	·		
Owner-documented FFI's <sup>5</sup> should provide FFI Owner R			· ·			
Country - Tax Residency* Beneficial Intere		IN or Other, please specify est - in percentage f Controlling person	Address - Include State Address Type -	e, Country, PIN / ZIP Code & Contact Details		
1. Name	Tax ID Type		Address			
Country	Beneficial Intere	est (%)				
Tax ID No.% Type Code			ZIP			
			State:	Country:		
			Address Type O Reside	ence ○ Business ○ Registered office		
2. Name	Tax ID Type		Address			
Country	Beneficial Intere	est (%)				
Tax ID No. <sup>%</sup>	Type Code		ZIP			
			State:	Country:		
			Address Type O Reside	ence ○ Business ○ Registered office		
3. Name	Tax ID Type		Address			
Country	Beneficial Intere	est (%)				
Tax ID No.%	Type Code	()	ZIP			
			State: Country:			
			Address Type O Reside	ence ○ Business ○ Registered office		
# If passive NFE, please provide below additional details	for each of cont	trolling persons (Please att	ach additional sheets if ne	cessany)		
Name & PAN / Any other Identification Number	Tor caon or com	Occupation Type -	don additional sheets if he	DOB - Date of Birth		
(PAN, Passport, Election ID, Govt. ID, Driving Licence	e, NREGA Job		3	Gender - Male, Female, Other		
Card, Others)		Nationality				
City of Birth - Country of Birth		Father's Name -	veileble			
		Mandatory if PAN is not a	valiable			
1. Name & PAN		Occupation Type		$- \mid_{DOB} \mid_{D} \mid_{D} \mid_{D} \mid_{M} \mid_{M} \mid_{M} \mid_{Y} \mid_{Y} \mid_{Y} \mid_{Y} \mid_{Y}$		
City of Birth		Nationality		Gender Male Female		
Country of Birth		Father's Name		Other		
2. Name & PAN		Occupation Type		$-  _{\mathbf{DOB}}   D   D   J   M   M   J   Y   Y   Y   Y  $		
City of Birth		Nationality		Gender Male Female		
Country of Birth		Father's Name		Other		
3. Name & PAN		Occupation Type		- DOB DD / MM / YYYY		
City of Birth		Nationality		Gender Male Female		
Country of Birth		Father's Name		Other		
# Additional details to be filled by controlling persons with controlling person is a US citizen or green card holder. % In case Tax Identification Number is not available, kind			nship / Green Card in any o	country other than India: * To include US, where		
FATCA - CRS Terms and Conditions	145 0 44411		4000	d la dia a financial la dibatia a costa a tha band.		
The Central Board of Direct Taxes has notified Rules 1' to seek additional personal, tax and beneficial owner in						
have to be reported to Tax authorities/appointed agent agents for the purpose of ensuring appropriate withhol	cies. Towards co	mpliance, we may also be	requested to provide inform			
Should there be any change any information provided	by you, please ir	nsure your advice us promp	otly, i.e. within 30 days.			
If any controlling person of any utility is US citizen of Identification number.	r Green card hol	lder, please include United	States in the foreign cou	ntry information field along with the US Tax		
It is mandatory to supply a TIN or functional equivaler issued, please provide an explanation and attach this	=	n which you are tax reside	nt issued such identificatio	n. If no, TIN is yet available or has not been		
Part C: Certification  I/We have understood the information requirements of the						
Form is true, correct & complete. I/We also confirm that	i, vve nave unuer		ims a Conditions below at	ind mereby accept the same.		
Name						
Designation						
Authorized Signatory	Æ.	✓ Authorized Signatory				
Place:	Date: D D					

#### **PART D FATCA Instructions & Definitions**

(Note:The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

- 1 (i) Financial Institution (FI) The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
  - (ii) Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
  - (iii) Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
    - (i) The three financial years preceding the year in which determination is made; or
    - (ii) The period, during which the entity has been in existence, whichever is less.

#### (iv) Investment entity is any entity:

- (a) That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
- (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
- (ii) Individual and collective portfolio management; or
- (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

or

- (b) The gross income of which is primarily attributable to investing, reinvesting, or trading in fi nancial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primar-ily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence.
  - The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04, 05, 06 and 07 refer point 2c.)
- (v) Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

. ,	(vi) FI not required to apply for GIIN: Refer Rule 114F(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "Non- Reporting Financial Institution and Guidance issued by CBDT in this regard					
A. Reasons	why FI not required to apply for GIIN:					
Code	Sub-category					
01	Governmental Entity, International Organization or Central Bank					
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank					
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund					
04	Entity is an Indian FI solely because it is an investment entity					
05	Qualified credit card issuer					
06	Investment Advisors, Investment Managers & Executing Brokers					
07	Exempt collective investment vehicle					
08	Trust					
09	Non-registering local banks					
10	FFI with only Low-Value Accounts					
11	Sponsored investment entity and controlled foreign corporation					
12	Sponsored, Closely Held Investment Vehicle					

Code	Sub-category Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market.
03	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
04	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, or or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for th status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehic whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
05	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the inition organization of the NFE;
06	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continuor recommence operations in a business other than that of a Financial Institution;
07	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in business other than that of a Financial Institution;
08	Any NFE that fulfills all of the following requirements:
	• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
	It is exempt from income tax in India;
	• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
	The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NF to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and
	The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's count or territory of residence or any political subdivision thereof.
	Explanation For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-
	(i) an Investor Protection Fund referred to in clause (23EA);
	(ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and
	(iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

#### 3. Other definitions

#### (i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity; or
- (ii) an investment entity described in sub-clause (B) of clause (c) of the Explanation to clause (3), which is not located in any of the jurisdictions specified by the Central Board of Direct Taxes in this behalf
- (iii) not a withholding foreign partnership or a withholding foreign trust
- (iii) Passive income

The term passive income includes income by way of:

- (1) Dividends,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as a such dealer.

(iv) Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.

In determining the Beneficial Owner, the procedure specified in the following circular as amended from time to time shall be applied, namely:-

- (i) DBOD.AML.BC. NO.71/14.01.001/2012-13, issued on the 18<sup>th</sup> January, 2013 by the Reserve Bank of India; or
- (ii) CIR/MIRSD/2/2013, issued on the 24th January, 2013by the Securities and Exchange Board of India; or
- (iii) IRDA/SDD/GDL/CIR/019/02/2013, issued on the 4<sup>th</sup> February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settler, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, the said expression means the person in equivalent or similar positions

(A) Controlli	A) Controlling Person Type:				
Code	Sub-category				
01	CP of legal person - ownership				
02	CP of legal person - other means				
03	CP of legal person - senior managing official				
04	CP of legal arrangement - trust - settlor				
05	CP of legal arrangement - trust - trustee				
06	CP of legal arrangement - trust - protector				
07	CP of legal arrangement - trust - beneficiary				
08	CP of legal arrangementtrust-other				
09	CP of legal arrangement - Other - settlor equivalent				
10	CP of legal arrangement - Other - trustee equivalent				
11	CP of legal arrangement - Other - protector equivalent				
12	CP of legal arrangement - Other - beneficiary equivalent				
13	CP of legal arrangement - Other - other equivalent				
14	Unknown				

#### (v) Specified U.S. person - A U.S person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a) (37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

#### (vi) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

Exemption code for U.S. persons (Refer 114(9) of Income Tax Rules, 1962 for details					
Code	Sub-category				
Α	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)				
В	The United States or any of its agencies or instrumentalities				
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities				
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)				
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)				
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state				
G	A real estate investment trust				
Н	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940				
I	A common trust fund as defined in section 584(a)				
J	A bank as defined in section 581				
K	A broker				
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)				
М	A tax exempt trust under a section 403(b) plan or section 457(g) plan				

**call** 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.ltfs.com

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

CL03391





to debit (*) SB CA CC SB-NRE SB-NRO Other Bank A/c No. IFSC/ MICR	Please refer to the general instructions	for assistance and complete all secti	ons in English For legibility pleas	e use BLOCK LETTERS in blac	ck or dark ink	Time Stamp
The Description coague would be leved by our set investige from plan a Destinate of your investigation accounts to the control of the level of	•	· ·				
The Description compare and be located by one of investigation of the blood difference of the description of th						
The Description compare and be located by one of investigation of the blood difference of the description of th						
The Description coague would be leved by our set investige from plan a Destinate of your investigation accounts to the control of the level of	Transaction Charges: SEBI (Mutual Fu investments sourced by him. The transaction)	ind) Regulations allow deduction of traction charges deductible are Rs. 150/-	ansaction charges of Rs. 100/- from if you are investing in Mutual Funds	n your investment for payment for the first time. If you are mak	t to your distributor if your distrib ing a SIP Investment, the transaction	utor has opted to receive transaction charges for n charges would be deducted over 3-4 instalments.
parcent the Applicant  OTM DEBIT MANDATE FORM FOR NACHECS/AUTO DEBIT  ONE DEBIT MANDATE FORM FORM FOR NACHECS/AUTO DEBIT MANDATE	No transaction charges would be levied	d if you are not investing through a Dist	tributor or your investment amount	is less than Rs.10,000/ If this i	is the first time, you are investing in a	ny mutual fund, please tick here
OTM DEBIT MANDATE FORM FOR NACHECS/AUTO DEBIT   Date   D	person of the above distributor and/or no	twithstanding the advice of inappropriate	ness, if any, provided by the employed	e/relationship manager/sales pers	son of distributor and the distributor l	as not charged any advisory fees on this transaction.
OTM DEBIT MANDATE FORM FOR NACHECS/AUTO DEBIT   Date   D						
UMRN  Date   Dat	∠ Sole/1st Applicant		∠ 2nd Applicant			
UNIX	OTM DEBIT MANDATE	FORM FOR NACH/ECS	AUTO DEBIT			
Sponsor Bank Code    WWe hereby authorize   L&T Mutual Fund	L&T Financial Services  Mutual Fund  U	MRN	Office use only			Date D D M M Y Y Y
Sponsor Bank Code    We hereby authorize   Bank Ale No.	LINETE O. I.					
Amount of Rupees  Amount of Ru	Utility Code				○ Create ○ Mo	dify Cancel
With Bank  Bank Name    FSC/ MICR	Sponsor Bank Code		I/We hereby autho	rize	L&T Mutu	al Fund
With Bank  Bank   Bank	to debit (✓) SB CA	CC O SB-NRF O SB-NRC	O Other Bank A	Vc No.		
Amount in words    Reference 2	, ,					
Selection of Selection (	With Bank	Ва	nk Name		IFSC/ MICR	
Reference 2  1. Lagee for the debt of mandate processing charges by the bank whom I am authorizing to debt my account as per latest schedule of charges of the bank 2. This is to confirm that these declaration has been carefully read, understood & made by medus. I am authorizing the user entity / Corporate to debt my account, based on the instructions as agreed and signed by me. 3.1 have understood at I am authorized to cancel / amount this mandate by appropriately communicating the user entity / Corporate to debt my account, based on the instructions as agreed and signed by me. 3.1 have understood at I am authorized to cancel / amount this mandate by appropriately communicating the user entity / corporate or the bank where I have authorized the debt.  Period  3	an amount of Rupees		Amount in words			₹
Reference 2  I. I. Jagoes for the debt of mandate processing charges by the bank whom I am authorizing to debt my account as per latest schedule of charges of the bank 2. This is to confirm that these declaration has been carefully read, understood & made by medus. I am authorizing the user entity / Corporate to debt my account, based on the instructions as agreed and signed by me. 3. I have understood at I am authorized to cancell amond this mandate by appropriately communicating the user entity / Corporate for the bank where I have authorized the debt.  Period  I. I. Jagoes for the debt of mandate processing charges by the bank whom I am authorizing the user entity / Corporate or the bank where I have authorized the debt.  Period  I. I. Jagoes for the debt of mandate processing charges by the bank whom I am authorizing the user entity / Corporate or the bank where I have authorized the debt.  Period  I. I. Jagoes for the debt of mandate processing charges by the bank whom I am authorizing the user entity / Corporate or the bank where I have authorized the debt or a supplied or the bank where I have authorized the debt or a supplied processing charges of the bank 2. This is to confirm that these declaration has been designed by the supplied or the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of the bank where I have authorized the debt or a supplied processing charges of t	D.L.			N 2		
1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account, as per latest schedule of charges of the bank. 2. This is to confirm that thae decisration has been carefully read, undestood & made by meets. I am authorizing the user entity / Corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have undestood to cancer / amend his mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.  Period    To	Debit Type	unt Maximum Amount	Frequency	thly 🔀 Quarterly	⊠ Half Yearly ⊠ Ye	early As & when presented
1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account, as per latest schedule of charges of the bank. 2. This is to confirm that thae decisration has been carefully read, undestood & made by meets. I am authorizing the user entity / Corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have undestood to cancer / amend his mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.  Period    To	Reference 1		Ref	erence 2		
The process of the carefully read, understood & made by melvs. Iam authorizing the user entity / Corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have understood to anneal ment of the manufactory of the process of the part of the process of the part of						al. O This is to confirm that the and alreading
From	has been carefully read, understoo	od & made by me/us. Í am autho	rising the user entity / Corpora	te to debit my account, ba	sed on the instructions as agr	eed and signed by me. 3. I have understood
To 3 1 2 2 0 9 9   Signature of First Account Holder or Wintil Cancelled	Period					
Name as in Bank Records   2. Name as in Bank Records   3. Name as in Bank Records	From DDMMY	YYY				
2. APPLICANT INFORMATION  Name of Sole/1st Unit Holder    First Unit Holder   First Name	To 3 1 1 2 2		ture of First Account Holder			
Name of Sole/1st Unit Holder   First Name   Middle Name   Last Name   Folio No.    PAN/PEKRN**   First Unit Holder   Second Urit Holder   Imbret Unit Holder   Imbret Unit Holder    Date of Birth* (Inst Unit Holder   Second Urit Holder   Imbret Unit Holder    Date of Birth* (Inst Unit Holder   D M M Y Y Y Y D Date of Birth* (2nd Unit Holder)   D M M Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M M Y Y Y Y Y D Date of Birth* (3nd Unit Holder)   D M M M M Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	or	1. Name	e as in Bank Records	2. Name as i	n Bank Records	Name as in Bank Records
Name of Sole/1st Unit Holder   First Name   Middle Name   Last Name   Folio No.    PAN/PEKRN**   First Unit Holder   Second Urit Holder   Third Unit Holder   Third Unit Holder    Date of Birth* (1st Unit Holder   Second Urit Holder   DMM Y Y Y Y Date of Birth* (2nd Unit Holder)   DMM Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Date of Birth* (3nd Unit Holder)   DMM MY Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	2. APPLICANT INFORM	IATION				
PAN/PEKRN**   First Unit Holder   Second Unit Holder Unit Holder   Second Unit Holder Unit Holder   Second Unit Ho			Middle N	Jame	Last Name	Eglio No
KIN*   First Unit Holder   Date of Birth* (2nd Unit Holder   Date of Birth* (2nd Unit Holder   Date of Birth* (3nd Unit Holder)   Date of Birth* (3nd Unit H	1				Last Name	
Date of Birth* (1st Unit Holder)   Date of Birth* (2nd Unit Holder)   Date of Birth* (3rd Unit Holder)   Date of Birth* (	PAN/PEKRN**	First Unit Holder	\$	econa Unit Holaer		I nird  Unit Holder
Mobile No. +91-  KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. **PEKRN required for Micro investments upto Rs. 50,000 in a year.  **14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).  3. SMART SIP COMBOS (Please < any one)  BALANCED SIP COMBO  Growth* IDCW® IDCW® Amount  L&T Hybrid Equity Fund   (?) Minimum Rs. 1000  L&T Balanced Advantage Fund   (?) Minimum Rs. 1000  L&T Growth* IDCW® IDCW® IDCW® Amount  L&T Hiddap Fund   (?) Minimum Rs. 1000  EXCLUSIVE SIP COMBO  MARKET CAP SIP COMBO  MARKET CAP SIP COMBO  MARKET CAP SIP COMBO  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  L&T India Large Cap Fund   (?) Minimum Rs. 1000  SIP Debit Data (Y any one)  1st Sth   7th   10th*   15th   20th   25th   28th  SIP Period  From   M	KIN* First	st Uhit Holder	Se	cond Unit Holder		Third Unit Holder
KYC is mandatory, Please enclose copies of KYC acknowledgement letters for all applicants. "PEKRN required for Micro investments upto Rs. 50,000 in a year.  ^14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).  3. SMART SIP COMBOS (Please ✓ any one)    BALANCED SIP COMBO	Date of Birth* (1st Unit Holder)	D   D   M   M   Y   Y   Y   Y	Date of Birth* (2nd Unit Holder	)	Y   Y   Date of Birth* (3rd	Unit Holder)
3. SMART SIP COMBOS (Please ✓ any one)  BALANCED SIP COMBO  Growth*   DCW@   DCW@   Payout# Reinvestment#   Amount   L&T Hybrid Equity Fund   (?)   Minimum Rs. 1000   L&T Business Cycles Fund   (?)   Minimum Rs. 1000   L&T Business Cycles Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T India Large Cap Fund   (?)   Minimum Rs. 1000   L&T Emerging Businesses Fund   (?)   Minimum Rs. 1000   L&T Emerging Businesses Fund   (?)   Minimum Rs. 1000   Scheme 1 L&T   (?)   Minimum Rs. 1000   (?)   Minimum Rs. 1000   Conwith*   DCW@   DCW@   Amount   L&T Emerging Businesses Fund   (?)   Minimum Rs. 1000   Conwith*   DCW@   DCW@   Amount   L&T Emerging Businesses Fund   (?)   Minimum Rs. 1000   Conwith*   DCW@   DCW@   Amount   L&T Emerging Businesses Fund   (?)   Minimum Rs. 1000   Conwith*   DCW@   DCW@   Amount   L&T Emerging Businesses Fund   (?)   Minimum Rs. 1000   Conwith*   DCW@   DCW@   Amount   DCW@   DCW	KYC is mandatory. Please enclose		t letters for all applicants. **PE	KRN required for Micro inv		
BALANCED SIP COMBO  Growth* IDCW® IDCW® Amount L&T Hybrid Equity Fund   (*) Minimum Rs. 1000 L&T Balanced Advantage Fund   (*) Minimum Rs. 1000 L&T Equity Savings Fund   (*) Minimum Rs. 1000  EXCLUSIVE SIP COMBO  Growth* IDCW® IDCW® Amount L&T Business Cycles Fund   (*) Minimum Rs. 1000 L&T Business Cycles Fund   (*) Minimum Rs. 1000  EXCLUSIVE SIP COMBO  Growth* IDCW® IDCW® Reinvestment# Amount L&T Business Cycles Fund   (*) Minimum Rs. 1000 L&T India Large Cap Fund   (*) Minimum Rs. 1000  L&T India Large Cap Fund   (*) Minimum Rs. 1000  L&T India Large Cap Fund   (*) Minimum Rs. 1000  L&T India Large Cap Fund   (*) Minimum Rs. 1000  L&T India Large Cap Fund   (*) Minimum Rs. 1000  DIY SIP COMBO  Growth* IDCW® IDCW® Amount L&T India Large Cap Fund   (*) Minimum Rs. 1000  L&T India Large Cap Fund   (*) Minimum Rs. 1000  L&T India Large Cap Fund   (*) Minimum Rs. 1000  L&T India Large Cap Fund   (*) Minimum Rs. 1000  SIP Debit Date (* any one)  Ist   5th   7th   10th*   15th   20th   25th   28th  Scheme 1 L&T   (*) Minimum Rs. 1000  From M M Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		• •	, , , , , , , , , , , , , , , , , , , ,		, (	7
Crowth*   DCW@   DCW@   Amount   Crowth*   Payout#   Reinvestment#   Amount   L&T Hybrid Equity Fund   (7)   Minimum Rs. 1000   L&T Flexicap Fund   (8)   Minimum Rs. 1000   L&T India Value Fund   (7)   Minimum Rs. 1000   L&T India Large Cap Fund   (7)   Minimum Rs. 1000   L&T India Large Cap Fund   (7)   Minimum Rs. 1000   L&T India Large Cap Fund   (7)   Minimum Rs. 1000   L&T India Large Cap Fund   (7)   Minimum Rs. 1000   L&T India Large Cap Fund   (7)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (7)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (8)   Minimum Rs. 1000   L&T India Large Cap Fund   (9)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Minimum Rs. 1000   L&T India Large Cap Fund   (15)   Min		, ,				
Payout# Reinvestment# Allfount	BALANC			ES		DWBO
L&T Hybrid Equity Fund	Gro	owth* Payout# Reinvestment#	Amount			
L&T Balanced Advantage Fund	L&T Hybrid Equity Fund	-	Minimum Rs. 1000	L&T Flexicap Fund		
EXCLUSIVE SIP COMBO  Growth* IDCW@ IDCW@ Payout # Reinvestment# Amount  L&T Business Cycles Fund   (₹) Minimum Rs. 1000  L&T Large and Mid Cap Fund   (₹) Minimum Rs. 1000  L&T Infrastructure Fund   (₹) Minimum Rs. 1000  DIY SIP COMBO  Growth* IDCW@ IDCW@ Payout# Reinvestment# Amount  L&T India Large Cap Fund   (₹) Minimum Rs. 1000  L&T Emerging Businesses Fund   (₹) Minimum Rs. 1000  SIP Debit Date (✓ any one)  1st   5th   7th   10th*   15th   20th   25th   28th  Scheme 1 L&T  Scheme 2 L&T  Scheme 2 L&T  Scheme 1 L&T  Scheme 1 L&T  Scheme 2 L&T  Scheme 3 L&T  Scheme 3 L&T  Scheme 4 L&T  Scheme 5 L&T  Scheme 5 L&T  Scheme 6 L&T  Scheme 6 L&T  Scheme 7 L&T  Scheme 7 L&T  Scheme 9 L			Minimum Rs. 1000	L&T India Value Fund	1 🗌 🗎	
EXCLUSIVE SIP COMBO  Growth* IDCW@ IDCW@ Reinvestment# Amount  L&T Business Cycles Fund   (₹) Minimum Rs. 1000  L&T Large and Mid Cap Fund   (₹) Minimum Rs. 1000  L&T Infrastructure Fund   (₹) Minimum Rs. 1000  L&T Infrastructure Fund   (₹) Minimum Rs. 1000  DIY SIP COMBO  Growth* IDCW@ IDCW@ Amount  L&T India Large Cap Fund   (₹) Minimum Rs. 1000  L&T Emerging Businesses Fund   (₹) Minimum Rs. 1000  SIP Debit Date (✓ any one)    SIP Debit Date (✓ any one)   1st   5th   7th   10th*   15th   20th   25th   28th    Scheme 1 L&T  Scheme 2 L&T  Scheme 2 L&T	L&T Equity Savings Fund		Minimum Rs. 1000	L&T Midcap Fund		
Crowth*   DCW@   DCW@   Payout #   Reinvestment #   Amount   CRT Business Cycles Fund     (₹)   Minimum Rs. 1000   L&T India Large Cap Fund     (₹)   Minimum Rs. 1000   L&T India Large Cap Fund     (₹)   Minimum Rs. 1000   L&T Midcap Fund     (₹)   Minimum Rs. 1000   L&T Emerging Businesses Fund     (₹)   Minimum Rs. 1000     (₹)   Minimum Rs. 1000   L&T Emerging Businesses Fund     (₹)   (₹)   Minimum Rs. 1000     (₹)   (₹	EVCLUS			MA	DVET CAD SID C	OMBO
Growth* Payout # Reinvestment # Amount  L&T Business Cycles Fund	EXCLUS			WA		
L&T Business Cycles Fund       (₹) Minimum Rs. 1000       L&T India Large Cap Fund       (₹) Minimum Rs. 1000         L&T Large and Mid Cap Fund       (₹) Minimum Rs. 1000       L&T Midcap Fund       (₹) Minimum Rs. 1000         L&T Infrastructure Fund       (₹) Minimum Rs. 1000       L&T Emerging Businesses Fund       (₹) Minimum Rs. 1000         DIY SIP COMBO         Growth* Payout # Reinvestment # Payout # Reinvestment # Reinvestment # SIP Period         SiP Debit Date (✓ any one)         □ 1st □ 5th □ 7th □ 10th* □ 15th □ 20th □ 25th □ 28th         SIP Period         From M M Y Y Y Y Y	Growt	h* IDCW@ IDCW@ Payout # Reinvestment #	Amount			
L&T Large and Mid Cap Fund       (₹) Minimum Rs. 1000       L&T Midcap Fund       (₹) Minimum Rs. 1000         L&T Infrastructure Fund       (₹) Minimum Rs. 1000       L&T Emerging Businesses Fund       (₹) Minimum Rs. 1000         DIY SIP COMBO         Growth* DDCW® Payout # Reinvestment # Reinvestment #       Amount         SIP Debit Date (✓ any one)         1st   5th   7th   10th*   15th   20th   25th   28th         SIP Period         From M M Y Y Y Y	L&T Business Cycles Fund		Minimum Rs. 1000	L&T India Large Cap		
L&T Infrastructure Fund       (₹) Minimum Rs. 1000       L&T Emerging Businesses Fund       (₹) Minimum Rs. 1000         SIP Debit Date (✓ any one)         □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	, _					
DIY SIP COMBO					es Fund	
Crowth*   DCW@   DCW@   Amount   Scheme 1 L&T   Scheme 2 L&T   C₹)   Minimum Rs. 1000   From   M   M   Y   Y   Y   Y   Y				3 3 333		
Scheme 1 L&T	יוט יי	SIP COMBO	IDCW@ IDC	w@	□1st □5th	
Scheme 1 L&T			Growth* Payout # Reinves		unt	
Scheme 2 L&I	Scheme 1 L&T			<b>(₹)</b> Minimum		
Scheme 3 L&T         □         (₹)         Minimum Rs. 1000         To ^^ M M M Y Y Y Y Y         Y	Scheme 2 L&T		_	(₹)Minimum	Rs. 1000   From M	MYYYYY
	Scheme 3 L&T		_	(₹) <u>Minimum</u>	Rs. 1000 To ^^ M	M   Y   Y   Y   Y

<sup>\*</sup> Default option if not selected # Default IDCW Frequency will be considered, please refer KIM for Default IDCW Frequency And Maximum period of 20 years.

@ Note: IDCW stands for 'Income Distribution cum Capital Withdrawal option'. The amounts can be distributed out of investors' capital (Equalization Reserve), which is part of the sale price that represents realized gains, as may be declared by the Trustees at its discretion from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations).

4. INVESTMENT & PAYMENT DETAIL	8			
First Instalment Details: (Please issue cheque favo				
Instrument No.	Instrument Amount		Instrument Date	D M M Y Y Y Y
Drawn On Bank Name		Bank Cirv	Account Type (✓) ○ Savir	ngs O Current O NRE O NRO O Others
Initial cheque amount should be equal to Total SIP Amo	unt. In case of discrepancy in the SIP Period			
If electronic transfer, please fill UTR No.				
Amount Debit I	Bank Name		Account No	
If One Time Mandate, Please fill, Unique Mand	late Reference Number (UMRN)			
Amount Debit I	Bank Name		_ Account No	
Payment made by (✓) ○ First Unit Holde	er Others F	Please Specify		
Note: Effective February 1, 2021, units will be all amount. Net Asset Value (NAV) will be applied by				
5. DECLARATION, CONSENT & SIGN	ATURES (Mandatory. If left bla	nk, the application	is liable to be rejecte	d)
I/We have read and understood the respective Scheme Information Docun application will result in aggregate investments exceeding Rs. 50,000 in a (in trail commission or any other), payable to him for the different competimake payments referred above through direct debit/participation in ECS. I Limited, or any of their appointed service providers or representatives res	year. I/We have neither received nor been induced by any ng schemes of Mutual Funds from amongst which the Sch f the transaction is delayed or not effected at all for reasons	y rebate or gifts directly or indirectly eme is being recommended to me/ s of incomplete or incorrect informat	y in making this Systematic Investment. us. I/We hereby declare that the particu tion, I/We would not hold L&T Mutual Fu	. The ARN holder has disclosed to me/us all the commission ilars given here are correct and express my/our willingness l und, their Investment Manager - L&T Investment Manageme
I/We hereby accord my/our consent to disclose, share, remit in any form, n for any valid business purposes including marketing or sales promotion or				1 by me/ us to the group companies of L&T Financial Service
SIGNATURE/S AS PER L&T MUTUAL F	UND (To be signed as per Mode	of Holding)		
		3,		
Sole/First Applicant/Guardian	Second Applicant		Third Application	ınt
GENERAL INSTRUCTIONS				
Please read the below instructions carefully before English in BLOCK LETTERS with black ink. All info except where it is specifically indicated as optional SEBI & AMFI guidelines as amended from time to to 1. Applicant Information: Please furnish the Formation in Section 1 of the Form. Your specified folio. Your personal information and bank account dwell.  Contact Details: Please provide the Mobile N / First Applicant in the form in case of Indivic Individuals. This would help us seamlessly com KYC is mandatory. Please enclose copies of applicants. **PEKRN required for Micro investr* Please mention 14 digit KYC Identification Not registered under Central KYC Records Registr Permanent Account Number (PAN): Furnishir except where specific dispensation is provided	rmation sought in the form is mandatory. All instructions & notes are subject to ime.  Jio Number, Name and PAN of Sole / investment would be processed in the etails would apply to this investment as umber and E-Mail Address of the Sole luals and Key Contact in case of Non municate with you on your investments. KYC acknowledgement letters for all nents upto Rs. 50,000 in a year. umber (KIN) and Date of Birth if KYC is y (CKYCR).  Ing of PAN is mandatory for all applicants	divided equa the amount a The SIP freq The default I refer KIM for If you are no scheme nam Third Party F : Payment by through Pay Custodian or Payment by incentive etc subscription. Payment by	Ily among the schemes, how under the considered for Multi IDCW frequency will be or default IDCW frequencies of investing through a District.  Payments for investments an employer on behalf of emple Roll deductions or deduction behalf of an FPI or Client an AMC to its empanelle. In the form of Mutual Funda Corporate to its Agent/Dea	Scheme SIP Investment is only Monthly. onsidered for applicable schemes, please of the schemes. ibutor, please suffix "Direct Plan" after the re not accepted except in the below case: oyees under SIP or lump sum subscription ons out of expense re imbursements. made by Custodian. ed distributor on account of commission d units through SIP or lump sum/one time aler/Distributor on account of commission of
Smart SIP Combos:     Investor has an option to choose from pack of s a single cheque or ECS mandate. Following Sr     Balanced SIP Combo – This combo is su a disciplined asset allocation. This combo	schemes of L&T Mutual Fund by issuing mart SIP Combos available: itable for investors wanting to maintain	or lump sum/o In the above cas confirming the so 3. OTM Debit Mane	one time subscription. Les, necessary declaration / Lource of funds for the investr  date Form for NACH/ECS/	es in the form of Mutual Fund units through SII  / banker's certificate needs to be provide ment. Please refer the SAI for more details  /Auto Debit:  Mandate Form for NACH/FCS/Auto Debit The

- a disciplined asset allocation. This clinbo invests in Early Tybric Equity Fund, and L&T Dynamic Equity Fund) and L&T Equity Savings Fund and is ideal for investors who are new to equity investing.
- Essential SIP Combo This combo is suitable for investors looking to build the core of their portfolio. This combo invests in L&T Flexicap Fund, L&T India Value Fund and L&T Midcap Fund and is ideal for investors who are looking to build wealth in the long term
- Exclusive SIP Combo This combo is suitable for investors looking to add the much needed zing to their portfolio. This combo offers blend of L&T Business Cycles Fund, L&T India Special Situations Fund, L&T Infrastructure Fund that uncovers those companies that have the potential to show maximum growth in the
- Market Cap SIP Combo This combo is suitable for investors looking to strike a balance between large, mid and small cap allocation. This combo offers mix of large, mid and small cap companies for growth across equities through L&T India Large Cap Fund, L&T India Midcap Fund and L&T Emerging Businesses Fund. This combo is ideal for investor's seeking better growth with slightly higher risk appetite.
- DIY (Do It Yourself) Pack This combo offers freedom to choose from our wide range of equity and debt schemes and create combination that best suits your needs. This combo is ideal for investors seeking maximum returns through a customized portfolio.
- The Minimum SIP amount is Rs.1000/- for each scheme with minimum total SIP

- sole/first holder must be one of the holders in the bank account...
- The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
- The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

#### 4. Investment & Payment Details:

- Your investment cheque should be crossed "Account Payee only" and drawn favoring "L&T Multi Scheme SIP".
- b. Initial cheque amount should be equal to Total SIP amount
- Please ensure that the investment cheque issued by you complies with CTS 2010 requirement Stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
  - Payments made by Cash/Money Order/Postal Order, Non- MICR cheques Outstation cheques are not accepted.
- Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

#### 5. Declarations & Signatures:

Unit holders need to sign here in accordance to the Mode of Holding provided to us.

CHECK LIST FOR MULTI SCHEME SIP INVESTMENT FORM					
Application No./Folio No. quoted on the Form		0			
Name of the Sole/First Holder furnished in the Form		0			
SIP details listed below are furnished					
○ SIP installment amounts ○ Total SIP amount ○ SIP date	○ SIP period ○ SIP Scheme	O			
Complete Bank details are furnished in the form					
○ Name of the Sole/1st Holder of the Bank Account ○ Bank A/c No	O MICR O IFSC	0			
All holders have signed in accordance with the Mode of Holding as provided to us at the relevant place					
All Bank Account Holders have signed in the same manner and sequence as their Bank Account in the relevant place					
call 1800 4190 200 or 1800 2000 400 email inve	estor line@Intmf co in www ltfs com				

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

Please note our lines are open from 9 am to 6 pm, Monday to Friday and 9 am to 1 pm on Saturday.

## **Transaction Form for Financial Transactions**



23

lease refer to the general instructions for assi Distributor/RIA Code	stance and complete all sect Sub-Distributor A		legibility, please use BLOCK LETT Sub-Distributor Code	ERS in black or dark in	nk. EUIN	Time Stamp  Branch Code
itial Commission will be paid by the inve fransaction Charges: SEBI (Mutual Fund) Reg investments sourced by him. The transaction of No transaction charges would be levied if you a investor's Declaration where EUIN is not furnished person of the above distributor and/or notwithstar	ulations allow deduction of t narges deductible are Rs. 150/ are not investing through a Dist. I/We confirm that the EUIN bo	transaction charges of - if you are investing i stributor or your inve x has been intentionall	of Rs. 100/- from your investment of n Mutual Funds for the first time. If you estment amount is less than Rs.10,0 y left blank by me/us as this is an "exc	for payment to your dis you are making a SIP Inv 00/ If this is the first time ecution only" transaction	stributor if your distributor he estment, the transaction chane, you are investing in any mu without any interaction or adv	nas opted to receive transaction charges fo rges would be deducted over 3-4 instalments utual fund, please tick here ice by the employee/relationship manager/sales
						,
	)N	∠ 2nd Applican	t		≾ 3rd Applicant	
Name of Sole/1st Unit Holder	First Name		Middle Name	Last	t Name Fo	olio No.
		1   1	\$econd Unit Hol			
	st Unit Holder					hird Unit Holder
KIN* First Unit	Holder	Data of Dirth* (0				Third Unit Holder
Date of Birth* (1st Unit Holder)	M M Y Y Y Y	Date of Birth" (2	and Unit Holder)	Y   Y   Y   Y   L	Date of Birth* (3rd Unit F	10lder)   D   D   M   M   Y   Y   Y   Y
Mobile No. +91 Applicable for Non-Indivuduals			E-mail ID			
Legal Entity Identifier (LEI) Number ^ ^Legal Entity Identifier (LEI) is applica KYC is mandatory. Please enclose copies ^ 14 digit KYC Identification Number (KIN 2. ADDITIONAL PURCHASE	s of KYC acknowledgeme I) and Date of Birth is ma	nt letters for all ap ndatory for Individ	plicants. **PEKRN required for ual(s) who has registered und	s) remittance of Rs r Micro investments er Central KYC Reco	upto Rs. 50,000 in a year. rds Registry (CKYCR).	from Non Individuals
O Lumpsum O	Multi-Scheme Lumpsu	um (Please issu	e cheque favouring <b>L&amp;T MF</b>	Multi-Scheme Lu	impsum)	
Scheme 1 : L&T Option (✓)	O IDCW@	Pavout	IDCW <sup>®</sup> Frequency	(✓) ○ Daily	Amount (₹) ○ Week	ly O Monthly*
Option (*) Olowin   DCW@ Reinvestn		1 ayout	ibow - r requericy	Quarte		
Scheme 2 : L&T Option (✓)	O IDCW@	Povout	IDCW <sup>®</sup> Frequency	(✓) ○ Daily	Amount (₹) ○ Week	ly O Monthly*
DCW@ Reinvestn		Payout	iDCW = Frequency	Quarte		,
Scheme 3 : L&T		_			Amount (₹)	
Option (✓) Growth*  □ IDCW <sup>@</sup> Reinvestn	○ IDCW <sup>@</sup> nent ○ Bonus^	Payout	IDCW <sup>®</sup> Frequency	(✓) ○ Daily ○ Quarte	○ Week erly ○ Annua	,
Payment Details: O Cheque / DI	D / Pay Order	Electronic Trar	nsfer One Time M	andate (OTM)		
f cheque / DD / Pay Order, please	fill Instrument No.		Instrument Date	e D D M N	M Y Y Y Y	
nstrument Amount		Charges (if app	•		_ Net Amount (₹)	
Orawn onBank N		O NDE	Bank Branch  O NRO  O FCN	IR O Othe		Bank City
Account Type (✓) Saving		○ NRE	○ NRO ○ FCN			
f electronic transfer, please fill UT Amount	Debit Bank Name	2		Accour	nt No	
f One Time Mandate, Please fill, U			MRN)	/\cccur		
Amount	Debit Bank Name	`	WININ/	Accour	nt No.	
	st Unit Holder	<ul> <li>Guardian</li> </ul>	in case of minor	Others	Please Specify	
Note: Effective February 1, 2021, uni amount. Net Asset Value (NAV) will be Demat Account Details Depository Participant.  NSDL (NSDL/CDSL: Depository Participant Depository Participant ID Please enclose copy of the Client Mas	e applied based on real  CDSL t Name	lization of funds	subscription amount by the for all purchases including a second of the format is a second of the	systematic transac	tilisation irrespective o tions registered prior o ble in select schemes o	or post February 1, 2021.
3. SWITCH						
From Scheme L&T	plicable) ○ Daily ○	Weekly O M		<b>n</b> (✔) ○ Growth ○ ○ Semi-Annual^	•	CW <sup>®</sup> Reinvestment ○ Bonus <sup>^</sup>
o Scheme L&T				` '	•	○ IDCW <sup>@</sup> Reinvestment
DCW <sup>@</sup> Frequency (✓wherever app Please tick any one (✓)		Weekly O M	onthly* ○ Quarterly ○ OR ○ No	Semi-Annual^	○ Annual^	OR O All Units
Default option if not selected  lote: Switch transactions are also	^Available in select s		ı		from Januarv 1 2021.	
Note: IDCW stands for 'Income Distrib epresents realized gains, as may be de	•		•		-	
ACKNOWLEDGEMENT SL	IP (To be filled in by	the Applicant)				L&T Financial Service
olio No Ro	eceived from		Name of the Sole/Firs	t Unit Holder		Mutual Fu
cheme/Plan/Option						For Office Use Only
Additional Purchase Amount (in R	,	Drawn On		Instrument N		Acknowledgement
Switch (Please ✓ anyone) ○ Redemption (Please ✓ anyone) ○	Amount		No. of units  No. of units		OR OAll Units OR OAll Units	Stamp & Date
Tradelliption (Ficase * dilVolle)	/ IIIIOUIII	UR	U INU. UI UIIIIO		_ ON	

4 DEDEMOTION						
4. REDEMPTION						
Scheme Name L&T			Option (✓) ○ 0	Growth ○ IDCW Payo	out O IDCW Reinvestn	nent O Bonus^
IDCW Frequency (✓ wherever app	olicable) ○ Daily ○ N	Weekly O Monthly*	Quarterly O S	emi-Annual^ O Ann	ual^	
Please (✓) any one ○ Amount (	₹)		<b>OR</b> ○ No. of t	units		OR O All Units
Please note that if the bank acco	ount has been change	d and not been intima	ated to us, the proceed	s will be credited to tl	he bank account regis	tered with us.
IFSC of the registered bank account you have registered multiple bank.  Name of the Bank/ Branch	k mandate and if you w	vant credit in a bank A/c	other than the default b	•	nclose an original cancel ne bank A/c No. below.	led cheque leaf)
	^Available in select s	schemes only				
5. KYC INFORMATION FOR INDIVIDUAL INVESTORS	1et Applica	nt / Guardian	2nd Applica	nt / Guardian	3rd Applica	nt / Guardian
(a) Gender (✓)	•	emale	O Male O Fe		O Male O Fe	
(b) Father's / Spouse's Name						
(c) Place / Country of Birth (✓)  (d) Tax Residency (If you are resident in any country other than India from a taxation perspective, please furnish Country of Tax Residency and Tax Identification Number (TIN) or equivalent alongside)	O India O Other Country of Tax Residency	Please specify  Tax Identification Number (TIN or equivalent)	O India Other Country of Tax Residency	Please specify  Tax Identification Number (TIN or equivalent)	O India Other Country of Tax Residency	Please specify  Tax Identification Number (TIN or equivalent)
	2.		2.		2.	
	O Delevi A le e	0.451	O Delevi 4 le e	0.451	O Delem Alex	0.451
(g) Gross Annual Income (₹)	○ Below 1 lac ○ 5-10 Lacs ○ 25 Lacs - 1 crore	<ul><li>1-5 Lacs</li><li>10-25 Lacs</li><li>&gt; 1 Crore</li></ul>	O 5-10 Lacs	<ul><li> 1-5 Lacs</li><li> 10-25 Lacs</li><li> &gt; 1 Crore</li></ul>	<ul><li>Below 1 lac</li><li>5-10 Lacs</li><li>25 Lacs - 1 crore</li></ul>	<ul><li>○ 1-5 Lacs</li><li>○ 10-25 Lacs</li><li>○ &gt; 1 Crore</li></ul>
(h) Net Worth (₹) (Not older than 1 year)	Mandatory for (a) Ind		have not furnished Gro		ve and (b) Non-Individu	
(e) Occupation (✓)	Pvt. Sector Service Govt. Service Professional Retired	Public Sector Service Business Housewife Student Agriculturist	Pvt. Sector Service Govt. Service Professional Retired	Public Sector Service Business Housewife Student Agriculturist	Pvt. Sector Service Govt. Service Professional Retired	Public Sector Service Business Housewife Student Agriculturist
(f) Others (✓) (Applicable for Karta of HUF also)	I am a politically expu     I am related to a politically     Not applicable	osed person	I am a politically expos     I am related to a politic     Not applicable	sed person	I am a politically expos     I am related to a politically     Not applicable	sed person
FOR NON-INDIVIDUAL INVESTORS ONLY	(If No, please attach If the Entity involved/	' '	J	•	n below) O NO	○ NO
6. DECLARATION, CONSEN		•		gey onang		,g, aminig
I/We have read and understood the contents of  "Who cannot invest", "Foreign Account Tax Cor  I/We hereby apply for allotment/purchase of Ur  invested in the Scheme(s) is through legitimate  in India. I/We hereby authorise L&T Mutual Fu  governmental or regulatory authority. The ARN  from amongst which the Scheme(s) is being re  this application form is correct, complete and threestment Manager through various channels  Fund within 30 days of the change. I/We authors  EBI Registered Intermediaries. I/We authors  provide relevant information to upstream payor  I/We hereby accord my/our consent to disclose  group companies of L&T Financial Services for  APPLICABLE FOR INVESTMENT THROUGH  etc. in respect of my/our investments under Dir	If the Scheme Information Doo mpliance Act (FATCA) / Commits in the Scheme(s) and agra sources only and does not invand ('the Fund'), its Investmer I holder has disclosed to me/u. I/We accept and a state of the recommended to me/us. I/We accept and a state of the records (in a case there is any change in a case there is any change in the records (in a case there is any change a thin a case there is any change a case updation of the records (in a case there is any change e, share, remit in any form, m any valid business purposes the SEBI REGISTERED INVES	cument, Statement of Addition.  non Reporting Standard (CRS ee to abide by the terms and cool to be to a bide by the terms and cool to be to a bide by the terms and cool to be to a bide by the terms and cool to be to	al Information and Key Information (Reporting Guidelines")" and onditions applicable thereto. I/V he purpose of any contravention gents to disclose details of my induced by any rebate or gift and conditions and privacy policy pertaining to Reporting Guidelines) basis the infort / us with other SEBI Registered in the my/our account or close on information provided by me/us, promotion or with any statutory of the contraction of the my statutory of the my statutory of the contraction of the my statutory of the my	I "Important Note on Anti Mon We hereby declare that I/We at or evasion of any Act, Rules, investment to my bank(s)/ Fui other mode), payable to him s, directly or indirectly, in mak / (as mentioned on www.ltfs.c ines) already provided to LTIM mation / documents received d Intermediaries to facilitate sir s uspend my/our account(s) i including all changes, update or judicial authorities, without a give you my/our consent to si	ney Laundering, Know-YourCus Mare authorised to make this i Regulations, Notifications or Dind's bank(s) and/or Distributor. for the different competing sching this investment. I/We declar som) with respect to my/our des W / Fund, I/We agree that I/We by LTIM/Fund/Registrar and Trangle submission /updation. I / We under intimation me/us. se to such information as and wany prior intimation to me/us, under support intimation i	tomer and Investor Protection" nevestment and that the amoun rections issued by any authority Broker/Investment Adviser/any elemes of various Mutual Funder that the information given in alings with L&T Mutual Fund/its shall inform the same to LTIM ansfer Agent ("RTA") from othe /e authorize LTIM/ Fund/RTA to when provided by me/ us to that ill notified by me/us otherwise
∠ (Sole/First Unit)	Holder)	<b>K</b> (S	Second Unit Holder)		🗷 (Third Unit F	
Date D D M M Y Y Y	[ Y				Place	

Scan our WhatsApp QR code and say 'Hi'

**call** 1800 4190 200 or 1800 2000 400

whatsapp @ 9326929294

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

email investor.line@Intmf.co.in

www.Intmf.com

Join us on WhatsApp @ 9326929294 for financial and non-financial transactions, Account statement, etc. or you can connect with us on Chatbot as well by visiting our website (www.ltfs.com/companies/Int-investment-management). (For Resident individual investors only with mode of holding as single). Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

#### **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

 Applicant Information: Please furnish the Folio Number, Name and PAN of Sole / First Applicant in Section 1 of the Form. Your transaction would be processed in the specified folio.

Your personal information and bank account details would apply to this investment as well

Contact Details: Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.

\* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

**Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

## 2. Additional Purchase Request:

- a. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document.
- b. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name
- c. Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
- d. Please ensure that the investment cheque issued by you complies with CTS 2010 requirements stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- e. Payments made by Cash/Money Order/Postal Order, Non- MICR cheques Outstation cheques are not accepted. Post dated cheques will not be accepted except for investment made under Systematic investment Plan.
- f. Third Party Payments for investments are not accepted except in the below cases :
  - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense re imbursements.
  - · Custodian on behalf of an FPI or Client made by Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- g. Effective February 1, 2021, units will be allotted to the investors only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

In the above cases, necessary declaration / banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details

If you wish to hold your investments in the Dematerialised mode, please provide the name and DP ID of your Depository Participant and your Beneficiary Account Number. Please also attach a copy of the Client Master that you may have received from your Depository Participant.

Please ensure that the sequence of names in the application form matches the sequence in which the Demat account is held. If the sequence of names does not match with the Demat account details provided therein, the Units will not be transferred to the Demat Account and the Units will be held in the physical mode.

Please note that this is an additional facility offered to unit holders who wish to hold units in the dematerialised mode. This is not a mandatory requirement and unit holders can continue holding units physically with the mutual fund. If you do not wish to hold your mutual fund units in the dematerialised form, please strike off this section. If the demat account details in the application form are incomplete/incorrect or does not match with the records of the Depository, units would be allotted and held electronically in the Fund's books and an Account Statement confirming the unit allotment would be sent to you.

#### 3. Switch Request:

- a. Please ensure that the Target and Source schemes are correctly indicated.
- b. Please specify the amount/number of units you wish to switch. If you wish to switch all units, tick against the box provided.
- If your switch request specifies both amount and units for switch, the latter will be processed.
- d. If the balance in the source scheme/option, after taking the switch into account falls below the minimum redemption size (either in amount or units whichever is less), the entire balance in the Source scheme will be switched to the Target Scheme.
- e. Note: Switch transactions are also subject to realization of funds in the respective schemes account with effect from February 1, 2021.

#### 4. Redemption Request:

- Please specify scheme details and the amount/number of units you wish to redeem.
   If you wish to redeem all units, tick against the box provided.
- b. If your redemption request specifies both amount and units for redemption, the latter will be processed.
- c. A redemption will be processed only for the amount which has been realized.
- d. To safeguard investors against fraudulent action, where the redemption request is received along with Change of address/bank request, the AMC reserves the right to release the payment only after completing necessary additional checks.
- If your redemption request is followed within 10 days from your request for change in bank account, payout of redemption proceeds is subject to applicable cooling off period.
- 5. Signatures: All signatures should be in English or any other Indian Language. Thumb impressions should be from the left hand in case of males and right hand in case of females. All such thumb impressions need to be attested by a Magistrate, Notary Public or Special Executive Magistrate under his/her official seal.
- 6. Employees Unique Identification Number (EUIN): If you are making this investment based on an investment advise received from your distributor, please quote the Employees Unique Identification Number (EUIN) of your relationship manager in the Application Form

If your distributor has, however, not given you any advice pertaining to the investment or your investment decision is not withstanding the advice provided by your distributor regarding inappropriateness of the scheme vis-a-vis your investment needs, the EUIN box may be left blank. In this case, please sign in the space provided in Section B to confirm your acceptance to the declaration stated therein.

### 7. KYC Details:

Date of KYC Submission	Current KYC Status	What is required
Upto June 24, 2015	KYC Registered-New KYC	Section 5(e), (f), (g) and (h) is NOT mandatory. Please complete in case of any change in information
	KYC Verified by CVL MF	Submit the following along with the investment: KYC Modification form along with the required supporting documents. Section 5 completely filled
With effect from June 25, 2015	KYC Registered-New KYC	Section 5 with all sections mandatorily filled
	KYC Under Process/ KYC submitted*	Section 5 with all sections mandatorily filled

<sup>\*</sup>The Under process status should not be older than 15 days.



# **Transaction Form For STP & SWP**



-	ations for assistance and	complete all sect	ions in English. F	For legibility, please use BL	OCK LETTERS IN BIAC	ck or dark ink.		Time	<u>Stamp</u>
Distributor/RIA Code	e Su	b-Distributor A	RN	Sub-Distributor (	Code	EUIN		Bran	ch Code
tial Commission will be paid	•	•			-		•		
ansaction Charges: SEBI (Mutu vestments sourced by him. The to transaction charges would be l vestor's Declaration where EUIN is erson of the above distributor and/	s not furnished: I/We confire	n that the EUIN box	has been intentio	nally left blank by me/us as thi	s is an "execution only"	transaction without a	any interaction or adv	ice by the employee/re	elationship manager/sale
< Sole/1st Applicant			≈ 2nd Applic	ant		≈ 3rd ∆	nnlicant		
Sole/1st Applicant  APPLICANT INFO	RMATION			anı		Æ SIU A	pplicant		
				A4111 A1			_		
ame of Sole/1st Unit Ho	older	rst Name		Middle Name	Jnlit Holder	Last Name		olio No.	
INI#	Fisher Hait Halaks H			1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	alit I lla lalata I I I			Third Unit Holds	
N*	First Unit Holder			Second U				Third Unit Holds	
ate of Birth* (1st Unit Holde	er) D D M M Y	YYY	Date of Birth*	(2nd Unit Holder)		Y   Y Date of	Birth* (3rd Unit F	Holder) D D M	M   Y   Y   Y   Y
lobile No. +91				E-mail ID					
YC is mandatory. Please end 14 digit KYC Identification N									
SYSTEMATIC WIT	HDRAWAL PLA	AN (SWP) -	Please note	that the value of the	unit balance in	the source so	heme should l	be at least Rs.	25,000
cheme Name L&T					Option (✓) □ (	Growth O IDCV	V Payout ◯ IDO	CW Reinvestmer	nt O Bonus^
CW Frequency (√whe	rever applicable)	O Daily	Weekly	○ Monthly* ○ C		nnual^ O Sen	•		
ithdrawal preference (	(✓) ○Amount (₹) _			OR C	Capital Appre	ciation (Availab	le for <b>GROWT</b>	l plan only)	
ithdrawal frequency (v	✓) ○ Monthly*	○ Quarte	erly C	Semi-Annual	○ Annual				
ithdrawal date (✓) ○ 1	st ○ 5th○ 7th ○ 10	<b>)th*</b> ○ 15th ○	20th O 25th	n ○ 28th <b>Withdrawal</b>	period From	$M \mid Y \mid Y \mid Y$	Y To M M	Y   Y   Y   Y	OR O Till baland
Available in select sch									
. SYSTEMATIC TRA	ANSFER PLAN	(STP) - Plea	se note that	the value of the uni	t balance in the	source schem	e should be at	least Rs. 25,00	00
cheme Name L&T					Option (✓) ○	Growth O IDC	W <sup>®</sup> Payout ○	IDCW@ Reinves	stment O Bonus
OCW <sup>®</sup> Frequency (√wh	nerever applicable)	O Daily O	Weekly O	Monthly* O Qua	rterly O Annua	ıl^ ○ Semi-A	nnual^		
Scheme L&T					Option (✓) ○	Growth O IDC	:W <sup>@</sup> Payout ○	IDCW <sup>®</sup> Reinves	stment O Bonus
CW <sup>®</sup> Frequency (✓wh Note: IDCW stands for 'la ale price that represents accordance with the Re	Income Distribution realized gains, as	cum Capital V may be decla	Vithdrawal op red by the Tr	tion'. The amounts ca ustees at its discretion	n from time to tim	ut of investors' e (subject to the	capital (Equaliz e availability of	distributable su	rplus as calculate
ransfer preference (✔) ○	Amount (₹)	OR	Capital App	preciation (Available for <b>G</b>	ROWTH plan only)	From	To M	1   M   Y   Y   Y   Y	OR O Till baland
	O Daily	○ Mon*	○ Tue	○ Wed	○ Thu	○ Fri			
	∩ Wookly				O IIIu	O 1 II			
	<ul><li>Weekly</li><li>Fortnightly</li></ul>	O 1st	○ 15tl	1					
	○ Fortnightly ○ Monthly*	○ 1st	○ 5th	○ 7th	○ 10th*	○ 15th	○ 20th	○ 25th	○ 28th
Please (✓) anyone)	<ul><li>Fortnightly</li><li>Monthly*</li><li>Quarterly</li></ul>	○ 1st ○ 1st	○ 5th ○ 5th	○ 7th ○ 7th	○ 10th* ○ 10th*	○ 15th ○ 15th	○ 20th ○ 20th	○ 25th ○ 25th	<ul><li>28th</li><li>28th</li></ul>
Please (✓) anyone)  Default option if not selote: Effective February 1.	Fortnightly Monthly* Quarterly lected ^A , 2021, units will be	○ 1st ○ 1st vailable in se allotted only u	5th 5th	○ 7th ○ 7th es only of subscription amoun	○ 10th*	○ 15th use for utilisation	20th	25th	○ 28th  ategory/investme
lease (✓) anyone) Default option if not sei Dete: Effective February 1, nount. Net Asset Value (f	Fortnightly Monthly* Quarterly lected A, 2021, units will be NAV) will be applied	○ 1st ○ 1st vailable in so allotted only t based on real	○ 5th ○ 5th elect scheme upon receipt d ization of fund	○ 7th ○ 7th es only of subscription amoun ds for all purchases inc	○ 10th* t by the Fund Ho cluding systemati	○ 15th use for utilisation	20th	25th	○ 28th  ategory/investme
Please (✓) anyone)  Default option if not set of the se	Fortnightly Monthly* Quarterly  lected ^A , 2021, units will be NAV) will be applied  CONSENT & SIG stood the respective ebate or gifts, directed on my/our assestrail commission or a ir consent to disclosprovided by me/ us!	1st	5th 5th 5th 5th 5th clect scheme upon receipt of ization of fund (To be signe mation Docu y in making t ious factors i yable to him it in any form companies of	7th 7th 7th res only of subscription amounds for all purchases inc d as per Mode of Holo ment, Statement of Achis transaction. I/We ncluding the service if for different schemes in, mode or manner, al L&T Financial Service	10th*  t by the Fund Hocluding systematisting) Iditional Information understand that the condered by the condered by the full any of the informes for any valid by	15th  use for utilisation transactions record and Key Information to the upfront combistributor. Also from amongst was a second combistributor.	on irrespective cegistered prior of the prio	of any scheme corpost February randum. I/We ha paid directly by stered distributche is being recor	28th  ategory/investme 1, 2021.  ave neither receiv r me/us to the Ah mended to me/
Please (✓) anyone)  Default option if not set of the image of the imag	Fortnightly Monthly* Quarterly  lected ^A , 2021, units will be NAV) will be applied  CONSENT & SIG stood the respective ebate or gifts, direct ed on my/our assest trail commission or a ir consent to disclos provided by me/ us thorities, without an	1st	5th 5th 5th 5th 5th clect scheme upon receipt of ization of fund (To be signe mation Docu y in making t ious factors i yable to him it in any form companies of	7th	10th*  t by the Fund Hocluding systematisting) Iditional Information understand that the rendered by the off mutual funds for mutual funds for many valid by sotherwise.	15th  use for utilisation transactions record and Key Information to the upfront combistributor. Also from amongst was a second combistributor.	on irrespective of egistered prior of ormation Memori mission will be the AMFI regishich the schem I by me/us, including m	of any scheme cor post February randum. I/We ha paid directly by stered distribute te is being recor uding all changarketing or sale	28th  ategory/investme 11, 2021.  ave neither receiv re/us to the AN or has disclosed i mmended to me/ es, updates to su s promotion or w
Please (✓) anyone)  Default option if not set of the Effective February 1, mount. Net Asset Value (№.  DECLARATION, C  We have read and unders in been induced by any registered distributors base mmissions to me/us (in the Net Asset Value) (in the Vehereby accord my/out ormation as and when programming the vehereby accord my/out ormation as and when programming the vehereby accord my/out ormation as and when programming the vehereby according to the vehere	Fortnightly Monthly* Quarterly  lected ^A, 2021, units will be NAV) will be applied  CONSENT & SIG stood the respective rebate or gifts, directed on my/our assest trail commission or a reconsent to disclosprovided by me/ us at thorities, without an	1st	5th	7th 7th 7th res only of subscription amounds for all purchases inc d as per Mode of Holo ment, Statement of Achis transaction. I/We ncluding the service if for different schemes in, mode or manner, al L&T Financial Service	10th*  t by the Fund Hocluding systematisting) Iditional Information understand that the rendered by the off mutual funds for mutual funds for many valid by sotherwise.	15th  use for utilisation transactions record and Key Information to the upfront combistributor. Also from amongst was a second combistributor.	on irrespective of egistered prior of ormation Memori mission will be the AMFI regishich the schem I by me/us, including m	of any scheme corpost February randum. I/We ha paid directly by stered distributche is being recor	28th  ategory/investment 1, 2021.  ave neither receive me/us to the AN or has disclosed to me/ves, updates to sus promotion or w
Please (✓) anyone)  Default option if not set of the se	First Unit Holder)  Fortnightly  Monthly* Quarterly  lected ^A, 2021, units will be NAV) will be applied  CONSENT & SIG stood the respective ebate or gifts, directed on my/our assestrail commission or ar consent to disclosprovided by me/ us thorities, without an	1st	5th	7th 7th 7th 7th res only of subscription amounds for all purchases ince d as per Mode of Hole ment, Statement of Achis transaction. I/We nocluding the service in for different schemes in, mode or manner, al L&T Financial Servic until notified by me/u	10th*  t by the Fund Hocluding systematisting) Iditional Information understand that the rendered by the off mutual funds for mutual funds for many valid by sotherwise.	15th  use for utilisation transactions record and Key Information to the upfront combistributor. Also from amongst was a second combistributor.	on irrespective of egistered prior of ormation Memori mission will be the AMFI regishich the schem I by me/us, including m	of any scheme corpost February randum. I/We ha paid directly by stered distribute is being recoruding all changarketing or sale	ategory/investment 1, 2021.  ave neither receive me/us to the AN or has disclosed inmended to me/es, updates to sus promotion or well.
Default option if not set to the set of the	First Unit Holder)  Fortnightly  Monthly* Quarterly  lected ^A, 2021, units will be NAV) will be applied  CONSENT & SIG stood the respective ebate or gifts, directed on my/our assestrail commission or ar consent to disclosprovided by me/ us thorities, without an	1st	5th	7th 7th 7th 7th res only of subscription amounds for all purchases ince d as per Mode of Hole ment, Statement of Achis transaction. I/We nocluding the service in for different schemes in, mode or manner, al L&T Financial Servic until notified by me/u	t by the Fund Hocluding systematisting) Iditional Information understand that trendered by the of mutual funds for with the information of mutual funds the information of the informati	on and Key Information Albuman and Mey Information Albuman and Mey Information Albuman and Mey Information provided business purpos	on irrespective of egistered prior of ormation Memorimission will be the AMFI regist when AMFI regist when AMFI regist including memory.	of any scheme corpost February randum. I/We ha paid directly by stered distribute is being recoruding all changarketing or sale	28th  ategory/investme 11, 2021.  ave neither receive me/us to the AM or has disclosed to mmended to me/ es, updates to sus s promotion or w
Default option if not set of the control of the co	Fortnightly Monthly* Quarterly lected ^A , 2021, units will be NAV) will be applied CONSENT & SIG stood the respective ebate or gifts, directed on my/our assestrail commission or a reconsent to disclosprovided by me/ us of the consent to disclose the	1st	5th	7th	t by the Fund Hocluding systematic thing) Iditional Information understand that it or for mutual funds for any valid by so otherwise.	on and Key Information Albuman and Mey Information Albuman and Mey Information Albuman and Mey Information provided business purpos	on irrespective of egistered prior of ormation Memorimission will be the MFI regishich the schem I by me/us, including m	of any scheme corpost February  randum. I/We ha paid directly by stered distribute e is being recoruding all changarketing or sale  Third Unit Holde  For Content of the part	ategory/investment 1, 2021.  ave neither receiver me/us to the Ah or has disclosed mmended to me/es, updates to sus promotion or very promotion or very me/us.

#### **GENERAL INSTRUCTIONS**

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

Applicant Information: Please furnish the Folio Number, Name and PAN of Sole
 / First Applicant Section 1 of the Form. Your investment would be processed in the
 specified folio.

Your personal information and bank account details would apply to this investment as well

Contact Details: Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments

**Permanent Account Number (PAN):** Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

#### 2. Systematic Withdrawal Plan (SWP):

- a. The minimum withdrawal size is Rs.500 except for L&T Liquid Fund and L&T Cash Fund. For L&T Liquid Fund and L&T Cash Fund it is Rs. 1000.
- b. SWP will be registered within 7 calendar days from the date of your request.
- You can cancel a registered SWP at any time by submitting a written request. The cancellation will be processed within 15 days.
- If your SWP request specifies both amount and units, the SWP will be processed on the basis of amount.
- If the scheme/plan/option is not mentioned and there is only one scheme/plan/ option available in the folio, the same will be processed.

#### 3. Systematic Transfer Plan (STP):

- a. The minimum transfer size is Rs.500 except for L&T Liquid Fund and L&T Cash Fund. For L&T Liquid Fund and L&T Cash Fund it is Rs. 1000.
- b. STP will be registered within 7 calendar days from the date of your request.
- c. You can cancel a registered STP at any time by submitting a written request. The cancellation will be processed within 15 days.

- d. If the plan/option if the source scheme is not mentioned and there is only one plan/option available in the folio, the STP will be processed.
- If the plan/option of the target scheme is not mentioned, the STP will be processed as per the default options mentioned in the Scheme Information Document of the respective scheme.
- f. In case the criterion of the minimum amount for the purpose of transfer of units under the STP facility is not met, the AMC reserves the right to discontinue the STP/cancel the registration for STP..
- g. Daily STP is availble only for fixed amounts.
- STP transfers can be made on a daily basis i.e. Monday to Friday subject to such days being business days.
- Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- 4. Signatures: All signatures should be in English or any other Indian Language. Thumb impressions should be from the left hand in case of males and right hand in case of females. All such thumb impressions need to be attested by a Magistrate, Notary Public or Special Executive Magistrate under his/her official seal.
- 5. Employees Unique Identification Number (EUIN): If you are making this investment based on an investment advise received from your distributor, please quote the Employees Unique Identification Number (EUIN) of your relationship manager in the Application Form.

If your distributor has, however, not given you any advice pertaining to the investment or your investment decision is not withstanding the advice provided by your distributor regarding inappropriateness of the scheme vis-a-vis your investment needs, the EUIN box may be left blank. In this case, please sign in the space provided in Section B to confirm your acceptance to the declaration stated therein.

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.ltfs.com

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

## **IDCW Transfer Plan Enrolment Form**

Request submitted



Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink Distributor/RIA Code Sub-Distributor Code Sub-Distributor ARN Branch Code Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor. Transaction Charges: SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-. If this is the first time, you are investing in any mutual fund, please tick here Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction. **APPLICANT INFORMATION** Name of Sole/1st Unit Holder Folio No Şecond Unlit Holder Date of Birth\* (1st Unit Holder) DDMMMYYYYY Date of Birth\* (2nd Unit Holder) DDMMYYYYY Date of Birth\* (3rd Unit Holder) DDMMYYYYY Mobile No. +91-E-mail ID KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year. ^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR). SOURCE SCHEME (From where you wish to transfer the amount) TARGET SCHEME (To where you wish to transfer the amount) Scheme Name L&T Scheme Name L&T Plan (√) Direct Non-direct Plan (√) Direct Non-direct Bonus<sup>^</sup> IDCW<sup>@</sup> Reinvestment Option (✓) Growth\* IDCW@ Reinvestment IDCW@ Payout Option (

) IDCW@ frequency IDCW@ frequency \*Default option if not selected ^Available in select schemes only Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021. @Note: IDCW stands for 'Income Distribution cum Capital Withdrawal option'. The amounts can be distributed out of investors' capital (Equalization Reserve), which is part of the sale price that represents realized gains, as may be declared by the Trustees at its discretion from time to time (subject to the availability of distributable surplus as calculated in accordance with the Regulations) **DECLARATION, CONSENT & SIGNATURES** I/We have understood the contents of the Scheme Information Document of the respective Target scheme(s) and the Statement of Additional Information and the terms and conditions overleaf. I/We hereby apply to the Trustees of L& T Mutual Fund for the DTP enrolment and agree to abide by the terms and conditions of the Scheme(s)/Plan(s) and DTP. I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of L&T Financial Services for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise. Sole/First Applicant/Guardian Second Applicant Third Applicant (Not applicable if first applicant is minor) (Not applicable if first applicant is minor) L&T Financial Services ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant) Received from Folio no/ Application no: Mobile No:

Subject to further verification and furnishing of mandatory information/ documents. Please retain this slip until processed

Acknowledgement

Stamp & Date

## FEATURES AND TERMS AND CONDITIIONS OF IDCW TRANSFER PLAN

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black ink. All information sought in the form is mandatory except where it is specifically indicated as optional.

- IDCW stands for 'Income Distribution cum Capital Withdrawal option'
- IDCW Transfer Plan (DTP) facility is available in the IDCW options under all open ended schemes of the Fund except L&T Tax Advantage Fund where in the said scheme can only be the Source scheme and not the Target Scheme.
- The minimum amount of IDCW eligible for transfer under the DTP facility is Rs. 100 (as reduced by the amount of applicable statutory levy). In case the amount of IDCW is less than or equal to Rs. 100 per folio, the same will get compulsorily reinvested in the Source Scheme as per applicable NAV.
- Under the DTP facility, IDCW declared in the Sources Scheme will be automatically invested in the Target Scheme as per the applicable NAV of the Business Day immediately succeeding the record date.
- The provisions pertaining to "Minimum Initial Application Amount" and "Minimum Additional Application Amount" in respect of the Target Scheme will
  not be applicable for the investments made through the DTP facility.
- Units allotted under the DTP facility will be subject to exit load as per the provisions specified in the Scheme Information Document of the Target Scheme
- Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- · Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

**call** 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.Intmf.com

Note: Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.

## **Banker's Certificate**

(In case of Demand Draft/Pay Order/Any Other pre-funded instrument)



## To whomsoever it may concern:

I/We hereby confirm the following details regarding the instrument issued by us:

INSTRU	JMENT	DETA	AILS																							
Instrument Ty	/ре	Demar	nd Dra	ıft	Pay	Order	/ Bank	ær's C	heque											Date	D	D	M   M	I Y	Υ	Y   Y
Instrument N	umber									Inst	trume	nt Am	ount (ii	n Rs.)												
Instrument Ar	mount (in	words	)																							
In Favour of /	/ Favourir	ng																								
Payable at																										
DETAIL	S OF B	ANK	ACC	OUN	NT DE	BITE	D FC	R IS	SUIN	G TH	HE IN	NSTF	RUME	NT												
Bank Accoun	t No.															Accou	unt Typ	oe ( <b>√</b> )	Sa	vings	s C	urrent	NRE	E NF	RO 🗌	FCNR
Bank Name /	Branch _																									
Name of Sole	e/1st Unit	t Holde	er		Firs	st Nam					Midd	lle Na					Last N				_ Folio	No.				
PAN/PEKRN	**		Fi	rs t Ur	ni¶ Hold	ler						\$e	corld U	n it Ho	der						Th	ird Un	it Hold	er		
KIN*		Fir	st Unit	Hold	ler							Sec	ond Ur	it Hplo	der							Γhird L	Init Ho	lder		
Date of Birth*	(1st Unit I	Holder)	DD	M	MIY	ΥΥ	Υ	Date o	f Birth*	(2nd	Unit H	Holder)	DD	MIN	/	ΥΙ	Y D	ate of	Birth*	(3rd	Unit H	older)	DD	MIM	ΥIY	/
Mobile No. + KYC is mandate		onclos	o conic	se of K	VC ack	nowlod	laomont	lottore	for all a	nnlic		ail ID		irod for	Micro inv	oetma	onte un	to De	<b>50 000</b>	in a v	oar					
^ 14 digit KYC							-													-						
IF THE	ISSUIN	G BA	NK E	BRA	NCH	ıs ol	JTSIE	E IN	DIA																	
I/We further d	declare th	at I/we	are re	egiste	red as	a Bar	nk/bran	ch as	mentio	ned l	below	<i>r</i> :														
Under the Re	gulator												Name	of Re	gulator											
In the Country	у –												Cou	intry N	lame											
Registration I	No.												Registi	ration	Number											
I/We confirm Money Laund										regai	rd to t	the Be	neficia	ry and	I to the s	ource	e of th	e fund	ds rec	eived	from	him, a	ıs per t	he sta	ndard	s of Anti-
BRANC									•																	
Nama	Eire	st Name					Middle	Namo					Last N				<b></b> 1-									
Name Address																	Emplo	yee C	ode L							
/ tadi ooo																										
City									State	е									<u> </u>	F	Pin					
Country															Conta	ct No.	.									
					~ 0												Б	0.5								
	-					ignatuı																atory)				
Important Not will conform to												menc	latory i	n natu	re as the	ere m	ay be	existi	ng Ba	nk Le	etters	/ Certi	ficates	/ Decl	aratio	ns which
			call 1	1800	4190	200 c	or 180	0 200	0 400			ema	<b>il</b> inve	stor.li	ne@Inti	mf.c	o.in		W۱	ww.l	ntmf.	com				
			Plea	ase r	note o	ur line	es are	open	from	9 an	n to 6	6 pm	Mond	day to	Friday	and	9 am	1 to 1	pm o	n Sa	aturda	ay.				

This page is intentionally left blank.

# **Third Party Payment Declaration Form**



	USE ONLY				D 1.T "
	Date of Rece	Ι <b>ρ</b> τ	Folio No.		Branch Trans. No.
1. BENEFICIA	L INVESTOR	INFORMATION (Refer instruct	on no. 2a)		
olio No. (For existing	g investor)			Application No.	
IAME OF BENEFIC	CIAL INVESTOR	R (Refer instruction no. 2b)			
F   i   r   s   t   n	a m e		M   i   d   d   l   e   n   a   m	e	L a s t n a m
2. THIRD PAR	TY INFORMA	TION (Refer instruction no. 3)			
NAME OF THIRD P	PARTY (PERSO	N MAKING THE PAYMENT)			
F   i   r   s   t   r	n a me		M   i   d   d   I   e   n   a   m	e	L a s t n a m
ationality					
AN/PEKRN**	First	Unit Holder	\$econd Unit Holder		hird Unit Holder
IN*	First Uhit Ho	older	Se¢ond Urlit Holder		Third Unit Holder
ate of Birth* (1st Unit	Holder)	Date of Birth* (	2nd Unit Holder)	Date of Birth* (3rd Unit	Holder)
lobile No. +91			E-mail ID		
		f KYC acknowledgement letters for all ap			
		and Date of Birth is mandatory for Individ DESIGNATION (in case of Non-Indi		il KYC Records Registry (CKYCR).	
F   i   r   s   t   n		,	M   i   d   d   l   e   n   a   m		
esignation MAILING ADDRES	S (P O Boy Ad	dress may not be sufficient)			
MAILING ADDRES	75 (F.O. BOX AC	areas may not be sufficient)			
ity/Town		State	Pi	n	
ONTACT DETAILS	S				
(ISD/STD)		T-I (D) (ISD/STD)	,	+   9   1	
el. (O)		Tel. (R)		Mobile	
ax	TUIDD DADTV	Email ID WITH THE BENEFICIAL INVEST	DP (Pofor Instruction No. 2) [Plac	no tick (./) as applicable!	
		WITH THE BENEFICIAL INVESTOR	, , , , , , , , , , , , , , , , , , , ,	. ,	A mont
Status of the Beneficial Investor	FPI Client		Employee(s)	Empanelled Distributor	Agent Dea
Relationship of Third Party with the	Custodian SEBI Registration	n No. of Custodian	Employer	AMC	Corporate
Beneficial Investor					
Declaration by		Till D D M M Y Y Y Y Y the payment is made on behalf of FPI/	I/Me declare that the navment is	I/Ma declare that the navment is	I/Me declare that the navm
Third Party	Client and the so	urce of this payment is from	made on behalf of employee(s),as	made on behalf of empanelled	is made on behalf of age
	funds provided to	us by FPI/Client.	per the list enclosed herewith, under Systematic Investment	commission/incentive etc.in the	
			Plan or lump sum/one time subscription through Payroll	form of Mutual Fund units through Systematic Investment	sale of its goods/services.in form of Mutual Fund units thro
			Deductions or deductions out of	Plan or lump sum/one time	Systematic Investment
			expense re imbursements.	subscription	Plan or lump sum/one ti subscription
3. THIRD PAR	TY PAYMENT	DETAILS (Refer instruction no	4) - Maximum value should not	exceed Rs. 50.000	'
Mode of Payment (I		Mandatory Enclosure(s)*	.,		
Cheque	,	In case the account number and passbook/ statement of bank account			
		Procured against registered pay	•	ig that the third party maintains a	Dank account.
Pay Order		Any one of the following (please	e <b>tick √)</b> details of account holder's name, ba	ank account number and DAN as no	or bank records (if available) or
Demand Draft		•	i, mentioning the bank account det	•	` ,
				ssuance of a Demand Draft / Pay	Order
Demand Draft		A copy of the passbook/bank s	tatement evidencing the debit for i	soddioc of a Demand Diant / 1 dy	Order.
Demand Draft			stments below ₹ 50,000/- only):	·	
Demand Draft Banker's Cheque		A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable)	ainst cash stating the name of the	
Demand Draft		A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable)	ainst cash stating the name of the	
Demand Draft Banker's Cheque  RTGS NEFT Fund Transfer		A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av  Copy of the Instruction to the Bank	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable) s stating the Bank Account Numbe	ainst cash stating the name of the	Third Party, bank account numb
Demand Draft Banker's Cheque  RTGS NEFT Fund Transfer L&T Mutual Fund /	L&T Investment	A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av Copy of the Instruction to the Banl  Management Limited ("LTIML") rese	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable) s stating the Bank Account Numbe	ainst cash stating the name of the	Third Party, bank account numl
Demand Draft Banker's Cheque  RTGS NEFT Fund Transfer L&T Mutual Fund / hird Party for establi	ishing the identity	A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av Copy of the Instruction to the Banl  Management Limited ("LTIML") rese	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable) s stating the Bank Account Numbe	ainst cash stating the name of the	Third Party, bank account num
Demand Draft Banker's Cheque  RTGS NEFT Fund Transfer L&T Mutual Fund / hird Party for establi Amount# in figures (	ishing the identity ₹)	A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av Copy of the Instruction to the Banl  Management Limited ("LTIML") reserved the Third Party.	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable) stating the Bank Account Numbe rves the right to seek information	ainst cash stating the name of the r which has been debited. and/or obtain such other addition	Third Party, bank account num
Demand Draft Banker's Cheque  RTGS NEFT Fund Transfer L&T Mutual Fund / hird Party for establi Amount# in figures (Cheque/DD/PO/UTR N	ishing the identity ₹)	A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av Copy of the Instruction to the Banl  Management Limited ("LTIML") reserved the Third Party.	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable) stating the Bank Account Numbe rves the right to seek information	ainst cash stating the name of the	Third Party, bank account num
Demand Draft Banker's Cheque RTGS NEFT Fund Transfer L&T Mutual Fund / Inird Party for establiamount# in figures (Cheque/DD/PO/UTR Nay-in Bank A/c No.	ishing the identity ₹)	A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av Copy of the Instruction to the Banl  Management Limited ("LTIML") reserved the Third Party.	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable) stating the Bank Account Numbe rves the right to seek information	ainst cash stating the name of the r which has been debited. and/or obtain such other addition	Third Party, bank account num
Demand Draft Banker's Cheque  RTGS NEFT Fund Transfer	ishing the identity ₹)	A copy of the passbook/bank s  Procured against cash (For inve A banker's certificate for issuance and PAN as per bank record (if av Copy of the Instruction to the Banl  Management Limited ("LTIML") reserved the Third Party.	stments below ₹ 50,000/- only): of a Demand Draft / Pay Order aga ailable) s stating the Bank Account Numbe rves the right to seek information  Cheque	ainst cash stating the name of the r which has been debited. and/or obtain such other addition	Third Party, bank account num

#### 4. DECLARATION & SIGNATURE (Refer instruction no. 5)

#### THIRD PARTY DECLARATION

I/We confirm having read and understood the Third Party Payment rules, as given below and hereby agree to be bound by the same.

I/We declare that the information declared herein is true and correct, which L&T Mutual Fund is entitled to verify directly or indirectly. I/We agree to furnish such further information as L&T Mutual Fund may require from me/us. I/We agree that, if any such declarations made by me/us are found to be incorrect or incomplete, L&T Mutual Fund is not bound to pay any interest or compensation of whatsoever nature on the said payment received from me/us and shall have absolute discretion to reject / not process the Application Form received from the Beneficial Investor(s) and refund the subscription monies.

I/We hereby declare that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We will assume personal liability for any claim, loss and/or damage of whatsoever nature that L&T Mutual Fund may suffer as a result of accepting the aforesaid payment from me/us towards processing of the transaction in favour of the Beneficial Investor(s) as detailed in the Application Form.

#### APPLICABLE TO NRIS ONLY:

I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin banking channels or from funds in my / our Non-Resident External / Orc	,	or subscription have been remitted from abroad through normal
Please (✓) ☐ Yes ☐ No		
If yes, (✓) ☐ Repatriation basis ☐ Non-repatriation basis		Signature of the Third Party
D   D   M   M   Y   Y   Y   Y		,
BENEFICIAL INVESTOR(S) DECLARATION & SIGNATURE/S  I/We certify that the information declared herein by the Third Party is tru	e and correct	
I/We acknowledge that L&T Mutual Fund reserves the right in its sole of		tion Form and refund the navment received from the aforesaid
Third Party and the declaration made by the Third Party will apply solely not be liable for any damages or losses or any claims of whatsoever not L&T Mutual Fund.	to my/our transaction as the Beneficial In	vestor(s) detailed in the Application Form. L&T Mutual Fund will
	~ 0 14 5	
★ *Sole/First Applicant/Guardian	Second Applicant	Third Applicant

## \* Authorised signatory on behalf of employee(s), as per the list enclosed.

D | D | M | M | Y | Y | Y | Y

### THIRD PARTY PAYMENT RULES

- In accordance with AMFI best practice guidelines Circular No. 16/2010-11, pertaining to "risk mitigation process against Third Party instruments and other payment modes for mutual fund subscriptions", mutual funds/asset management companies shall ensure that Third-Party payments are not used for mutual fund subscriptions.
- $\ensuremath{\mathsf{2a}}.$  The following words and expressions shall have the meaning specified herein:
  - (a) "Beneficial Investor" is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
  - (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
  - (c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

In case of payments from joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which the payment is made.

#### Illustrations

<u>Illustration 1:</u> An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

<u>Illustration 2:</u> An Application submitted in joint names of A, B & C along with cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

<u>Illustration 3:</u> An Application submitted in joint names of A, B & C along with cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

- 2b. L&T Mutual Fund will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
  - (i) Payment by Employer on behalf of employee(s) under Systematic Investment Plan (SIP) or lump sum/one-time subscription, through Payroll deductions or deductions out of expense re imbursements.
  - (ii) Custodian on behalf of an Foreign Institutional Investor (FII) or a Client
  - (iii) Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - (iv) Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
  - KYC Acknowledgement letter (as issued by CDSL Ventures Limited) of the Beneficial Investor and the person making the payment i.e. Third Party.
  - (ii) Declaration Form containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e., third party. The said form shall be available at Investor Service Centres of the Fund or can be downloaded from our website www.ltfs.com.
- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of L&T Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of L&T Mutual Fund for any further information or updates on the same.

#### INSTRUCTIONS FOR THIRD PARTY PAYMENT DECLARATION FORM

#### 1. GENERAL INSTRUCTIONS

Please read the terms of the Key Information Memorandum, the Scheme Information Document carefully before making an investment decision and filling up the Application Form. Investors are deemed to have accepted the terms of subject to which these offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment for investment.

#### Manner of filling Application Form

Manner must be completed in BLOCK LETTERS in ENGLISH.

Please tick in appropriate box for relevant options wherever applicable. Signatures should be in English or in any Indian Language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. Submission of Application: Duly completed applications along with full payment must be remitted at the designated Collection Centres or may be routed through Agents of L&T Mutual Fund. Note: Kindly retain the acknowledgement slip initiated/stamped by the collecting authority.

#### 2. BENEFICIAL INVESTOR INFORMATION

- a. The Third Party should provide the Folio Number of the Beneficial Investor already having an account in any of the L&T Mutual Fund Schemes in Section 1. In case the Beneficial Investor does not have a Folio Number, the Third Party should mention the Application Number as stated in the Application Form. Name must be written in full.
- b. Investors are requested to provide the name of Guardian or name of the FII/ Client, if the Beneficial Investor is a FII/Client. Please note that the name of the First/Sole Applicant should be match with the details mentioned in the Application Form. If the Beneficial Investors are employees, a separate list of employees mentioning their codes and signatures and list of authorised signatories on behalf of employees along with the KYC compliance and PAN details of each employees shall be furnished by the employer on its letterhead.

If the Beneficial Investors are empanelled distributors, a separate list of the distributors mentioning their ARN numbers and signatures shall be furnished.

If the Beneficial Investors are agents/distributors/dealers, a separate list of the agents/distributors/dealers with their signatures and list of authorised signatories on behalf of agents/distributors/dealers along with the KYC compliance and PAN details of each agent/distributor/dealer shall be furnished by the employer on the letter head.

#### 3. THIRD PARTY INFORMATION

"Third Party" Custodian or Employer, making payment towards subscription of Units in the name of the Beneficial Investor(s).

Full Name and relationship of Third Party with the Beneficial Investor must be provided.

The Relationship declared by the Third Party will suggest that the payment made on behalf of Beneficial Investor(s) is:

- On behalf of employee under Systematic Investment Plans or as lump sum/onetime subscription, through Payroll deductions or deductions out of expense re imbursements. made by employer; or
- b. On behalf of an FPI or a Client made by the Custodian.
- c. Payment by an AMC to its empanelled distributor on account of commission/ incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription
- d. Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/services in the form of Mutual Fund units through SIP or lump sum/one time subscription.

Mailing address and contact details of Third Party must be written in full.

## 4. THIRD PARTY PAYMENT DETAILS

Third Party must provide in the Declaration Form the details of the Pay-in Bank Account i.e. account from which subscription payment is made in the name of the Beneficial Investor(s).

The Declaration Form with incomplete payment details shall be rejected. The following document(s) is/are required to be submitted by Third Party as per the mode of payment selected:

### (i) Source of funds - if paid by cheque

In case the account number and account holder name of the third party is not preprinted on the cheque, then the third party should provide any one of the following documents:

- A copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number; or
- 2. A letter (in original) from the bank on its letterhead certifying that the third party maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). The said letter should be certified by the bank manager with his / her full signature, name, employee code, bank seal and contact number.

The original documents along with the documents mentioned above should be submitted to the Official Points of Acceptance of L&T Mutual Fund. The copy of such documents will be verified with the original documents to the satisfaction of the L&T Mutual Fund / Registrar and Transfer Agent. The original documents will be returned across the counter after due verification.

- (ii) Source of funds if funded by pre-funded investments such as Pay Order, Demand Draft, Banker's cheque etc. against registered Pay-in account
- a. a proof of debit to the investor's bank account in the form of a bank manager's certificate with details of account holder's name, bank account number and PAN as per bank records, if available, or
- a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder are available, or
- c. a copy of the passbook/bank statement evidencing the debit for issuance of a DD.
- (iii) Source of funds if paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS, etc.
- In such case, investor is required to provide a copy which has been provided to the Bank indicating the account number and the debit instructions.
- For payment through online mode AMC may match payer account details with registered Pay-in bank accounts of the Investor.

# (iv) Source of funds - if paid by a pre-funded instrument issued by the Bank against Cash

Subscription through a pre-funded instrument procured against cash shall only be accepted for investments below ₹ 50,000/-. Investor is required to provide a banker's certificate for issuance of a DD against cash stating the investor's name, bank account number and PAN as per bank record (if available).

However, investors are requested to note that such bank account number of the investor is same as the one of the registered bank account mandate(s) with the mutual fund or with the bank details mentioned in the Application Form.

#### 5. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language. Declarations on behalf of minors/FII clients/Employee/Agent/Dealer/Distributor should be signed by their Guardian/Custodian/Employer/AMC/Corporate respectively.

#### 6. PERMANENT ACCOUNT NUMBER

As per SEBI Circular dated April 27,2007, Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, effective July 2, 2007. Transactions by unit holder/investors who fail to submit copy of PAN are liable to be rejected.

Accordingly, it is mandatory for all investors to quote their Permanent Account Number (PAN) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Furnishing an incorrect PAN or not furnishing these details could invite a penalty of ₹ 10,000 as per the extant provisions of the Income Tax Act. 1961.

However, there are certain nature of transactions and type of clients for which PAN is not mandatory, as mentioned below:

- Micro SIPs: SIPs upto ₹ 50,000/- per year per investor;
- Investments from investors residing in the state of Sikkim;
- Investments from Central Government, State Government and the official appointed by the Courts e.g. Official Liquidator, Court Receiver etc. (under the category of Government).

In all the above cases, Investors are requested to submit such documents as mentioned under "Non PAN based KYC applicability" under the Section "Know Your Customer".

#### 7. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Third Party should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Third Party and with a view to monitor transactions for the prevention of money laundering, L&T Mutual Fund reserves the right to seek information and/or obtain and retain documentation for establishing the identity of the third party, proof of residence, source of funds, etc. It may reverify identity and obtain any incomplete or additional information for this purpose.

L&T Mutual Fund and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/ rejection of any application / allotment of Units or mandatory redemption of Units due to non compliance with the provisions of the Act, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the Act and SEBI/AMFI circular(s) and reporting the same to FIU-IND.

#### INSTRUCTIONS FOR THIRD PARTY PAYMENT DECLARATION FORM (contd.)

### 8. KNOW YOUR CUSTOMER (KYC) COMPLIANCE

- a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.
  - b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill in new KYC form viz., "CKYC Form" to comply with the KYC requirements. For more information on KYC requirements, please visit our web site (www.ltfs.com) or speak to your investment adviser.

For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, nature of entity, nature of business, address and certain details of Promoters/Partners/Trustees/Whole Time Directors/Kartas of HUF. SEBI has appointed KYC Registration Agencies ( KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirements, please visit our web site or speak to your investment adviser.

- If the investment is being made on behalf of a minor applicant, the Guardian needs to comply with KYC requirements.
- d. If the investment is being made by a Constituted Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

#### Non PAN Based KYC applicability

For certain nature of transactions and type of clients, PAN is not mandatory. In such cases, common standard KYC through CVL will not apply. In such cases, the client will have to submit certain documents as elaborated below and KYC will be handled by the AMC/Registrar & Transfer Agent i.e. Computer Age Management Services Private Limited directly. Such nature of transaction and type of clients and the documents required for successful completion of KYC is mentioned below:

#### A. Micro SIPs: Micro schemes such as Micro SIPs upto ₹ 50,000/- per year per investor

#### Documents required

- Standard specified identification instruments like Voter ID card, Government/ Defence ID card, Card of reputed employer, Driving Licence, Passport in lieu of PAN.
- Proof of address copy. It is clarified that where photo identification document contains the address of the investor, a separate proof of address is not required.

Supporting documents copy shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.

However, it is clarified that Investors with PAN are required to follow PAN based common standard KYC through CVL procedure as mentioned above.

#### B. Investments from investors residing in Sikkim

#### Documents required

- Proof of address of Sikkim state and application form should mention the same address.
- Address proof shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.
- C. Investments from Central Government, State Government and the officials appointed by the courts, e.g., Official Liquidator, Court receiver, etc., (under the category of Government)

#### Documents required

- Proof of identity as documentary evidence in support of the claim for such an exemption.
- Such proof shall be self-attested by the investor/attested by the ARN holder mentioning the ARN number or attested by any competent authority.

For complying with the KYC requirement, all the investors could approach POS or CVL for submitting their KYC Application Form (KAF) and the mandatory documents of Proof of Identity and Proof of Address. The list of all documents required to fill up the form and the detailed process is mentioned above and can be found in the KYC Application Form. An updated list of POS is available at www.ltfs.com and at www.cylindia.com

After verification of the KYC Application Form and accompanying documents, investors will receive a letter certifying their KYC compliance. Investors are requested to visit the Knowledge Centre Section of our website www.ltfs.com and also read the KYC section of Statement of Additional Information (SAI) in detail.

Investors are requested to note that KYC would be subject to verification of the veracity of the claim of the investors by collecting sufficient documentary evidence. The AMC reserves the right to ask for the necessary documentation to the satisfaction of the mutual fund.

The AMC, under powers delegated by the Trustee, shall have absolute discretion to reject any application, prevent further transactions by a Unit holder, if after due diligence, the investor/unitholder/a person making the payment on behalf of the investor does not fulfil the requirements of the KYC Policy or the AMC believes that the transaction is suspicious in nature with regard to money laundering.

call 1800 2000 400 or 1800 4190 200

email investor.line@Intmf.co.in

www.ltfs.com

## Know Your Customer (KYC) Application Form | Individual



#### Important Instructions:

- A. Fields marked with '\*' are mandatory fields.
- B. Tick "wherever applicable.
- C. Please fill the form in English and BLOCK letters.
- D. Please fill the date in DD-MM-YY format.
- F. Please read section wise detailed guide
- G. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- $\mbox{H.}\ \mbox{List}$  of two character ISO 3166 country codes is available at the end.
- I. KYC number of applicant is mandatory for update application.

E. For particular section number required to be	er and strike					box	J.						-KYC in n							cked	d fo	r ac	cou	ints	оре	ened	d us	ing								
For office us	se only			Appli	catio	n Typ	e*			Ne	ew			Upd	late																					
(To be filled by fi	inancial insti	itution)		KYC	Nun	nber																	(N	lanc	dato	ry f	or k	(YC	upd	late	req	uest)				
				Acco	unt <sup>-</sup>	Гуре*				No	orma	ıl		Mino	or		A	adh	aar (	OTP	bas	sed	E-k	ΥC	(in	nor	n-fac	ce to	) fac	ce m	ode	:)				
☐ 1. Perso	onal Deta	ails (P	lease	refe	er ir	nstru	ctio	on A	\ at	the	e er	nd)																								
		F	Prefix				F	rst N	lame	е								Mi	ddle	Nam	ne				,					Las	st N	ame				
Name* (Same	e as ID proc	of)		L				Ш	_		<u> </u>		Щ		Щ	_	_	<u> </u>		_		_	Ļ			L		<u>_</u>	Ш		_				_	_
Maiden Name				L				Ш		_	L		Щ		Щ	_	_	_		_	_	L	L			L	_		Ш		_	_				_
Father / Spouse N	Name*			L	<u>_</u>		_	Ш		_	<u> </u>		Ш		Щ	4	_	<u> </u>		_	<u> </u>	_	Ļ			L	_	<u>_</u>	Ш		_	<u>_</u>			4	_
Mother Name				L	<u>_</u>	Щ		Ш	_																											
Date of Birth*		D	D -	M	M	- Y	Υ	Υ	Υ																											
Gender*			М- Ма	le				F	- Fe	ema	le				Т	- Tr	anso	geno	der																	
PAN*															F	ORI	M 60	) fur	rnishe	ed																
Marital Status*			Mar	ried					Uni	mar	ried				] C	the	rs																			
Citizenship*			IN-	Indiar	n				Oth	ners	- C	Cou	ntry											(	Соι	untr	у С	ode								
Residential Status	s*		Resi	ident	Indi	vidual			Nor	n Re	eside	ent l	India	n [	Fo	oreiç	gn N	latio	nal			Pe	erso	n of	Inc	dian	Ori	gin								
☐ 2. PROC	OF OF ID	ENTI	TY AI	ND A	\D[	DRES	SS	* (P	lea	se	refe	er i	nstr	uct	ion	В	at t	he	enc	d)																
Certified copy of C																					su	bmi	ittec	l (ar	nyoi	ne d	of th	e fo	llow	ing	OVE	os)				
A-Passpor	rt Number								Pas	spo	rt E	хрі	ry D	ate			) -	N	1 M	- [	Υ	Υ	Υ	Υ									_			
B-Voter ID	) Card				+					_																						Pŀ	101	ΓO*	;	
C-Driving I					+		$\pm$			$\pm$		] r	\rivir	a I	ico	200	Evr	sirv	Date				IV/	1 M		V	Y		Υ							
							+			+	-		711711	ıy L	.1061	100	L^\	лі у	Dati	5 LL 7	1 -		IV	1 1 1 1 1 1	Ш -		'	1								
D-NREGA	Job Card				_		_			+	_		Щ	_	_	_	Ш	_				_														
E-National	I Population	Regist	er Lette	er _																																
F-Proof of	Possession	n of Aad	dhaar		No r	need to a	attacl	n. Aadı	haar c	ard. I	f subn	nitted	l, Aadh	aar N	lumbe	er to b	e mas	sked	by the	custor	mer									L						
II E-KYC Au	thentication	l			No r	need to a	attaci	n. Aadı	haar c	ard. I	f subn	nitted	l, Aadh	aar N	lumbe	er to b	e ma	sked	by the	custor	mer									П						
III Offline ver	rification of A	Aadhaa	r		No r	need to a	attaci	ı. Aadı	haar c	ard. I	f subn	nitted	l, Aadh	aar N	lumbe	r to b	ne mas	sked	by the	custor	mer											ature /				
Address [For other	er than resi	dent Ind	dividua	l, plea	ase i	mentic	on C	overs	seas	Ad	dres	s]																			acros	s phot	he fac		oven	ng
Line 1*																L													Щ				Ш			
Line 2																													Ш				Ш			
Line 3					_	<u> </u>					_	1		4	<u> </u>	+						City	y/Tc	own/	Vill	age	*								_	_
District*							Pi	n/Po	st C	ode	*								State	/U.T	Co	ode'	k					ISC	31	66 (	Cou	ntry	Cod	e*		_
3. CURF	RENT AD	DRES	SS DE	ΞΤΑ	ILS	(Ple	as	e re	efer	ins	strue	ctio	on E	af	the	e e	nd)																			
Same as abo																																				
I. Certified copy o			•													•			needs	s to l	be s	subi	mitt	ed (	anv	one	e of	the	follo	win	a O,	/Ds	1			
A-Passpor		quivalor	li o do				0.	010	ODI	u	· · · · · · · · · · · · · · · · · · ·	·ou	gii ai	gita		O P	.000		1000			Jubi		<b>.</b> .	u.,	0110	, 0,		10110	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	9 0					
B-Voter ID	) Card																																			
C-Driving I					+		+			$\pm$	_	1																								
					+		+			+						T				7																
☐ D-NREGA					+		+			+	_			+	+	_		_																		
E-National	I Population	Regist	er Lette	er _																																
F-Proof of	Possession	n of Aad	dhaar				No	need t	o attac	ch. Aa	adhaai	r card	d. If su	bmitte	ed, Aa	dhaa	r Num	nber t	to be m	asked	by t	he cu	istom	ner												
II E-KYC Au	thentication	ı					No	need t	o attac	ch. Aa	adhaai	r card	d. If su	bmitte	ed, Aa	dhaa	r Num	nber t	o be m	asked	by t	he cu	ıstom	ner												
III Offline ver	rification of A	Aadhaa	ır				No i	need to	o attac	ch. Aa	idhaar	card	d. If sul	mitte	ed, Aa	dhaa	r Num	ber t	o be m	asked	by th	ne cu	stom	er												
IV Deemed P	Proof of Add	lress – I	Docum	ent T	уре	code																														
Address																																				
Line 1*								Т							Т	T										Т							П			
Line 1								İ				İ			İ										İ			Ì				İ				
Line 2					T		Ī	T				Ī			T	T			T			City	y/Tc	wn/	Vill	age	*								Ī	Ī
District*					Ī		Pi	n/Po	st C	ode	*	Ī		Ī	Ī				State	- e/U.T	Co	ode'	k					ISC	31	66 (	Cou	ntry	Cod	e*	Ī	Ī

4. Contact	Details (All communications wi	ill be sent to Mobile ni	ımber/Fmail-	-ID provided	I) (Pleas	se refe	r inst	ructio	n Ca	t the	end)			
Tel. (Off)		I. (Res) -			Mob						J			
Email ID														
5. Remarks	s /If any)													
5. Kelliaik														
undertake to infor or untrue or misle  I hereby declare t	that the details furnished above are m you of any changes therein, immedading or misrepresenting. I am aware hat I am not making this application fisiation or any notifications/directions	diately. Incase any of the e that I may be held liable for the purpose contrave	e above informa e for it. ntion of any Ad	ation is found ct, Rules, Reg	to be fal gulations	se or								
<ul> <li>I hereby consent</li> </ul>	to receiving information from Centr	al KYC Registry through	n SMS/Email o	on the above	register	ed								
number/email add	M - Y Y Y Y	Place:				S	ignati	ure/Th	numb	Impr	essio	on of	Appli	cant
	For Office Use only	. 1995.					ignat	a10/11	Idilib	шрг	00010	лг <b>о</b> г	пррп	oam
		F 10/0 data massing	- d f LUDAL	Dete -		Off	T:	(E)(E)	[		-:4-11	//O F	<b></b>	_
Documents Received	d Certified Copies  Equivalent e-document	E-KYC data receive	ed from UIDAI	Data re	eceived fi	rom On	iine ve	ermcau	on [	DI	gitai r	(YCF	roces	iS
	Equivalent e-document	Video based RTC												
K	C documents verification carried	d out by				Insti	tutior	detai	ls					
Date:	C documents verification carried		Name			Insti	tutior	detai	ls					
			Name Code			Insti	tutior	n detai	ils					
Date: Emp. Name Emp. Code						Insti	tutior	detai	ils					
Date: Emp. Name Emp. Code Emp. Designation						Insti	tutior	n detai	ils					
Date: Emp. Name Emp. Code						Insti								
Date: Emp. Name Emp. Code Emp. Designation Emp. Branch						[Insti	tution		np]					
Date: Emp. Name Emp. Code Emp. Designation Emp. Branch	DD - MM - YYYY	d out by				[Insti	tution	n Star	np]					
Date:  Emp. Name  Emp. Code  Emp. Designation  Emp. Branch	[Employee Signature]	d out by				[Insti	tution	n Star	np]					
Date:  Emp. Name  Emp. Code  Emp. Designation  Emp. Branch	[Employee Signature]	d out by				[Insti	tution	n Star	np]					
Date: Emp. Name Emp. Code Emp. Designation Emp. Branch In- Date: Emp. Name	[Employee Signature]	d out by				[Insti	tution	n Starr	np]					

## Instruction / Check list / Guidelines for filling individual KYC Application Form

#### General instructions:

- Self-Certification of documents is mandatory.
- 2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [F].
- 3. If any proof of identity or address is in a foreign language, then translation into English is required duly attested by the official as indicated above
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If current & permanent addresses are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card /OCI and overseas address proof is mandatory.
- 8. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 9. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board / Passport of Minor / Birth Certificate must be provided.

#### A. Clarification / Guidelines on filling 'Personal Details' section

- 1. Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2. One of the following is mandatory: Mother's name, Spouse's name, Father's name.

#### B. Clarification / Guidelines on filling 'Current Address details' section

- 1. In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
- 2. PoA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
- 3. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 4. In Section 2, one of I, II and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- 5. In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- 6. List of documents for 'Deemed Proof of Address'

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal tax receipt.
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation.

- 7. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
- "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 9. "Digital KYC process" has to be carried out as stipulated in the PML Rules, 2005.

## C. Clarification / Guidelines on filling 'Contact details' section

- 1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-999999999)
- 2. Do not add '0' in the beginning of Mobile number.

### D. Clarification / Guidelines on filling 'Related Person details' section

1. Provide KYC number of related person, if available.

## E. Clarification on Minor

- 1. Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2. However, in case guardian details are available for minor 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

#### F. List of people authorized to attest the documents after verification with the originals:

- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC
- 3. KYD compliant mutual fund distributors.
- 4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.
- 6. Government authorised officials who are empowered to issue Apostille Certificates.

## G. List of people authorized to perform In Person Verification (IPV):

- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
- 3. KYD compliant mutual fund distributors.
- 4. Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (for investors investing directly).
- In case of NRI applicants, a person permitted to attest documents, may also conduct the In Person Verification and confirm this in the KYC Form.

### H. PAN Exempt Investor Category

- $1. \ \ Investments \ (including \ SIPs), \ in \ Mutual \ Fund \ schemes \ up \ to \ INR \ 50,000/- \ per \ investor \ per \ year \ per \ Mutual \ Fund.$
- 2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
- 3. Investors residing in the state of Sikkim.
- 4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India

# List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code	State/U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP
Andhra Pradesh	AP	Jammu & Kashmir	JK
Arunachal Pradesh	AR	Jharkhand	JH
Assam	AS	Karnataka	KA
Bihar	BR	Kerala	KL
Chandigarh	CH	Lakshadweep	LD
Chhattisgarh	CG	Madhya Pradesh	MP
Dadra and Nagar Haveli	DN	Maharashtra	MH
Daman & Diu	DD	Manipur	MN
Delhi	DL	Meghalaya	ML
Goa	GA	Mizoram	MZ
Gujarat	GJ	Nagaland	NL
Haryana	HR	Orissa	OR

State/U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarkhand	UA
West Bengal	WB
Other	XX

# List of ISO 3166 two digit Country Code

Country	Code	Country	Country	Country	Country Code	Country	Country Code
Afghanistan	Code AF	Dominican Republic	Code DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
Algeria American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	SIVI
						•	
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	Al	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MΧ	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	ВО	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	MZ	Taiwan province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island			GW			,	
	BV	Guinea-Bissau		Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcaim	PN	Uzbekistan	UZ
	CG	Jordan	JO	Poland			VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ		PL PT	Vanuatu Venezuela, Bolivarian Republic of	VE
				Portugal			
Cook Islands	CK	Kenya	KE	Puerto Rica	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	OA	Virgin Islands, British	VG
Cote d'Ivoire   Code d'Ivoire	CI	Korea, Democratic People's Republic of		Reunion  Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao   Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy   Saint Barthelemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascensino and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kittsand Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
	DM	Liberia	LR	Saint Martin (French Part)	MF		

## **Supplementary CKYC Form**

# Know Your Client (KYC) Application Form

For Individuals Only

(Please fill the form in English and in BLOCK Letters)
Fields marked with \* are mandatory fields

(To be additionally filled by customers using old KYC form)

KYC Type:  $\square$  Normal (PAN is mandatory)  $\square$  PAN Exempt Investors



Fields marked with * are manda	atory fields										
1. Identity Details (Please r	refer instruction A at the end)										
PAN	Please enclose a duly attested copy of your PAN Card										
	Prefix First Name Middle Name Last Name										
Name* (same as ID proof)											
Maiden Name (If any*)											
Mother Name*											
Mother Name											
Residential Status*	Residential Status*   Resident Individual   Non Resident Indian										
	☐ Foreign National ☐ Person of Indian Origin										
Occupation Type*	☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector										
	☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student										
	☐ B-Business ☐ X-Not Categorised										
2. FATCA/CRS Information	n (Tick if Applicable) Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction <b>B</b> at the end)										
	ed* (Mandatory only if above option is ticked)										
Country of Jurisdiction of											
Tax Identification Number	r or equivalent (If issued by jurisdiction)*										
Place / City of Birth*	Country of Birth* Country Code as per ISO 3166										
Address											
Line 1*											
Line 2											
Line 3	City / Town / Village*										
District*	Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act, 1988										
State/UT*	Country* Country Code as per ISO 3166										
3. Details of Related Perso	on (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')										
Related Person	☐ Deletion of Related Person KYC Number of Related Person (if available*)										
Related Person Type*	☐ Guardian of Minor ☐ Assignee ☐ Authorized Representative										
	Prefix First Name Middle Name Last Name										
Name*	(If KYC number and name are provided, below details of section 6 are optional)										
☐ Proof of Identity [Pol] of	f Related Person* (Please see instruction (H) at the end)										
(Certified copy of any one of t	the following Proof of Identity[Pol] needs to be submitted)										
A- Passport Number	Passport Expiry Date DD - MM - YYYY										
☐ B- Voter ID Card											
C- PAN Card											
D- Driving Licence	Driving Licence Expiry Date DD - MM - YYYY										
E- Aadhaar Card											
F- NREGA Job Card											
Z- Others (any documer	nt notified by the central government) Identification Number										
4. Remarks (If any)											
5. Applicant Declaration											
I hereby declare that the details furn therein, immediately. In case any o liable for it. I hereby declare that legislation or any notifications/direc	nished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of tions issued by any governmental or statutory authority from time to time.  Mation from Central KYC Registry through SMS/Email on the above registered number/email address.										
Date:	Y   Y   Y   Place :   Signature / Thumb Impression of Applicant										
[											

Page 1

## Instructions/Guidelines for filling Individual KYC Application Form

#### General Instructions:

- 1. Self-Certification of documents is mandatory.
- 2. KYC number of applicant is mandatory for update/change of KYC details.
- 3. For particular section update, please tick (<) in the box available before the section number and strike off the sections not required to be updated.
- 4. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [I].
- 5. If any proof of identity or address is in a foreign language, then translation into English is required.
- 6. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 7. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
- 8. Sole proprietor must make the application in his individual name & capacity.
- 9. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
- 10.In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 11.For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- A. Clarification / Guidelines on filling 'Identity Details' section
  - 1. Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
  - 2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.
- B. Clarification/Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India
  - 1. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)

Page 2

call 1800 4190 200 or 1800 2000 400

email investor.line@Intmf.co.in

www.ltfs.com

## Central KYC Registry | Know Your Customer (KYC) Application Form | Legal Entity/Other than Individuals



## Important Instructions:

- A. Fields marked with '\*' are mandatory fields.
- B. Tick '√' wherever applicable.
- C. Please fill the date in DD-MM-YYYY format.
- D. Please fill the form in English and in BLOCK letters.
- E. KYC number of applicant is mandatory for update application
- F. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G. List of two-character ISO 3166 country codes is available at the end.
- H. Please read section wise detailed guidelines/instructions at the end.
- l. For particular section update, please tick ( $\checkmark$ ) in the box available before the section number and strike off the sections not required to be updated.

For office use only (To be filled by financial institution)	Application Type* KYC Number	New Update	(Mandatory for	KYC update request)
☐ 1. Entity Details* (Plea	ase refer instruction <b>A</b> a	at the end)		
Name*				
Entity Constitution Type*	Others (Specify)	(Please refer in	struction B at the end)	
Date of Incorporation/Formation*	D D - M M - Y Y Y	Y Y Date	e of Commencement of Business	D D - M M - Y Y Y Y
Place of Incorporation/Formation*		Country of Incorporation/Fo	rmation* TIN or Equivale	nt Issuing Country
PAN*		Form	60 furnished	
TIN/GST Registration Number				
2. PROOF OF IDENTIT	TY (POI)* (Please refer	instruction <b>B</b> at the end)		
Officially valid document(s) in re	espect of person authorised to	transact		
Certificate of Incorporation/Form	nation	Regisi	ration Certificate Regn Certificate	e No.
Memorandum and Articles of As	ssociation	artnership Deed Trust	Deed	
Resolution of Board/Managing (	Committee Po	ower of Attorney granted to its manag	er, officers or employees to transac	ct on its behalf
Activity proof – 1 (For Sole Prop	prietorship Only) Ac	ctivity proof – 2 (For Sole Proprietorsh	ip Only)	
3. ADDRESS (Please s	see instruction <b>C</b> at the	end)		
☐ 3.1 Registered Office	Address/Place of Bus	iness*		
Proof of Address* Certific	cate of Incorporation/Formatio	n Registration Certificate	e Other Document	
Line 1*				
Line 2				
Line 3			City/Town/Village*	
District*		ost Code*	State/U.T Code*	ISO 3166 Country Code*
3.2 Local Address in I	ndia (If different from	above)*		
Line 1*				
Line 2				
Line 3			City/Town/Village*	
District*	Pin/Po	ost Code*	State/U.T Code*	ISO 3166 Country Code*
☐ 4. Contact Details (All	communications will be se	ent to Mobile number/Email-ID p	ovided may be used) (Please	refer instruction <b>D</b> at the end)
Tel. (Off)		Fax -		
Mobile	Er	mail ID		
Mobile -	Er	mail ID		
☐ 5. Number of Related	Persons (Plea	se fill Annexure A-2 for each	related persons & also refe	r instruction <b>E</b> at the end)

☐ 6. Remarks (If	any)									
7. Applicant Decl	aration (Please refer in:	struction <b>G</b> at the end)								
inform you of any cha misleading or misrepres  I hereby declare that I statute of legislation or a  I hereby consent to rece address. I also providing	statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time									
Date: DD - MM	- <u>Y Y Y Y</u>	Place:		Signature/Thumb Impression of Authorised Person(s)						
	or Office Use only									
Documents Received	Certified Copies	Equivalent e-documer	ıt							
KYC d	ocuments verification carri	ed out by		Institution details						
Identity Verification	Done Date: D D - N	M - Y Y Y Y	Name							
Emp. Name			Code							
Emp. Code										
Emp. Designation										
Emp. Branch				[Institution Stamp]						
				m = 1 of						

# Annexure A2 | Legal Entity | Other than Individuals Central KYC Registry | Know Your Customer (KYC) Application Form | Related Person



#### Important Instructions:

- A. Fields marked with '\*' are mandatory fields.
- B. Tick '√' wherever applicable.
- C. Please fill the date in DD-MM-YY format.
- D. Please fill the form in English and in BLOCK letters.
- E. KYC number of applicant is mandatory for update application.
- F. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G. List of two-character ISO 3166 country codes is available at the end.
- H. Please read section wise detailed guidelines/instructions at the end.
- I. For particular section update, please tick ( $\checkmark$ ) in the box available before the section number and strike off the sections not required to be updated.

For office use only		Application Type	* New	Update	Delete		
(To be filled by financial in	stitution)	KYC Number				(Mandatory for F	KYC update and delete request)
1. Details of Relate	d Person* (	Please refer i	nstruction <b>E</b> at	the end)			
Addition of Related Pe	erson		Deletion of Rela	ted Person		Update Related	Person Details
KYC Number of Related P	Person (if availa	ble*)			(If KYC number is a	vailable, only 'Related P	Person Type' & 'Name' is mandatory
Related Person Type*	Director	Promoter	Karta	Trustee Pa	rtner Cou	rt Appointment Offic	ial Proprietor
	Beneficiary	Authorised S	Signatory	Beneficial Owner	Pow	er of Attorney Holde	er Other (Please specify)
DIN (Director Identification	Number)			(Mai	ndatory if Related	Person Type is Direc	ctor)
1.1 Personal Details	<b>s</b> (Please re	fer instruction	<b>E</b> at the end)				
	Prefix		First Name		Middle Name		Last Name
Name* (Same as ID proof)							
Maiden Name							
Father / Spouse Name*							
Mother Name		M M - Y	Y   Y   Y				
Date of Birth*	D D -			<b>.</b>			
Gender*	M- Ma		F- Female	T- Trans	sgender		
Nationality*	IN- In	dian	Others (ISO 3	166 Country Code	)		
PAN*				Form 60 ft	ırnished		
1.2 Proof of Identity	and Addre	ess* (Please r	efer instruction	<b>E</b> at the end)			
I Certified copy of OVD or	equivalent e-do	cument of OVD o	or OVD obtained thr	ough digital KYC prod	ess needs to be s	ubmitted (anyone of	the following OVDs)
A-Passport Number							☐ PHOTO*
B-Voter ID Card							
C-Driving Licence				Driving Licence Ex	piry Date D D	- M M - Y Y	YY
D-NREGA Job Card							
E-National Population	on Register Lett	er					
F-Proof of Possessi	on of Aadhaar						
II E-KYC Authentication	on						
III Offline verification of	f Aadhaar						
Address							
Line 1*							
Line 3						City/Town/Village*	
District*			Pin/Post Code*		State/U.T Co		ISO 3166 Country Code*
1.3 Current Address	s Details (P	lease refer in	struction <b>E</b> at th	ne end)			
Same as above mention	,			· · · · · · · · · · · · · · · · · · ·	ed)		
I. Certified copy of OVD or	equivalent e-do	cument of OVD o	r OVD obtained thro	ough digital KYC proc	ess needs to be s	ubmitted (anyone of	the following OVDs)
A-Passport Number							
B-Voter ID Card							
C-Driving Licence							
D-NREGA Job Card							
E-National Population	on Register Lett	er					
F-Proof of Possession	on of Aadhaar						
II E-KYC Authentication	on						
III Offline verification of			XXXXIII				
IV Deemed PoA		r v v v v					
V Self-Declaration							
, con becommend							

Address								
Line 1*								
Line 2								
Line 3				City/	Town/Village*			
District*		Pin/Post Code*	5	State/U.T Code*		ISO 3166 Country Code*		
1.4 Contact Date	ails (All communications will b	o sont on provided Mebil	o no / Email ID n	rovidad) (Place	so rofor instru	uction <b>D</b> at the and)		
			e IIO. / LIIIaii-ID p		se relei ilistru	iction <b>b</b> at the end)		
Tel. (Off)	Te	el. (Res)		Mobile				
Email ID								
2. Applicant Dec	claration							
I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. Incase any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.  I hereby declare that I am not making this application for the purpose contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time. I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address. I also providing consent to MF/AMC/KRA to share this KYC data with CKYCR, download the information from CKYCR, and other participating intermediaries as mandated by PMLA Act/Rules/SEBI guidelines.  Date:  Date:  Date:  Date:  Date:  Date:  Data received from Offline verification  E-KYC data received from UIDAI  Data received from Offline verification								
	Digital KYC Process	Equivalent e-documen						
KY	C documents verification carrie	ed out by		lı .	nstitution deta	ails		
Date:	D D - M M - Y Y Y	Y	Name					
Emp. Name			Code					
Emp. Code								
Emp. Designation								
Emp. Branch								
Zinpi Zianon				[In	nstitution Sta	imp]		
	[Employee Signature]							

## Central KYC Registry | Instructions / Check list / Guidelines for filling Legal Entity / Other than Individuals KYC Application Form

#### A. Clarification / Guidelines on filling 'Entity Details' section

1. Entity Constitution Type

A – Sole Partnership H – Trust O – Artificial Juridical Person
B – Partnership Firm I – Liquidator P – International Organisation or

C – HUF J – Limited Liability Partnership Agency/Foreign Embassy or Consular Office, etc.

D – Private Limited Company K – Artificial Liability Partnership Q – Not Categorized

E – Public Limited Company L – Public Sector Banks R – Others

F – Society M – Central/State Government Department or Agency S – Foreign Portfolio Investors

- G Association of Persons (AOP)/Body of Individuals (BOI) N Section 8 Companies (Companies Act, 2013)
- 2. In case of companies and partnerships, PAN of the entity is mandatory. In case of other entities, Form 60 may be obtained if PAN is not available.
- 3. One of the following is mandatory: Mother's name, Spouse's name, Father's name.

## B. Clarification / Guidelines on filling 'Proof of Identity [POI]' section

- A. Activity Proof 1 and Activity Proof 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.
- B. Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.
- C. Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted.
- D. 'Equivalent e-document' means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- E. 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
- F. KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.

### C. Clarification/Guidelines for filling Proof of Address [PoA]' section

- A. State/U.T Code and Pin/Post Code will not be mandatory for overseas addresses.
- B. Certified copy of document or equivalent e-document to be submitted.

#### D. Clarification/Guidelines for filling 'Related Person Details' section

- A. Please mention two-digit 'country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-999999999).
- B. Do not add '0' in the beginning of Mobile number.

### E. Clarification/Guidelines for filling 'Related Person Details' section

- 1. Personal Details
  - The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2. Proof of Address [PoA]
  - PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
  - State/U.T Code and Pin/Post Code will not be mandatory for Overseas addresses.
  - In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
  - REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current
    address, different from the address as per the identity information available in the Central Identities Data Repository.
- C. If KYC number of Related Person is available, no other details except 'Person Type' and 'Name of the Related' are required
- D. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.

## F. Provision for capturing signature of multiple authorised persons is to be made by the RE.

## G. List of people authorized to attest the documents after verification with the originals:

- 1. Authorised officials of Asset Management Companies (AMC).
- 2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
- 3. KYD compliant mutual fund distributors.
- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.
- 6. Government authorised officials who are empowered to issue Apostille Certificates.

## **General instructions:**

- 1. Self-Certification of documents is mandatory.
- 2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the list mentioned under [F].
- 3. If any proof of identity or address is in a foreign language, then translation into English is required duly attested by the official as indicated above
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If current & permanent addresses are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card /OCI and overseas address proof is mandatory
- 8. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- 9. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board / Passport of Minor / Birth Certificate must be provided.

# List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State/U.T	Code	State/U.T	
Andaman & Nicobar	AN	Himachal Pradesh	
Andhra Pradesh	AP	Jammu & Kashmir	
Arunachal Pradesh	AR	Jharkhand	
Assam	AS	Karnataka	
Bihar	BR	Kerala	
Chandigarh	CH	Lakshadweep	
Chhattisgarh	CG	Madhya Pradesh	
Dadra and Nagar Haveli	DN	Maharashtra	
Daman & Diu	DD	Manipur	
Delhi	DL	Meghalaya	
Goa	GA	Mizoram	
Gujarat	GJ	Nagaland	
Haryana	HR	Orissa	

State/U.T	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarkhand	UA
West Bengal	WB
Other	XX

# List of ISO 3166 two digit Country Code

Country	Country	Country	Country	Country	Country Code	Country	Country Code
Afghanistan	Code AF	Dominican Republic	Code DO	Libya	LY	Saint Pierre and Miguelon	PM
9	AF		EC	Liechtenstein	LI		VC
Alland Islands		Ecuador				Saint Vincent and the Grenadines	
Albania	AL DZ	Egypt	EG SV	Lithuania	LT	Samoa San Marino	WS
Algeria		El Salvador		Luxembourg	LU		SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	Al	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Moyotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	ΜX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD		MA	Swaziland	SZ
				Morocco			
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	ВО	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	MZ	Taiwan province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	10	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
	KY		IR	Pakistan	PK		TV
Cayman Islands		Iran, Islamic Republic of				Tuvalu	
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcaim	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the		Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rica	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	OA	Virgin Islands, British	VG
Cote d'Ivoire   Code d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion  Reunion	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU		KW	Russian Federation		Western Sahara	EH
		Kuwait			RU		
Curacao   Curacao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy   Saint Barthelemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascensino and Tristan da Cunha		Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kittsand Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		

## Computer Age Management Services Private Limited (CAMS) / CAMS Service Centre

Agartala: Advisor Chowmuhani (Ground Floor), Krishnanagar, Agartala, 799001. Agra: No. 8, II Floor, Maruti Tower, Sanjay Place, Agra 282002 Ahmedabad: 111-113, 1st Floor - Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad, 380006 Ahmednagar. Office No.3,1st Floor,Shree Parvati,Plot No.1/175,Opp.Mauli Sabhagruh,Zopadi Canteen,Savedi,Ahmednagar,Maharashtra 414003 Ajmer: AMC No. 423/30 , Near Church, Brahampuri, Opp T B Hospital, Jaipur Road, Ajmer, 305001. Akola: Opp.RLT Science College, Civil Lines, Akola, 444001. Aligarh: City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh, 202001 Allahabad: 30/2, A&B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad., 211001. Alleppey: Doctor's Tower Building, Door No. 14/2562, 1st floor, North of lorn Bridge, Near Hotel Arcadia Regency., Alleppey, 688001 Alwar: 256A, Scheme No:1, Arya Nagar, Alwar, 301001. Amaravati: 81, Gulsham Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, 444601 Ambala: Opposite PEER, Bal Bhawan Road, Ground Floor, Ambala, Haryana - 134 003 Amritsar. 3rd Floor, Bearing Unit No. 313, Mukut House, Amritsar- 143001. Anand: 101, A.P. Tower, B/H, Sardhar Gunj, Next to Nathwani Chambers, Anand, 388001. Anantapur: 15-570-33, I Floor, Pallavi Towers, Subhas Road, Opp Canara Bank, Anantapur, 515 001. Andheri: 351, Icon,501, 5th floor, Western Express Highway, Andheri East, Mumbai - 400069. Ankleshwar: 1st Floor, Prem Prakash Tower, B/H B.N. Chambers, Ankleshwar, Mahadev Road, Ankleshwar-389 001 Asansol: Block - G 1st Floor , P C Chatterjee Market Complex, Rambandhu Talab P O Ushagram, Asansol, 713303 Aurangabad : 2nd Floor , Block No D - 21-D-22, Motiwala Trade Center , Nirala Bazar , New Samarth Nagar , Opp HDFC Bank, Aurangabad, 431001. Balasore: B C Sen Road, Balasore, 756001. Bangalore: Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre), Bangalore, 560 042. Bangalore: First Floor, 17/1-(272) 12th Cross Road, Wilson Garden, Banglore-560027 Bareilly: F-62-63, Second Floor, Butler Plaza, Civil Lines, Bareilly 243001 Basti: Office no 3, 1st Floor, Jamia Shopping Complex, (OppositePandey School)., Station Road, Basti, 272002. Belgaum: Classic Complex, Block No. 104, 1st Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum-590006. Bellary: 60/5, Mullangi Compound, Gandhinagar Main Road, (Old Gopalswamy Road), Bellary, 583101. Berhampur: Kalika Temple Street, Ground Floor, Beside SBI Bazar Branch, Berhampur, 760002 Bhagalpur. Krishna, I Floor, NearMahadev Cinema, Dr.R.P.Road, Bhagalpur, 812002. Bharuch: A-111, First Floor, R K Casta, Behind Patel Super Market Station Road, Bharuch-392001. Bhatinda: 2907 GH,GT Road, Near Zila Parishad, BHATINDA, 151001. Bhavnagar: 305-306, Sterling Point, Waghawadi Road, OPP. HDFC BANK, Bhavnagar, 364002. Bhilai: 1st Floor, Plot No. 3, Block No. 1, Priyadarshini Parisar West, Behind IDBI bank, Nehru Nagar, Bhilai- 490020 Bhilwara: Indraparstha tower, Second floor, Shyam ki sabji mandi, Near Mukharji garden, Bhilwara, 311001. Bhopal: Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, 462011, Borivali; 501-Tiara CTS 617, 617/1-4, Off, Chandavarkar Lane, Maharashtra Nagar, Borivali West, Mumbai 400 092 Bhubaneswar; Plot No. 501/1741/1846 Premises No. 203,2nd Floor, Kharvel Nagar, Unit-3,Bhubaneswar 751 001 Bhuj: Office No.4-5, First Floor, RTO Relocation Commercial Complex-B, Opposite Fire Station, Near RTO Circle, Bhuj, Kutch 370001. Bhusawal(Parent: Jalgaon TP): 3, Adelade Apartment, Christain Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal, 425201 Bikaner: Behind Rajasthan Patrika, In front of Vijaya Bank, 1404, Amar Singh Pura, Bikaner, 334001. Bilaspur: 2nd Floor, Gwalani Chambers, St Xavier School Road, In front of CIT (Income Tax Office), Vyapar Vihar Bilaspur, 495 001. Bokaro: Mazzanine Floor, F-4, City Centre, Sector 4, Bokaro Steel City, Bokaro , 827004. Burdwan: 1st Floor, Above Exide Showroom, 399, G T Road, Burdwan, 713101. Calicut: 29/97G 2nd Floor, Gulf Air Building, Mavoor Road, Arayidathupalam, Calicut, 673016. Chandigarh: Deepak Tower, SCO 154-155,1st Floor, Sector 17-C, Chandigarh, 160 017. Chandrapur: Opp Mustafa Décor, Near Bangalore Bakery, Kasturba Road, Chandrapur, 442402 Chennai: Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, 600 034. Chhindwara: 2nd Floor, Parasia Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara 480001, Madhya Pradesh Chittorgarh: 3 Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan, 312001. Cochin: Modayil, Door No.39/2638, DJ, 2nd Floor, 2A, M G Road, Cochin 682016 Coimbatore: No 1334; Thadagam Road, Thirumoorthy Layout R.S. Puram, Behind Venkleswara Bakery, Coimbatore - 641002 Cuttack: Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack, 753001. Darbhanga: Shahi Complex, 1st Floor, Near RB Memorial hospital, V.I.P. Road Benta, Laheriasarai, Darbhanga-846001 Davenegere: 13, Ist Floor, Akkamahadevi Samaj Complex, Church Road, P.J.Extension, Devengere, 577002. Dehradun: 204/121 Nari Shilp Mandir Marg, Old Connaught Place, Dehradun, 248001. Deoghar: S S M Jalan Road, Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar, 814112. Dhanbad: Urmila Towers, Room No: 111(1st Floor), Bank More, Dhanbad, 826001 Dharmapuri: 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, 636 701 Dhule : House No 3140, Opp. Liberty Furniture, Jamnalal Bajaj Road, Near Tower Garden, Dhule- 424001 Durgapur: Plot no. 3601, Nazrul Sarani, City Centre, Durgapur- 713216 Erode: 171E, Seshaiyer Complex, 1st Floor, Agraharam Street, Erode, 638001, Faizabad: 1/13/196, A, Civil Lines, Behind Tirupati Hotel, Faizabad- 224001 Faridhabad: B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House, NIT, Faridhabad, 121001, Gandhidham: Shyam Sadan, First Floor, Plot No. 120, Sector 1/A, Gandhidhanam-370201 Gandhinagar: 507, 5th Floor, Shree Ugati Corporate Park, Opp Pratik Mall, Nr HDFC Bank, Kudasan, Gandhinagar - 382421. Ghaziabad: B-11, LGF RDC, Rajnagar, Ghaziabad 201002 Ghatkopar(Mumbai): Platinum Mall, Office No.307,3rd Floor, Jawahar Road, Ghatkapor East, Mumbai-400077 Goa: Office No.103,1st Floor, Unitech City Centre, M.G.Road, Panaji, Goa 403001 Gondal (Parent Rajkot): A/177, Kailash Complex, Opp. Khedut Decor, GONDAL, 360 311. Gorakhpur: Shop No. 5 & 6, 3rd Floor, Cross Road The Mall, A D Tiraha Bank Road, Gorakhpur 273001 Gulbarga: Pal Complex, 1st Floor, Opp. City Bus Stop, SuperMarket, Gulbarga, 585 101. Guntur: D No.31-13-1158,1st Floor, 13/1 Arundelpet, Ward No.6, Guntur-522002 Gurgaon: Unit No. 115, First Floor, Vipul Agora Building, Sector-28, Mehrauli Gurgaon Road, Chakkarpur, Gurgaon 122 001 Guwahati: Piyali Phukan Road, K C Path, House no - 1, Rehabari , Guwahati - 781008 Gwalior: G-6 Global Apartment, Opp. Income Tax Office, City Centre. , Gwalior, 474002. Haldia: Mouza -Basudevpur, J.L. No. 126, Haldia, Municipality Ward No. 10, Durgachak, Haldia, Dist. Purba Medinipur, West Bengal 721602. Haldwani: Durga City Centre, Nainital Road, Haldwani , 463139. Haridwar: F-3, Hotel Shaurya, New Model Colony, Haridwar, Uttarkhand-249408 Hazaribag: Municipal Market, Annanda Chowk, Hazaribagh, 825301. Himmatnagar: D-78 First Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar, 383 001. Hisar: 12, Opp. Bank of Baroda, Red Square Market, Hisar, 125001. Hoshiarpur: Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, 146 001. Hosur: Survey No. 25/204, Attibele Road, HCF Post, Mathigiri, Above Time Kids School, Opposite to Kuttys Frozen Foods, Hosur 635110.. Hubli: No.204 - 205, 1st Floor, 'B 'Block, Kundagol Complex, Opp. Court, Club Road, Hubli, 580029. Hyderabad: No. 15-31-2M-1/4, 1st Floor, 14-A, MIG KPHB Colony, Kukutpally, Hyderabad 500072 Hyderabad: 208, II Floor, Jade Arcade, Paradise Circle, Secunderabad, 500 003. Indore: 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp. Greenpark, Indore, 452 001. Jabalpur: 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, 482001. Jaipur: R-7, Yudhisthir Marg , C-Scheme, Behind Ashok Nagar Police Station, Jaipur, 302 001. Jalandhar: 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandhar City-144001. Jalgaon: 70, Navipeth, Opp. Old Bus Stand, Jalgaon, 425001. Jalna: Shop No 6, Ground Floor, AnandPlaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna, 431 203. Jalpaiguri: Babu Para, Beside Meenaar Apartment, Ward No. VIII, Kotwali Police Station, PO & Dist Jalpaiguri 735101, West Bengal Jamnagar: 207, Manek Centre, P.N. Marg, Jamnagar, 361008. Jamnu: JRDS Heights, Lane Opp. S&S Computers , Near RBI Building, Sector 14, Nanak Nagar, 180004. Jamshedpur: Millennium Tower, "R" Road, Room No:15 First Floor, Bistupur, Jamshedpur - 831001 Janakpuri; 306,3rd Floor, DDA-2 Building, District Centre, Janakpuri, New Delhi-110058 Jaunpur: 248, Fort Road, Near Amber Hotel, Jaunpur, 222001. Jhansi: 372/18 D, 1st Floor above IDBI Bank, Beside V-Mart, Near "RASKHAN", Gwalior Road, Jhansi - 284001 Jodhpur: 1/5, Nirmal Tower, Ist Chopasani Road, Jodhpur, 342003. Junagadh: "Aastha Plus", 202A, 2nd Floor, Sardarbag Road, NR Alkapuri, Opp. Zansi Rani Statue, Junagadh, 362001 Kadapa: Bandi Subbaramaiah Complex, D.No.3/1718, Shop No: 8, Raja Reddy Street, Kadapa, 516001 Kakinada: Door No. 25-4-29, 1st Floor, Kommireddy Vari Street, Beside Warf Road, Opp. Swathi Medicals, Kakinada-533001 Kalyani: A - 1/50, Block-A, Dist Nadia, Kalyani, 741235. Kannur: Room No.14/435, Casa Marina Shopping Centre, Talap, Kannur, 670004. Kanpur: I Floor 106 to 108, CITY CENTRE Phase II, 63/2, THE MALL, Kanpur, 208 001. Karimnagar: HNo.7-1-257, Upstairs S B H, Mangammathota, Karimnagar , 505 001. Karnal (Parent : Panipat TP): 29, Avtar Colony, Behind Vishal Mega Mart, Karnal, 132001. Karur: 126, G.V.P.Towers, Kovai Road, Basement of Axis Bank, Karur, 639002. Katni: 1st FLOOR, GURUNANAK DHARMAKANTA, Jabalpur Road, BARGAWAN, KATNI, 483 501. Khammam: Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, KHAMMAM, 507 001. Kharagpur: "Silver Palace", OT Road, Inda-Kharagpur, G.P-Barakola, P.S- Kharagpur Local, Pin-721305, Dist-West Midnapore Kolhapur: 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, 416001. Kolkata: Kankaria Centre, 2/1, 2nd Floor, Russell Street, Kolkata 700071 Kolkata : 3/1, R.N. Mukherjee Road, 3rd Floor, Office space - 3C, "Shreeram Chambers", Kolkata - 700 001. Kollam: Uthram Chambers, Ground Floor, Thamarakulam, Kollam, 691006 Kota: B-33 'Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota, 324007. Kottayam: 1307 B, Puthenparambil Building, KSACS Road, Opp. ESIC Office, Behind Malayala Manorama, Muttambalam PO, Kottayam: 686501 Kumbakonam: No. 28/8, 1st Floor, Balakrishna Colony, Pachaiappa Street, Near VPV Lodge, Kumbakonam - 612 001. Kurnool: Shop Nos. 26 & 27, Door No. 39/265B, 2nd Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39th Ward, Kurnool- 518001 Lucknow: Office No.107, First Floor, Vaishali Arcade Building, Plot No. 11,6 Park Road, Lucknow-226001. Ludhiana: U/GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana, 141 002. Madurai: Shop No. 3, 2nd Floor, Suriya Towers, 272/ 273 - Goodshed Street, Madurai - 625 001. Malda: Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda, 732 101 Mangalore: No. G 4 & G 5, Inland Monarch, Opp. Karnataka Bank , Kadri Main Road, Kadri, Mangalore, 575 003. Manipal: Shop No A2, Basement Floor, Academy Tower, Opposite Corporation Bank, Manipal, 576104 Mapusa (Parent ISC: Goa): Office No.503, Buildmore Business Park, New Canca By Pass Road, Ximer, Mapusa, Goa 403507 Margao: F4 - Classic Heritage near Axis Bank, opp. BPS club Pajifold Margao, Goa 403601 Mathura: 159/160 Vikas Bazar, Mathura, 281001. Meerut: 108 Ist Floor Shivam Plaza, Opposite Eves Cinema, Hapur Road, Meerut, 250002. Mehsana: 1st Floor, Subhadra Complex, Urban Bank Road, Mehsana, 384 002. Moga: 9 No. New Town, Opposite Jaswal Hotel, Daman Building, Moga 142001 Moradabad: H 21-22, 1st Floor, Ram Ganga Vihar Shopping Complex, Moradabad, 244001. Mumbai: Rajabahdur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai, 400 023 Muzaffarpur: Brahman Toli Durgasthan, Gola Road, Muzaffarpur, 842001. Mysore: No.1, 1st Floor, CH.26 7th Main, 5th Cross, (Above Trishakthi Medicals), Saraswati Puram, Mysore, 570009 Nadiad: F 134, 1st Floor, Ghantakarna Complex, Gunj Bazar, Nadiad- 387001 Nagpur: 145 Lendra, New Ramdaspeth, Nagpur, 440 010. Namakkal: 156A/1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal, 637001. Nanded: Shop No.8,9,Cellar Raj Mohammed Complex,Main Road,Shri Nagar,Nanded 431605 Nasik: 1st Floor,Shraddha Niketan,Tilak Wadi,Opp.Hotel City Pride,Sharanpur Road,Nasik-422002. Navasari: 214-215,2nd floor, Shivani Park, Opp. Shankheswar Complex, Kaliawadi, Navsari -396445, Gujarat Nellore: 97/56, I Floor Immadisetty Towers, Ranganayakulapet Road, Santhapet, Nellore, 524001. New Delhi: : 401 to 404, 4th Floor, Kanchan Junga Building Barakhamba Road, New Delhi 110 Noida: E-3, Ground Floor, Sector 3, Near Fresh Food Factory, Noida- 201301 Palakkad: Door No. 18/507 (3) Anugraha, Garden Street, College Road, Palakkad 678 001 Palanpur: Gopal Trade Center, Shop No.13-14,3rd Floor, Near BK Mercantile Bank, Opposite Old Gunj, Palanpur 385001 Panipat: SCO 83-84, Devi Lal Shopping Complex, Opp RBS Bank, G.T.Road, Panipat, 132103. Patiala: 35, New Lal Bagh, Opposite Polo Ground, Patiala 147001 Pitampura(New Delhi): Aggarwal Cyber Plaza-II, Commercial Unit No. 371, 3rd Floor, Plot No. C-7, Netaji Subhash Place, Pitampura, New Delhi 110034. Patna: G-3, Ground Floor, Om Vihar Complex, SP Verma Road, Near Saket Tower, Patna, 800 001. Pondicherry: S-8, 100, Jawaharlal Nehru Street, (New Complex, Opp. Indian Coffee House), Pondicherry, 605001. Pune: Vartak Pride, 1st Floor, Survay No.46, City Survay No.1477 Hingne Budruk, D.P. Road, Behind Dinanath Mangeshkar Hospital, Karvenagar, Pune 411052. Rae Bareli: 17, Anand Nagar Complex, Rae Bareli , Rae Bareli , 229001. Raipur: HIG,C-23 , Sector - 1, Devendra Nagar, Raipur, 492004. Rajahmundry: Door No: 6-2-12, 1st Floor, Rajeswari Nilayam, Near Vamsikrishna Hospital, Nyapathi Vari Street, T Nagar, Rajahmundry. 533 101. Rajapalayam: No 59 A/1, Railway Feeder Road, Near Railway Station, Rajapalayam, 626117. Rajkot: Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot, 360001. Ranchi: 4, HB Road, No: 206, 2nd Floor Shri Lok Complex, HB Road Near Firayalaí, Ranchi, 834001 Ratlam: 18, Ram Bagh, Near Scholar's School, Ratlam, 457001. Ratnagiri: Orchid Tower, Ground Floor, Gala No. 06, S. V. No. 301/Paiki 1/2, Nachane Muncipal Aat, Arogya Mandir, Nachane Link Road, Ratnagiri, Mahar ashtra-415612. Rohtak: SCO 06, Ground Floor, MR Complex, Near Sonipat Stand, Delhi Road, Rohtak 124001 Roorkee: 22 CIVIL LINES GROUND FLOOR, HOTEL KRISH RESIDENCY, Roorkee, 247667. Rourkela: JBS Market Complex, 2nd Floor, Udit Nagar, Rourkela-769012 Sagar: Opp. Somani Automobiles , Bhagwanganj , Sagar , 470002 Saharanpur: I Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur, 247001 Salem: No.2, I Floor Vivekananda Street, New Fairlands, Salem, 636016. Sambalpur: Opp. Town High School, Sansarak, Sambalpur; 768001. Sangli: Jiveshwar Krupa Bldg, Shop. NO.2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli – 416416 Satara: 117/A/3/22, Shukrawar Peth, Sargam Apartment, Satara, 415002. Seerampore: 47/5/1, Raja Rammohan Roy Sarani, PO.Mallickpara, District Hoogly, Seerampore 712203 Shahjahanpur: Bijlipura, Near Old Distt Hospital, Near Old Distt Hospital, Shahjahanpur, 242001. Shillong: 3rd Floor, RPG Complex, Keating Road, Shillong, 793001 Shimla: I Floor, Opp. Panchayat Bhawan Main gate, Bus stand, Shimla ,171001. Shimoga: Near Gutti Nursing Home, Kuvempu Road, Shimoga, 577201 Siliguri: 78, Haren Mukherjee Road, 1st floor, Beside SBI Hakimpara, Siliguri 734001 Sirsa: Beside Overbridge, Next to Nissan car showroom, Hissar Road, Sirsa, 25055. Sitapur: Arya Nagar, Near Arya Kanya School, Sitapur, Sitapur, 261001 Solan: 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan, 173 212. Solapur: Flat No 109, 1st Floor, A Wing, Kalyani Tower, 126 Siddheshwar Peth, Near Pangal High School, Solapur, 413001. Sriganganagar: 18 L Block, Sri Ganganagar, Sri Ganganagar, 335001. Srikakulam: Door No 4-4-96, First Floor, Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam, 532 001. Sultanpur: 967, Civil Lines, Near Pant Stadium, Sultanpur, 228001 Surat: Shop No. G-5, International Commerce Center, Near Kadiwala School, Majura Gate, Ring Road, Surat 395002 Surendranagar: Shop No 12, M.D. Residency, Swastik Cross Road, Surendranagar 363 001 Tambaram: III Floor,B R Complex, No.66, Door No.11A, Ramakrishna Iyer Street, Opp. National Cinema Theatre, West Tambaram, Chennai-600045 Thane: Dev Corpora, 1st Floor, Office No. 102, Cadbury Junction, Eastern Express way, Thane(West) 400 601. Tirupur:1(1), Binny Compound, Il Street, Kumaran Road, Tirupur, Tamil Nadu, 641601. Thiruvalla: 1st Floor, Room No-61(63), International Shopping Mall, Opp.St.Thomas Evangelical Church, Above Thomson Bakery, Manjady, Thiruvalla, Kerala-689105 Tinsukia: Bangiya Vidyalaya Road, Near Old Post Office, Durgabari, District Tinsukia, Assam 786 125 Tirunelveli: No. F4, Magnem Suraksaa Apartments, Thiruvananthapuram Road, Tirunelveli 627002 Tirupathi: Shop No 6, Door No 19-10-8 (Opposite Passport office), AIR Bypass Road, Tirupathi 517501. Trichur: Room No. 26 & 27, DEE PEE PLAZA, Kokkalai, Trichur, 680001. Trichy: No 8, I Floor, 8th Cross West Extn, Thillainagar, Trichy, 620018. Kokkalai, 680001. Trivandrum: R S Complex, Opposite of LIC Building, Pattom PO, Trivandrum, 695004. Tuticorin: 4B/A 16, Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin, 628 003. Udaipur: 32, Ahinsapuri, Fatehpura Circle, Udaipur 313001. Ujjain: Office at 109, 1st Floor, Siddhi Vinayak Trade Center, Shahid Park, Ujjain - 456 010. Vadodara: 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara ,390 007. Valsad: 3rd floor, Gita Nivas, opp Head Post Office, Halar Cross Lane, Valsad, 396001. Vapi: 208, 2nd Floor, Heena Arcade, Opp: Tirupati Tower Near G I D C Char Raasta, Vapi, 396195 Varanasi: Office No 1, 2nd Floor, Bhawani Market, Building No D-58/2-A1, Rathyatra, Beside Kuber Complex Varanasi, 221010 Vashi: BSEL Tech Park,B-505,Plot no 39/5 & 39/5A,Sector 30A,Opp. Vashi Railway Station, Vashi, Navi Mumbai-400705. Vaso(Parent Goa): No DU8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex, Near ICICI Bank, Vasco da gama, 403802. Vellore: AKT Complex, 2nd Floor, Room No 1, 3 New Sankaranpalayam Road Tolgate, Vellore-632001. Vijayawada: 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Labbipet, Vijayawada, 520 010. Visakhapatnam: Flat No. GF2, D. No. 47-3-2/2, Vigneswara Plaza, 5th Lane, Dwarakanagar, Visakhapatnam-530016 Warangal: A.B.K Mall, Near Old Bus Depot road, F-7, Ist Floor, Ramnagar, Hanamkonda, Warangal, 506001. Yamuna Nagar: 124-B/R Model Town, Yamunanagar, 135 001. Yavatmal: Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatma, 445 001,



## L&T Investment Management Limited - Investor Service Centres

Ahmedabad: 1st Floor, Unit No. 101 & 104, Ratnaraj Spring Nr, Navrangpura Bus stand Navrangpura 380015 Banglore: N-111(47-43), Manipal Cenre, First Floor, North Block, Dickenson Road, Banglore 560042 Banglore: Site No.92, Zed Square, 1 Floor, Industrial Layout, JNC Road, th 5 Block, Koramangala, Bangalore - 560 095 Bhavnagar: L&T Financial Services, Shop No.FF-5,Gopi Arcade,Waghawadi Road,Bhavnagar 364002 Bhopal: Alankar Complex, Mezannine Floor, Above ICICI Bank, M.P.Nagar Zone-II, Bhopal-462011 Bhubaneshwar: 428/3818, 2nd Floor, Jaydev Nagar, Kalpana Square, Near Pantho Nivas, Bhubaneshwar-751002 Borivali (Mumbai): Office No.308, 3rd Floor, Jalaram Business Center, Ganjawala Lane, Borivali - West, Mumbai - 400092 Chandigarh: SCO 147-148, Madhya Marg, Second Floor, Sector 8 C, Chandigarh - 160008 Chennai: 6th Floor, K.G.N. Towers, No. 62 Ethiraj Salai (Commander- In- Chief Road), Egmore, Chennai-600105 Cochin: Govardhan Buildings, No. 66/3118-A, 2nd Floor, Chittoor Road, Ernakulam, Kochi - 682 035 Coimbatore: NO. 2-8, Third Floor, "ATRIA", Dr. NRN Layout, Papanaickenpalayam, Coimbatore - 641 037. Dalamal (Mumbai): 407 Office No.811,8th Floor,Raheja Center,Free Press Journal Road, Nariman Point, Mumbai 400021 Ghatkopar (Mumbai): Office No. 504, 5th Floor, Zest Business Spaces, M.G. Road, Ghatkopar (East), Mumbai-400077 Goa: 6th Floor, Bhanav Apartment, Near Mahalaxmi Temple, Dr. Atmaram Borkar Road, Panjim, Goa 403001 Gurgaon (New Delhi): Office no 221, 2nd Floor, Galleria Market, DLF City Phase 4, Gurgaon - 122002 Hyderabad: 2 Floor, Unit No. 203/2, Block - I, 6-3-1192/1/1, Kundanbagh, White House, Begumpet, Hyderabad - 500 016 Indore : "Dhan Trident" Block No. B-3, PU-4, Scheme No. 54, Near Vijay Nagar Square, Indore-452010 Jaipur: Shop No. 307, Third Floor, Trimurty VJaycity Point, Ashok Marg, C - Scheme, Jaipur 302001 Jalandhar: SCO-3, 2nd Floor, Puda Complex, Adjoining Andhra Bank, Opp. Suvidha Centre, Jalandhar City- 144001 Jamnagar: G-43, Ground Floor, Madhav Plaza, Opp SBI Bank, Near Lal Bunglow Jamnagar -361001 Jamshedpur: 3B, Rear Side, 3rd Floor, Fairdeal Complex, H No 7, Main Road Bishtupur, Jamshedpur-831001 Kalina (Mumbai): Ground Floor, Brindavan, Plot No 177, CST Road, Kalina, Santacruz (E), Mumbai-400098 Kalina Mumbai (HO): 6th Floor, Brindavan, Plot No 177, CST Road, Kalina, Santacruz (E), Mumbai 400 098 Kanpur: 14/116, D-2, 1 Floor, Vodafone Tower, Near Leelamani Hospital, Civil Lines, Kanpur 208 001. Kolhapur: L&T Financial Services, 1st Floor, F01&F02, Jaduben Plaza, 1108/E, Shahupuri, Kolhapur 416001 Kolkata: 4th Floor, 2/1, Kankaria Centre, Russell Street, Kolkata - 700 071 Lucknow: Land No.12, Shop No.106, 1st Floor, Saran Chamber, Near Civil Hospital, Lucknow- 226 001 Lucknow: SBI Building, Second Floor, Plot No. 19, House 223/2, Rani Jhansi Road, Near Khalsa College for Women, Ludhiana, Punjab 141001 Nagpur: Office No.501, 5th Floor, Shriram Shyam Tower, Sadar, Nagpur-440012 Nashik: 3rd Floor, Office No. 3&4, Bedmuthas Navkar Heights, Sharanpur Road, New Pandit Colony, Nashik 422005 New Delhi : 5th Floor, DCM Building, Barakhamba Road, New Delhi 110001 Panipat: NK Tower, 2nd Floor, GT Road, Panipat-132103 Patna: Office no.201,2nd Floor, Grand Aley Manawar, Ward No. 2, Circle No. 6, Street No. 20, Holding No. 256, Survey Plot No. 877, Gandhi Maidan Road, Patna-800001 Pune: 3rd Floor, Office No. 301 and 302, Zenith Complex, K B Joshi Path, Shivaji Nagar, Pune 411005 Rajkot: 2nd Floor, Office No. 212, 214 to 215, Part B, Nath Edifice, Dr. Yagnik, Road, Race Course Road, Rajkot 360001 Surat: 8th Floor, Office No. 800A, International Trade Center, Near Majura Gate, Ring Road, Surat 395002 Thane (Mumbai): 6th Floor, Unit No.627 and 628, Lodha Supremus II, Road No. 22, Wagle Estate, Thane West 400604 Trichy: Sri Krishna Arcade, No.60, First Floor, Thennur High Road, Trichy 621017 Trivandrum: Parmeswara Towers, T C 15/1948(4), Ganapathy Kovil Road, Opp. Canara Bank, Vazhuthacaud, Trivandrum - 695014. Vadodara: Office No .202 - 203 , Second Floor , Sahyog Attrium , Old Padra Road , Vadodara - 390015 Varanasi : 2ND Floor, Unit D-64/127-CH, Arihant Complex, Sigra, Varanasi-221010 Vijayawada: D 27-23-252, Gopala Reddy Road, 4th Floor, Flat No. 402, Bhaskar Plaza, Governorpet, Vijayawada, Andhra Pradesh - 520 002.

## L&T Investment Management Limited - Sales Offices

Agra: First Floor, 108, Anand Vrindavan, Sanjay Place, Agra - 282002. Allahabad: FF109 & FF110, 1st Floor, Vinayak Complex, Elgin Road, Civil Lines, Allahabad-211 001. Amritsar: G.R Tower, 1st Floor, Mall Road, Amritsar-143001. Anand: "Imperial Square", 3rd Floor, Office No. 304 & 305, Amul Dairy Road, Near Ganesh Chowkdi, Anand. 388001 Aurangabad: 1st Floor, Renuka Krupa, Bhagya Nagar, Adalat Road, Auragabad-431005. Calicut: 2nd Floor, Trade Link Complex, Challapuram Road, Mankave Junction, Calicut- 673007. Cuttack: 1st Floor, OSL Tower-3, Badambadi Square, Cuttack-753009. Dehradun: 2nd Floor, 75-A, Mak Plaza, Rajpur Road, Dehradun-248001. Durgapur: B-27, Biplabi Rasbihari, Basu Sarani, Bidhan Nagar, Sector 2A, Durgapur -713212. Gorakhpur: Shop No.10, Radhika Complex, Medical Road, Near Ashuran Chowk, Gorakhpur-273004 (U.P.) Guwahati : 3 Floor, Kushan Plaza, Dispur, G.S Road, Guwahati - 781 006. Gwalior: 52, Kailash Vihar, City Center, Gwalior (MP), Pin-474009 Hubli: 1st Floor ,Mohinder Plaza,Opp Galgali Nursing Home,Deshpande Nagar, Travellers Bunglow Road, Hubli -580029. Jabalpur: 2nd Floor, Digamber Towers, Near Chanchalla Bai College, Wright Town, Jabalpur, MP-482002 Jodhpur: C-44, 2nd Floor, (Rear Portion), 5th Avenue, PWD Rd, Jodhpur, Rajasthan -324001 Madurai : Rakesh Towers, No.30C, 3rd Floor, Subramania Pillai Street Bypass Road, S.S Colony, Madurai 625016. Mangalore: 1st Floor, Aadheesh Avenue, Shop No. 106, Opposite Besant College, M G Road, Kodiala Bail, Manglore-575003. Muzaffarpur: Ramrekha Complex, Ground Floor, Chapara Lodhi Road, Power House Chowk, Maripur, Muzaffarpur - 842001 Mysore: Kiran Mansion, First Floor, No. 646, Chamaraja Double Road, Mysore- 570024. Pondicherry: 1st Floor, No:144, 100 feet road, ECR Main Road, Pakkamudayanpet, Lawspet, Pondicherry- 605008. Raipur: 1st floor, Simran Tower, Opposite LIC Building, Pandri, Raipur-492004. Ranchi: 1st Floor, 45, Garikhana, Near PNB, Harmu Road, Ranchi -834001. Rourkela: Sector 19, L&T House, Ambagan, Rourkela-769005. Siliguri: 3rd Floor, Infinity Square, Sevoke Road, Siliguri-734001. Udaipur: 32/1105, Centre Point, 2nd Floor, Opp. B.N. College, Udaipur - 313001. Vapi: 1st Floor, Office No-2, Jayam Complex, Plot No-32/B,1st Phase, Near GIDC Char Rasta, Vapi, Gujarat Vishakapatnam: D.No: 47-14-5/1, Flat No: 303, 2rd Floor, Eswara Paradise, Beside StateBank of India, Dwarakanagar Main road, Visakhapatnam-530016.

### The Fund's website www.ltfs.com will be an official point of acceptance for accepting transactions in the units of the Scheme of the Fund.

Further, CAMS will be the official point of acceptance for electronic transactions received from specified banks, financial institutions, distribution channels, etc. (mobilised on behalf of their clients) with whom the AMC has entered/may enter into specific arrangements for purchase/sale/switch of units.

Applications from Institutional investors will be accepted by LTIML via facsimile on 1800 4190 500 as well as via an electronic email sent at - transact@ Intmf.co.in, subject to satisfaction of requirements specified by LTIML.

For further details please call at 1800 2000 400 or 1800 4190 200.